

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**BLUE CROSS & BLUE SHIELD ASSN**

2. Address:

1310 G ST NW, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

City: 1310 G STREET, N.W. State/Zip(or Country): DC 20005

4. Contact Name: WILLIAM A. BRESKIN

Telephone: 202-942-1064

E-mail (optional): william.breskin@wro.bcbsa.com

Senate ID #: 6358-12

House ID #: 315760000

7. Client Name: ☒ Self

## TYPE OF REPORT

8. Year 2001 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 3,540,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☒ **Method A.** Reporting amounts using LDA definitions only  
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BAN (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

Medicare Contractor Funding

17. House(s) of Congress and Federal agencies contacted:  
Centers For Medicare and Medicaid Services (CMS)  
HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FOX, ALISSA

Covered Official Position (if applicable): N/A

Name: HANDELMAN, JUSTINE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

PBOR (Patients' Bill of Rights)/H.R. 2315 (Fletcher) entire bill Bipartisan Patient Protection Act"/H.R. 2563 (Ganske), S. 1052 (McCain) entire bill" Small Business Health Fairness Act/H.R. 1774 (Fletcher) AHPs, MEWAs "Mental Health Equitable Treatment Act"/S. 543 (Domenici) entire bill "Amendment to Part C of the Social Security Act"/H.R. 1975 (Shadegg) "Genetic Discrimination on Basis of Genetic Information"/H.R. 602 (Slaughter) entire bill "Genetic Non-Discrimination in Health Insurance and Employment Act"/S. 318 (Daschle) entire bill "Genetic Information Non-Discrimination in Health Insurance Act"/S. 382 (Snowe) entire bil ERISA Claims Rule FEHBP benefits 2002 "Administrative Simplification Compliance Act" HR3323 (Hobson) entire bill HIPAA Privacy Rule Tax credits for the purchase of health insurance (no bill) Tax credits for purchase of long-term care insurance (no bill)

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)

HOUSE OF REPRESENTATIVES

Health & Human Services, Dept of (HHS)

Labor, Dept of (DOL)

SENATE

White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ARZUAGA, PATRICIA

Covered Official Position (if applicable): N/A

Name: BERGMAN, ANDREA

Covered Official Position (if applicable): LEGISLATIVE ASSISTANT (SEN. MICHAEL CRAPO)

Name: CERISANO, JOHN

Covered Official Position (if applicable): N/A

Name: DIDAWICK, KATHY

Covered Official Position (if applicable): N/A

Name: ERICKSEN, JACK

Covered Official Position (if applicable): N/A

Name: FOX, ALISSA

Covered Official Position (if applicable): N/A

Name: GALVIN, JANE

Covered Official Position (if applicable): N/A

Name: HALTMEYER, KRIS

Covered Official Position (if applicable): N/A

Name: HANDELMAN, JUSTINE

Covered Official Position (if applicable): N/A

Name: HEAD, BILL

Covered Official Position (if applicable): N/A

Name: HICKS, CAROLYN

Covered Official Position (if applicable): N/A

Name: LAPIERRE, STEVEN

Covered Official Position (if applicable): N/A

Name: LEHNHARD, MARY NELL

Covered Official Position (if applicable): N/A

Name: MUSKER, JOE

Covered Official Position (if applicable): N/A

Name: NYQUIST, CHRISTINA

Covered Official Position (if applicable): N/A

Name: SEROTA, SCOTT

Covered Official Position (if applicable): N/A

Name: SLACKMAN, JOEL

Covered Official Position (if applicable): N/A

Name: TRIMBLE, BERRY

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**



Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: INS (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

Antitrust, physician collective bargaining, no bill

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CERISANO, JOHN

Covered Official Position (if applicable): N/A

Name: DIDAWICK, KATHY

Covered Official Position (if applicable): N/A

Name: ERICKSEN, JACK

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

## LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

"Medicare Reform Act"/S. 1135 (Graham) entire bill "Medicare Appeals, Regulatory, and Contracting Improvement Act"/S. 1738 (Kerry) entire bill "Medicare Regulatory and Contracting Reform Act"/H.R. 3391 (Johnson) entire bill Medicare Contractor Computer System Security (no bill) Medicare reform, Medigap reform, Medicare+Choice, Medicare Drug Card

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)

HOUSE OF REPRESENTATIVES

Health & Human Services, Dept of (HHS)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERGMAN, ANDREA

Covered Official Position (if applicable): N/A

Name: BRYANT, JENNIFER

Covered Official Position (if applicable): N/A

Name: CERISANO, JOHN

Covered Official Position (if applicable): N/A

Name: DIDAWICK, KATHY

Covered Official Position (if applicable): N/A

Name: ERICKSEN, JACK

Covered Official Position (if applicable): N/A

Name: FOX, ALISSA

Covered Official Position (if applicable): N/A

Name: GALVIN, JANE

Covered Official Position (if applicable): N/A

Name: HANDELMAN, JUSTINE

Covered Official Position (if applicable): N/A

Name: HEAD, BILL

Covered Official Position (if applicable): N/A

Name: LAPIERRE, STEVEN

Covered Official Position (if applicable): N/A

Name: LEHNHARD, MARY NELL

Covered Official Position (if applicable): N/A

Name: SEROTA, SCOTT

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**



Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: PHA (one per page)

16. Specific lobbying issues:

"Access to Pharmaceuticals\Generics Act" H.R 1862 (Brown) "Greater Access to Affordable Pharmaceuticals Act of 2001" S.812 (McCain/Shumer) Prescription drugs Drug safety Pharmaceutical costs

17. House(s) of Congress and Federal agencies contacted:

Food & Drug Administration (FDA)

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERGMAN, ANDREA

Covered Official Position (if applicable): LEGISLATIVE ASSISTANT (SEN. MICHAEL CRAPO)

Name: CERISANO, JOHN

Covered Official Position (if applicable): N/A

Name: SIMMON, CHRISTINE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TOB (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Signature: ON FILE Date: Feb 14, 2002

Printed Name and Title: JACK ERICKSEN - EXECUTIVE DIRECTOR, CONGRESSIONAL RELATIONS

### Information Update Page:

**Complete ONLY where registration information has changed.**

Client's new name

Blue Cross and Blue Shield Association

Client's old name

BLUE CROSS & BLUE SHIELD ASSN

### LOBBYIST UPDATE

**23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client**

Name: ALESSI, MARGARET

Name: BECKER, BRENDA LARSON

Name: BRADISH, NANCY

Name: COHEN, SHARON

Name: COOPER, STEPHEN

Name: GUERDAT, DOUGLAS

Name: HARRISON, SCOTT

Name: HOWARD, DICK

Name: JACKSON, DAWN

Name: LARSON, ROD

Name: MAESTRE, LYNN

Name: MILLER, JULIE SIMON

Name: NELL, JOE

Name: NESTOR, SUSAN

Name: OWEN, AMY M.

Name: RICETTI, JEFFREY

Name: ROBERTS, MICHAEL A.

Name: ULMER, DAN

Name: WEBB, BRIAN

### ISSUE UPDATE

**24. General lobbying issues previously reported that NO LONGER pertain**

B&N      INS      TAX      TOB

### AFFILIATED ORGANIZATIONS

**25. Add the following organization(s)**

**26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client**

### FOREIGN ENTITIES

**27. Add the following foreign entities**

**28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization**

Signature: ON FILE      Date: Feb 14, 2002

Printed Name and Title: -