

|   |  |
|---|--|
| Clerk of the House of Representatives<br>Legislative Resource Center<br>B-106 Cannon Building<br>Washington, DC 20515 | Secretary of the Senate<br>Office of Public Records<br>232 Hart Building<br>Washington, DC 20510 |
|---|--|

SECRETARY OF THE SENATE  
02 AUG 14 AM 9:13

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

|   |                             |   |                         |
|---|-----------------------------|---|-------------------------|
| 1. Registrant Name<br>New York Mercantile Exchange  |                             |   |                         |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br>1331 Pennsylvania Avenue, NW Suite 1410-N Washington, DC 20004 |                             |   |                         |
| 3. Principal Place of Business (if different from line 2)<br>City: New York State/Zip (or Country) New York 10282-1101                            |                             |   |                         |
| 4. Contact Name<br>Mark Seetin  | Telephone<br>(202) 662-8770 | E-mail (optional)<br>nymex2@unidial.com | 5. Senate ID #<br>29185 |
| 7. Client Name <input checked="" type="checkbox"/> Self   |                             |   | 6. House ID #<br>31216  |

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-Decen

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_

11. No Lobbying /

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

|  |   |
|--|---|
| <p align="center"><b>12. Lobbying Firms</b></p> <p><b>INCOME</b> relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____<br/>Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p align="center"><b>13. Organizations</b></p> <p><b>EXPENSES</b> relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>100,000.00</u><br/>Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of c</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitic</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(c) Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p> |
|--|---|

Signature

*Mark W. Seetin*

Printed Name and Title

Vice President - Government Affairs



Registrant Name New York Mercantile Exchange Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

S.517 (Sen. Bingaman)--Energy Security Policy; S.1766--National Energy Security Act; S.259 (Sen. Bingaman)--Lab Partnership Improvement Act; H.R.4--Comprehensive Energy Policy; S.1328--Conservation and Reinvestment Act; H.R.3406--Electric Supply and Transmission Act; S.972--Electricity Transmission Infrastructure

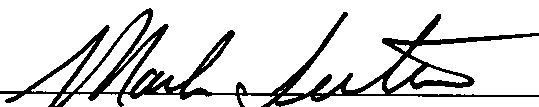
17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives  
U.S. Department of Energy  
Federal Energy Regulatory Commission

18. Name of each individual who acted as a lobbyist in this issue area

| Name           | Covered Official Position (if applicable) |
|----------------|---|
| Mark Seetin    | Vice President for Government Affairs     |
| Charlie DeWitt | Associate, Government Affairs             |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/5/02

Printed Name and Title Mark Seetin, Vice President --- Government Affairs



Registrant Name New York Mercantile Exchange Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

H.Con.Res.83--Budget Resolution; H.R.2888--Emergency Supplemental for Relief Efforts in response to 9/11; charitable exemption for victims of 9/11; Futures transaction tax.


17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives  
Office of Management and Budget  
Internal Revenue Service  
The White House--Economic Policy Division  
DOE

18. Name of each individual who acted as a lobbyist in this issue area

| Name           | Covered Official Position (if applicable) |
|----------------|---|
| Mark Seetin    | Vice President for Government Affairs     |
| Charlie DeWitt | Associate, Government Affairs             |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/5/02

Printed Name and Title Mark Seetin, Vice President -- Government Affairs



Registrant Name New York Mercantile Exchange Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code AGR (one per page)

16. Specific lobbying issues

S.2724 (Sen. Feinstein)--to provide regulatory oversight over energy trading markets and metals trading markets; H.R.4038 (DeFazio)--Derivatives and securities oversight commission creation; H.R.4826 and H.R.4827 (Walden)--bills to ban round trip trades

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
U.S. House of Representatives  
The White House--Economic Policy Division  
DOE  
Commodity Futures Trading Commission

18. Name of each individual who acted as a lobbyist in this issue area

| Name           | Covered Official Position (if applicable) |
|----------------|---|
| Mark Seetin    | Vice President for Government Affairs     |
| Charlie DeWitt | Associate, Government Affairs             |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Mark Seetin* Date 8/5/02  
Printed Name and Title Mark Seetin, Vice President --- Government Affairs



Registrant Name New York Mercantile Exchange Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

S.2724 (Sen. Feinstein)--to provide regulatory oversight over energy trading markets and metals trading markets; H.R.4038 (DeFazio)--Derivatives and securities oversight commission creation; H.R.4826 and H.R.4827 (Walden)--bills to ban round trip trades; H.R.3210 (Oxley)--for continued financial capacity of insurers to provide coverage for risks on terrorism; S.1743 (Hollings)--temporary insurance mechanism;

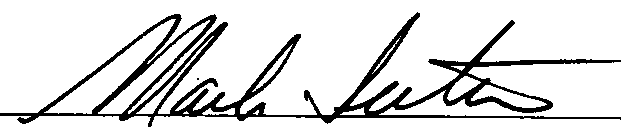
17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
 U.S. House of Representatives  
 The White House--Economic Policy Division  
 DOE  
 Commodity Futures Trading Commission

