

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

1. Registrant Name Washington Council Ernst & Young			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 1150 17th Street, NW Suite 601 City Washington State/Zip (or Country) DC 20036 USA			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name Bruce Gates	Telephone 202-293-7474	E-mail (optional) bruce.gates@wc.ey.com	5. Senate ID # 57475-1231
7. Client Name <input type="checkbox"/> Self Securities Industry Association			6. House ID # 35185075

TYPE OF REPORT 8. Year 2005 Midyear (January 1-June 30) OR Year End (July 1-D

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report >> Termination Date _____

11. No Lobb

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$160,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6011 of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 1631 of the Internal Revenue Code</p>

Signature *Bruce Gates* Date 11/20/2008

Printed Name and Title **Bruce Gates - Partner** F

Registrant Name: Washington Council Ernst & Young

Client Name: Securities Industry Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code FIN (one per page)

16. Specific Lobbying issues
tax issues relating to the financial services industry; corporate accountability
investment advice
market structure/ market data
 spam
 deposit insurance reform
 social security
 privacy
 mutual fund proxy vote disclosure

17. House(s) of Congress and Federal agencies contacted Check if None
Department of Treasury
Executive Office of the President
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Bazel, Lauren	
Doney, John	
Garrett-Nelson, LaBrenda	
Gasper, Gary	
Gates, Bruce	
Giordano, Nick	
Grab, Francis	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title **Bruce Gates - Partner** _____ F

Registrant Name: Washington Council Ernst & Young

Client Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Koshgarian, Dave
18b	Covered Official Position	Chief of Staff, Office of Congressman Ben Cardin
18c	New Lobbyist	No
18a	Lobbyist Name	McGuinness, Marty
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Meltzer, Richard
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Moseley, Phil
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Phelps, Anne
18b	Covered Official Position	Special Asst. to the President for Domestic Policy
18c	New Lobbyist	Yes
18a	Lobbyist Name	Porter, John
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Rozen, Robert
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Steele Flynn, Donna
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Urban, Tim
18b	Covered Official Position	
18c	New Lobbyist	No

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15. General issue area code RET (one per page)

16. Specific Lobbying issues
General social security, pension issues
Retirement security

17. House(s) of Congress and Federal agencies contacted

Check if None

Department of Treasury
Executive Office of the President
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Bazel, Lauren	
Doney, John	
Garrett-Nelson, LaBrenda	
Gasper, Gary	
Gates, Bruce	
Giordano, Nick	
Grab, Francis	

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18c	New Lobbyist	No
18a	Lobbyist Name	Phelps, Anne
18b	Covered Official Position	Special Asst. to the President for Domestic Policy
18c	New Lobbyist	Yes
18a	Lobbyist Name	Porter, John
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Rozen, Robert
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Steele Flynn, Donna
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Urban, Tim
18b	Covered Official Position	
18c	New Lobbyist	No

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15. General issue area code TAX (one per page)

16. Specific Lobbying issues

General tax issues

tax issues relating to the financial services industry

tax treatment of dividends

defined benefit plans

alternative minimum tax

stock option expensing

estate tax

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17. House(s) of Congress and Federal agencies contacted

Check if None

Department of Treasury

Executive Office of the President

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
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Doney, John	
Garrett-Nelson, LaBrenda	
Gasper, Gary	
Gates, Bruce	
Goodwin, Jeffrey L.	
Grab, Francis	

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