

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 CORETANY OF THE SERVICE OF AN 9: 55

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

I. Registrant Name National Venture Capital Ass	ociation		
2. Address Check if different than	******		
1655 North Fort Myer Drive,	Suite 850		
3. Principal Place of Business (if different	from line 2)		
City: Arlington		Cip (or Country) VA 22209	
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID#
Molly M. Myers	(703) 524-2549	mmyers@nvca.org	216
7. Client Name 2 Self		***************************************	6. House ID#
			3454
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Check if this filing amends a pre 0. Check if this is a Termination INCOME OR EXPENS 12. Lobbying INCOME relating to lobbying act period was: Less than \$10,000 \$10,000 or more Provide a good faith estimate, roun	viously filed version of this Report	Date	ations vities for this rep \$460,000.00 enses (nearest \$20,000 to description of the content of t

1.D-2 (REV. 6/98)

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the rengaged in lobbying on behalf of the chent during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed. 15. General issue area codeACC	Registrant Name National Venture Capital Association Cl	ient Name	
Sarbanes-Orley Act of 2002 HR 3763 Sarbanes-Orley Act of 2002 HR 3763 Ending the double standard for slock options Act S. 1940 Rank and file slock option act S. 2877 Prevention of slock option act S. 2872 Slock option accounting reform act, H.R. 5147 17. House(s) of Congress and Federal agencies contacted	engaged in lobbying on behalf of the client during the	reporting period. Usi	e general issue areas in which the ring a separate page for each code
Sarbanes-Oxley Act of 2002 HR 3763 Ending the double standard for stock options Act S. 1940 Rank and file stock option act, S.2877 Prevention of stock option act, H.R. 5147 17. House(s) of Congress and Federal agencies contacted U.S. House of Representatives U.S. Senate 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Mark G. Heesen Paul Brownell Ian Graves 19. Interest of each foreign entity in the specific issues listed on line 16 above Date S - 5 - 0.2. Date S - 5 - 0.2.	15. General issue area code ACC (one per pa	age)	
U.S. House of Representatives U.S. Senate 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Mark G. Heesen Paul Brownell Ian Graves 19. Interest of each foreign entity in the specific issues listed on line 16 above Date 6-5-02	Sarbanes-Oxley Act of 2002 HR 3763 Ending the double standard for stock options Act S. 194 Rank and file stock option act, S.2877 Prevention of stock option abuse act, S. 2822	0	
Mark G. Heesen Paul Brownell Ian Graves 19. Interest of each foreign entity in the specific issues listed on line 16 above Date 6-9-02	U.S. House of Representatives U.S. Senate		eck if None
Mark G. Heesen Paul Brownell lan Graves 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Date 6-9-02	·	ı	Coursed Official Devision (Co., 1)
	Paul Brownell lan Graves		
			Date 8-9-02

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Registrant Name National Venture Capital Association Clie	nt Name		
LOBBYING ACTIVITY. Select as many codes as necessaged in lobbying on behalf of the client during the reinformation as requested. Attach additional page(s) as a	porting period. Us	ne general issue are sing a separate pa	eas in which the r ge for each code
15. General issue area code TRD (one per pag	ge)		
16. Specific lobbying issues Trade Promotion Authority Legislation, HR 3009			
 17. House(s) of Congress and Federal agencies contact U.S. House of Representatives U.S. Senate 18. Name of each individual who acted as a lobbyist in 		neck if None	
Name	uns issue area	Covered Official Position	ı (if applicable)
Paul Brownell lan Graves 19. Interest of each foreign entity in the specific issues listed	on line 16 above	□ Check if No	one
Signature Molly M. Myers, Vice President for		Date	8-902

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Registrant Name National Venture Capital Association	Client Name	
LOBBYING ACTIVITY. Select as many codes as engaged in lobbying on behalf of the client during the information as requested. Attach additional page(s)	he reporting period. Using a separate page for eacl	
15. General issue area code EDU (one per	page)	
16. Specific lobbying issues Legislation to exempt ISOs and ESPPs from payroll if The "Tech Talent" Act, HR 3130	axes HR 2695 and S. 1383	
 17. House(s) of Congress and Federal agencies con U.S. House of Representatives U.S. Senate 18. Name of each individual who acted as a lobbying the control of the congress and Federal agencies con U.S. House of Representatives 		
Name	Covered Official Position (if applicable)
Mark G. Heesen Paul Brownell Ian Graves 19. Interest of each foreign entity in the specific issues	isted on line 16 above	
Signature		9-0

