

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Policy & Advocacy Associates			
2. Address <input type="checkbox"/> Check if different than previously reported 1800 Diagonal Road, Suite 600; Alexandria, VA 22314			
3. Principal Place of Business (if different from line 2) City: same State/Zip (or Country):			
4. Contact Name Karen Phillips	Telephone (703) 684-4417	E-mail (optional) kphillip@interofc.com	5. Senate ID # 48920-24
7. Client Name <input type="checkbox"/> Self Canadian National Railway Company			6. House ID # 34551001

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report → Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>100,000</u> <small>income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ <small>expenses (nearest \$20,000)</small></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(c) of the Internal Revenue Code</p>

Signature Karen Borlaug Phillips

Printed Name and Title Karen Borlaug Phillips, President

Registrant Name Policy & Advocacy Associates Client Name Canadian National Railway Company

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (one per page)

16. Specific lobbying issues

- S. 98, the Surface Transportation Board Reauthorization Act of 1999
- S. 621/H.R. 2784, the Railroad Competition & Service Improvement Act of 1999
- S. 747, the Surface Transportation Board Reauthorization & Improvement Act of 1999
- H.R. 3163, the Surface Transportation Board Reauthorization Act of 1999
- H.R. 3398, the Surface Transportation Board Modernization Act
- H.R. 3446, the Surface Transportation Board Reform Act of 1999

17. House(s) of Congress and Federal agencies contacted

Check if None

(continued)

- U.S. House of Representatives
- United States Senate
- Surface Transportation Board
- U.S. Department of Transportation
- Executive Office of the President
- Railroad Retirement Board

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Karen Borlaug Phillips	n/a	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature

Karen Borlaug Phillips

Date August 10, 2000

Printed Name and Title

Karen Borlaug Phillips, President

Registrant Name Policy & Advocacy Associates Client Name Canadian National Railway Company

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (one per page)

16. Specific lobbying issues

- H.R. 4514, the Rail Merger Reform & Customer Protection Act
- S. 2604, the Rail Competition Enforcement Act
- S. 820/H.R. 1001, the Transportation Tax Equity & Fairness Act
- H.R. 4844, the Railroad Retirement & Survivors' Improvement Act of 2000
- H.R. 2060, Railway Safety Funding & Equity Act of 1999
- H.R. 4746, the Emergency Rural & Small Railroad Preservation Act
- H.R. 4475/S. 2720 - FY 2001 Department of Transportation Appropriations Act

(continued)

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- United States Senate
- Surface Transportation Board
- U.S. Department of Transportation
- Executive Office of the President
- Railroad Retirement Board

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Karen Borlaug Phillips	n/a	<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature: Karen Borlaug Phillips Date August 10, 2000
Printed Name and Title: Karen Borlaug Phillips, President

Form 112 (10-1-1999)

3 10

Registrant Name Policy & Advocacy Associates Client Name Canadian National Railway Company

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (one per page)

16. Specific lobbying issues

Proposed combination of the Canadian National/Burlington Northern Santa Fe Railroads

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
United States Senate
Surface Transportation Board
U.S. Department of Transportation
Executive Office of the President
Railroad Retirement Board

18. Name of each individual who acted as a lobbyist in this issue area

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Karen Borlaug Phillips	n/a	<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature: Karen Borlaug Phillips Date August 10, 2000

Printed Name and Title Karen Borlaug Phillips, President

Registrant Name Policy & Advocacy Associates Client Name Canadian National Railway Company

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15. General issue area code RET (one per page)

16. Specific lobbying issues

H.R. 4844, the Railroad Retirement & Survivors' Improvement Act of 2000

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
United States Senate
Railroad Retirement Board

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Karen Borlaug Phillips	n/a	<input type="checkbox"/>
		<input type="checkbox"/>
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Signature Karen Borlaug Phillips Date August 10, 2000
Printed Name and Title Karen Borlaug Phillips, President

