

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

03 AUG 14 PM 1:

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Rockwell Collins, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 400 Collins Road NE, Cedar Rapids, IA 52498 -0001			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name M.K. McDonald	Telephone (703) 516-8230	E-mail (optional)	5. Senate ID # 68296-36
7. Client Name <input type="checkbox"/> Self Rockwell Automation			6. House ID # 35671001

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>112,500.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>
--	---

Signature *PM*

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

LD-2 (REV. 6/98)

P

Registrant Name Rockwell Collins, Inc. Client Name Rockwell Automat

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each information as requested. Attach additional page(s) as needed.**

15. General issue area code CHM (one per page)

16. Specific lobbying issues

Chemical Demilitarization Treaty
Defense Authorization and Appropriations

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date 08/13/2003

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automat

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

Departments of Commerce Authorization and Appropriations

Patent Office Operations

Patent Reform

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Commerce, Patent and Trademark Office

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Linda C. Sadler	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NonSignature _____ Date 08/13/2003Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

Department of Energy Budget
Renewable Energy & Conservation Programs
Transportation Programs – F-CAR

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NoneSignature _____ Date 08/13/2003Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues

Superfund Reform

Waste Remediation —Recycling

Trade & Environment

Clean Water Act national effluent limitations guidelines and pretreatment standards for wastewater discharge products and machinery facilities.

MP&M Rule (EPA Proposal)

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NonSignature _____ Date 08/13/2003Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automat

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Health Care (numerous bills — Patients' Bill of Rights, Mental Health Parity, Medicare Reform)

Medical Confidentiality

Genetic Discrimination

Prescription Drug Benefits

Stock Options / Stock Purchase

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Labor

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NoneSignature _____ Date 08/13/2003Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automat

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each information as requested. Attach additional page(s) as needed.**

15. General issue area code IMM (one per page)

16. Specific lobbying issues

Personnel Mobility
Spousal Work Permits
H1-B Visas
B-Visa Reforms

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Trade Representative

8. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NoneSignature _____ Date 08/13/2003Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automat

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

National Labor Relations Board Reform

Fair Labor Standards Act Reform

Pay Equity

Ergonomic Regulations

Family Medical Leave Act

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NonSignature _____ Date 08/13/2003Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automat

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

Pension Reform

Social Security Reform

Prescription Drug Program

17. House(s) of Congress and Federal agencies contacts

☐

Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above.

☒ Check if Not

Signature _____

Date 08/13/2003Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each information as requested. Attach additional page(s) as needed.**

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Export Controls

Renewal of Export Administration Act

Trade Agreements and Treaties

Trade Financing

Sanctions

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

The White House

United States Trade Representative

Department of State

Department of Commerce

Export-Import Bank

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NonSignature _____ Date 08/13/2003Printed Name and Title Michael K. McDonald, Vice President, Government Operations

SECRETARY OF THE SENATE

Office of the Clerk

03 AUG 14 PM 1: 58

Legislative Resource Center

Lobby Disclosure Act

Request for Notification of Receipt by E-mail

Please complete the following information if you would like to receive an e-mail confirming receipt of your original filing.

Registrant's Name: Rockwell Collins, Inc.

House Identification Number: 35671000 and 35671001

Contact Name: Stephanie K. Lowe

Contact Title: Executive Administrative Assis

Contact E-mail Address: sklowe@rockwellcollins.com

Contact's Daytime Phone Number: (703) 516-8220

[CLEAR FORM](#)[PRINT FORM](#)