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egistrant Name Nationa	al Venture Capital Association Cl	ent Name	
ngaged in lobbying or		reporting period. U	he general issue areas in which the resing a separate page for each code,
5. General issue area	code PHA (one per pa	ige)	
16. Specific lobbying	issues		
Greater Access to A	ffordable Pharamceuticals, S.812		
	ress and Federal agencies conta	cted 🚨 C	Theck if None
U.S. House of Re U.S. Senate	ividual who acted as a lobbyist	in this issue area	
16. Name of each mu	Name	in this issue area	Covered Official Position (if applicable)
Mark G. Heesen			
Nancy Saucier			
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		••••	
		••••	
19. Interest of each for	eign entity in the specific issues lis	ted on line 16 above	☐ Check if None

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Form LD-2 (Rev.6/98)

Registrant Name National Venture Capital Association C	lient Name
LOBBYING ACTIVITY. Select as many codes as rengaged in lobbying on behalf of the client during the information as requested. Attach additional page(s) a	necessary to reflect the general issue areas in which the r reporting period. Using a separate page for each code is needed.
15. General issue area code MED (one per p	age)
Medical Device Amendments of 2001, HR3580 Human Cloning Prohibition Act of 2001, S.1899 Somatic Cell Nuclear Transfer, Stem Cell Research, He Hospital Group Purchasing Organization Operations Food and Drug Administration User Fees and Reform	uman Research Subject Prohibitions Act of 2002, HR 4697
17. House(s) of Congress and Federal agencies conta U.S. House of Representatives U.S. Senate Food and Drug Administration	
18. Name of each individual who acted as a lobbyist Name	Covered Official Position (if applicable)
Mark G. Heesen Nancy Saucier 19. Interest of each foreign entity in the specific issues lis	ted on line 16 above
Signature Manual Manual	
Signature Molly M. Myers, Vice President:	

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Registrant Name National Venture Capital Association	on Client Name	
OBBYING ACTIVITY. Select as many codengaged in lobbying on behalf of the client during on the client during the client during the client and the client during the client and the client during	ng the reporting peri	
15. General issue area code SCI (one	e per page)	
16. Specific lobbying issues		
Bayh-Dole Act, Administration(President's Coun	cil of Advisors on Scier	nce and Technology)
17. House(s) of Congress and Federal agencies	s contacted	☐ Check if None
U.S. House of Representatives U.S. Senate President's Council of Advisors on Science	e and Technology	
	3.1. t-a t - a t - t	
18. Name of each individual who acted as a lo	obbyist in this issue a	
Name	obbyist in this issue a	reaCovered Official Position (if applicable)
Name Mark G. Heesen	obbyist in this issue a	
Name Mark G. Heesen	obbyist in this issue a	
Mark G. Heesen Nancy Saucier	obbyist in this issue a	
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Mark G. Heesen Nancy Saucier	obbyist in this issue a	
Mark G. Heesen Nancy Saucier		Covered Official Position (if applicable)

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20. Client new address				

21. Client new principal pl	ace of business (if	different from line 20)		
City			te/Zip (or Country)	
22. New general description	on of client's busin	ess or activities		
<u> </u>	eviously reporte		no longer expected to act as a locA, effective July 8, 2002	obbyist for the client
ISSUE UPDATE 24. General lobbying	g issues previou	isly reported that no	longer pertain	
AFFILIATED OR 25. Add the following				
Na	me		Address	Principal Place of Bu (city and state or co
Na	me		Address	_
			Address is no longer affiliated with the	(city and state or co
	reviously report	ted organization that		(city and state or co
26. Name of each property of the property of t	reviously report	ted organization that		registrant or client Amount of contribution
26. Name of each property of the property of t	reviously report	ted organization that	is no longer affiliated with the	registrant or client Amount of contribution
26. Name of each property of the property of t	reviously report ES ag foreign entiti	ted organization that	is no longer affiliated with the	registrant or client Amount of contribution for lobbying activities

rintea	Name and	unne	 ,,	 	

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the regaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed. 15. General issue area codeTAX	Registrant NameClie	nt Name
16. Specific lobbying issues Lower capital gains treatment of qualified small business stock, HR 2383	engaged in lobbying on behalf of the client during the re	porting period. Using a separate page for each code
Lower capital gains treatment of qualified small business stock, HR 2383	15. General issue area code TAX (one per pag	je)
U.S. House of Representatives U.S. Senate 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Mark G. Heesen lan Graves Paul Brownell 19. Interest of each foreign entity in the specific issues listed on line 16 above Date Date		stock, HR 2383
Name Covered Official Position (if applicable) Mark G. Heesen Ian Graves Paul Brownell 19. Interest of each foreign entity in the specific issues listed on line 16 above Date Date	U.S. House of Representatives U.S. Senate	
Ian Graves Paul Brownell Paul Brownell	•	1
Paul Brownell 19. Interest of each foreign entity in the specific issues listed on line 16 above		
SignatureDate	Paul Brownell	On line 16 above
	13. Interest of each foreign entity in the specific issues listed	on line 16 above
Adollic Ed. Ed. Land A.P Phys. Co. A.		

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