18. Name of each individual who acted as a lobbyist in this issue area

| Name           | Covered Official Position (if applicable) |
|----------------|---|
| Mark Seetin    | Vice President for Government Affairs     |
| Charlie DeWitt | Associate, Government Affairs             |
| .....          | .....                                     |
| .....          | .....                                     |
| .....          | .....                                     |
| .....          | .....                                     |
| .....          | .....                                     |
| .....          | .....                                     |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/5/02  
 Printed Name and Title Mark Seetin, Vice President --- Government Affairs



Registrant Name New York Mercantile Exchange Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code CDT (one per page)

16. Specific lobbying issues

S.2724 (Sen. Feinstein)--to provide regulatory oversight over energy trading markets and metals trading markets; H.R.4038 (DeFazio)--Derivatives and securities oversight commission creation; H.R.4826 and H.R.4827 (Walden)--bills to ban round trip trades; proposals and rules related to the implementation of the Commodities Futures Modernization Act

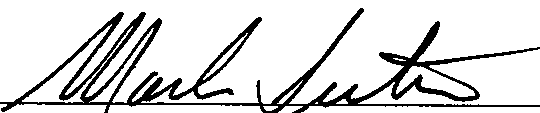
17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate  
 U.S. House of Representatives  
 The White House--Economic Policy Division  
 DOE  
 Commodity Futures Trading Commission

18. Name of each individual who acted as a lobbyist in this issue area

| Name           | Covered Official Position (if applicable) |
|----------------|---|
| Mark Seetin    | Vice President for Government Affairs     |
| Charlie DeWitt | Associate, Government Affairs             |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/5/02

Printed Name and Title Mark Seetin, Vice President -- Government Affairs



Registrant Name New York Mercantile Exchange Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

H.R. 3009 (Crane)--Andean Trade Preference Bill; S.525 (Sen. Graham)--Andean Trade Preference Bill; legislation to remove duties on copper

17. House(s) of Congress and Federal agencies contacted

Check if None


U.S. House of Representatives  
U.S. Senate  
Department of Commerce  
Office of the Trade Representative  
The White House

18. Name of each individual who acted as a lobbyist in this issue area

| Name           | Covered Official Position (if applicable) |
|----------------|---|
| Mark Seetin    | Vice President, Government Affairs        |
| Charlie DeWitt | Associate, Government Affairs             |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature  Date 8/5/02

Printed Name and Title Mark Seetin, Vice President -- Government Affairs



Registrant Name New York Mercantile Exchange Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

proposals related to database privacy and proprietary rights of market data.

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives  
U.S. Senate  
SEC  
DOJ

18. Name of each individual who acted as a lobbyist in this issue area

| Name           | Covered Official Position (if applicable) |
|----------------|---|
| Mark Seetin    | Vice President, Government Affairs        |
| Charlie DeWitt | Associate, Government Affairs             |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Mark Seetin* Date 8/5/02

Printed Name and Title Mark Seetin, Vice President -- Government Affairs



Registrant Name New York Mercantile Exchange Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific lobbying issues

H.R.333-- proposals related to bankruptcy reform; S.220--bankruptcy reform; S.420--bankruptcy reform

17. House(s) of Congress and Federal agencies contacted

Check if None

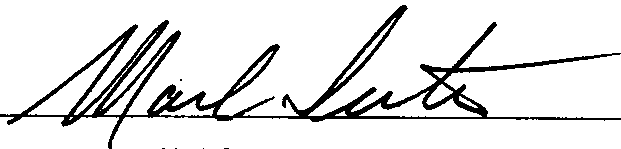
U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name           | Covered Official Position (if applicable) |
|----------------|---|
| Mark Seetin    | Vice President, Government Affairs        |
| Charlie DeWitt | Associate, Government Affairs             |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature  Date 8/5/02

Printed Name and Title Mark Seetin, Vice President – Government Affairs



Registrant Name New York Mercantile Exchange Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code CAW (one per page)

16. Specific lobbying issues

S.517(Sen. Bingaman)--Energy Security Policy; S.259 (Sen. Bingaman)--Lab Partnership Improvement; S.1766--Energy Policy legislation; H.R.4--Comprehensive Energy Policy; H.R.2270--proposals to waive the oxygenate requirement of gasoline; S.947; all proposals relating to content of sulfur and other products in diesel fuel..


17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
 U.S. Senate  
 Environmental Protection Agency  
 DOE

18. Name of each individual who acted as a lobbyist in this issue area

| Name           | Covered Official Position (if applicable) |
|----------------|---|
| Mark Seetin    | Vice President, Government Affairs        |
| Charlie DeWitt | Associate, Government Affairs             |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |
|                |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/5/02

Printed Name and Title Mark Seetin, Vice President -- Government Affairs



Registrant Name New York Mercantile Exchange Client Name Self

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

| Name | Address | Principal Place of Busin<br>(city and state or coun |
|------|---------|---|
|      |         |   |


26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

| Name | Address | Principal place of business<br>(city and state or country) | Amount of contribution<br>for lobbying activities | O<br>p<br>cl |
|------|---------|--|---|--------------|
|      |         |  |   |              |

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant affiliated organization

Signature  Date 8/5/22

Printed Name and Title Mark Seetin, Vice President--Government Affairs

