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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Davis Polk & Wardwell			
2. Address <input type="checkbox"/> Check if different than previously reported 1300 I Street, N.W., Washington, DC 20005			
3. Principal Place of Business (if different from line 2) City: New York State/Zip (or Country) NY 10017			
4. Contact Name Theodore A. Doremus		Telephone 202-962-7160	E-mail (optional)
7. Client Name <input type="checkbox"/> Self Brown Brothers Harriman & Co.			5. Senate ID # 11710-1 6. House ID # 3173600

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☒

\$10,000 or more ☐ ⇒ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☐ ⇒ \$ _____
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate the accounting method. See instructions for description of options.

☐ Method A. Reporting amounts using LDA definition

☐ Method B. Reporting amounts under section 6033(b) Internal Revenue Code

☐ Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature

Printed Name and Title

Theodore A. Doremus, Of Counsel to the Washington Office

[REDACTED]

Registrant Name Davis Polk & Wardwell Client Name Brown Brothers Harriman &

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

Reform of the federal and state laws regulating financial servic

17. House(s) of Congress and Federal agencies contacted

☒ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Theodore A. Doremus	Of Counsel to the Washington

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature Theodore A. Doremus Date 2/5/02

Printed Name and Title Theodore A. Doremus, Of Counsel to the Washington O