Registrant Name Policy & Advocacy Associates Client Name Canadian National Railway Company

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

- S. 820/H.R. 1001, the Transportation Tax Equity & Fairness Act
- H.R. 4844, the Railroad Retirement & Survivors' Improvement Act of 2000
- H.R. 2060, Railway Safety Funding & Equity Act of 1999
- H.R. 4746, the Emergency Rural & Small Railroad Preservation Act

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
United States Senate
Railroad Retirement Board

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Karen Borlaug Phillips	n/a	<input type="checkbox"/>
		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Karen Borlaug Phillips Date August 10, 2000
Printed Name and Title Karen Borlaug Phillips, President

Registrant Name Policy & Advocacy Associates Client Name Canadian National Railway Company

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15. General issue area code TRA (one per page)

16. Specific lobbying issues

- S. 98, the Surface Transportation Board Reauthorization Act of 1999
- S. 621/H.R. 2784, the Railroad Competition & Service Improvement Act of 1999
- S. 747, the Surface Transportation Board Reauthorization & Improvement Act of 1999
- H.R. 3163, the Surface Transportation Board Reauthorization Act of 1999
- H.R. 2298, the Surface Transportation Board Modernization Act
- H.R. 3446, the Surface Transportation Board Reform Act of 1999

17. House(s) of Congress and Federal agencies contacted

Check if None

(continued)

- U.S. House of Representatives
- United States Senate
- Surface Transportation Board
- U.S. Department of Transportation
- Railroad Retirement Board
- Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Karen Borlaug Phillips	n/a	<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature: Karen Borlaug Phillips Date: August 10, 2000

Printed Name and Title: Karen Borlaug Phillips, President

Form 277 (12/99)

7 10

Registrant Name Policy & Advocacy Associates Client Name Canadian National Railway Company

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

- H.R. 4514, the Rail Merger Reform & Customer Protection Act
- S. 2604, the Rail Competition Enforcement Act
- S. 820/H.R. 1001, the Transportation Tax Equity & Fairness Act
- H.R. 4844, the Railroad Retirement & Survivors' Improvement Act of 2000
- H.R. 2060, Railway Safety Funding & Equity Act of 1999
- H.R. 4746, the Emergency Rural & Small Railroad Preservation Act
- H.R. 4475/S. 2720 - FY 2001 Department of Transportation Appropriations Act

17. House(s) of Congress and Federal agencies contacted Check if None (continued)

- U.S. House of Representatives
- United States Senate
- Surface Transportation Board
- U.S. Department of Transportation
- Railroad Retirement Board
- Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Rev
<u>Karen Borlaug Phillips</u>	<u>n/a</u>	<input type="checkbox"/>
		<input type="checkbox"/>
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		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Karen Borlaug Phillips Date August 10, 2000
Printed Name and Title Karen Borlaug Phillips, President

Form 1121 (Dec 1998)

8 10

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15. General issue area code TRA (one per page)

16. Specific lobbying issues

H.R. 1667, the Safe & Efficient Transportation Act
Proposed combination of the Canadian National/Burlington Northern
Santa Fe Railroads

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
United States Senate
Surface Transportation Board
U.S. Department of Transportation
Railroad Retirement Board
Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Karen Borlaug Phillips Date August 10, 2000

Printed Name and Title Karen Borlaug Phillips, President

Registrant Name Policy & Advocacy Associates Client Name Canadian National Railway Company

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15. General issue area code TRD (one per page)

16. Specific lobbying issues

United States/Canada customs issues
NAFTA implementation

17. House(s) of Congress and Federal agencies contacted Check if None

18. Name of each individual who acted as a lobbyist in this issue area

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Karen Borlaug Phillips	n/a	<input type="checkbox"/>
		<input type="checkbox"/>
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Signature Karen Borlaug Phillips Date August 10, 2000
Printed Name and Title Karen Borlaug Phillips, President