

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**ASSN OF AMERICAN RAILROADS**

2. Address:

50 F STREET, NW, WASHINGTON, DC 20001

3. Principal place of business (if different from line 2):

4. Contact Name: LAUREN E. JONES

Telephone: 2026392513

E-mail (optional): ljones@aar.org

Senate ID #: 4516-12

House ID #:

7. Client Name:  Self

## TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: \_\_\_\_\_ 11. No Lobbying Activity:

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): 3,121,066.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only  
 **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
 **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: ASSN OF AMERICAN RAILROADS Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

Coal supplies, electricity reliability

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of  
Federal Energy Regulatory Commission (FERC)  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOLTON, DWAYNE

Covered Official Position (if applicable): N/A

Name: GLASER, JOHN

Covered Official Position (if applicable): N/A

Name: HAMBERGER, EDWARD R.

Covered Official Position (if applicable): N/A

Name: MACDONALD, JENNIFER

Covered Official Position (if applicable): N/A

Name: O'BANNON, HUBERT

Covered Official Position (if applicable): N/A

Name: WETZEL, JOHN F.

Covered Official Position (if applicable): N/A

Name: WILSON, NANCY

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENV (one per page)

16. Specific lobbying issues:

S. 1265: To make grants and loans available to States and other organizations to strengthen the economy, public health, and environment of the United States by reducing emissions from diesel engines. S. 131: A bill to amend the Clean Air Act to reduce air pollution through expansion of cap and trade programs, to provide an alternative regulatory classification for units subject to the cap and trade program. S. 342: A bill to provide for a program of scientific research on abrupt climate change, to accelerate the reduction of greenhouse gas emissions in the United States by establishing a market-driven system of greenhouse gas tradeable allowances, to limit greenhouse gas emissions in the United States and reduce dependence upon foreign oil, and ensure benefits to consumers from the trading in such allowances. H.R. 759: To provide for a program of scientific research on abrupt climate change, to accelerate the reduction of greenhouse gas emissions in the United States by establishing a market-driven system of greenhouse gas tradeable allowances that will limit greenhouse gas emissions in the United States, reduce dependence upon foreign oil, and ensure benefits to consumers from the trading in such allowances, and for other purposes. H.R. 5427: Making appropriations for energy and water development for the fiscal year ending September 30, 2007, and for other purposes. H.R. 5386: Making appropriations for the Department of the Interior, environment, and related agencies for the fiscal year ending September 30, 2007, and for other purposes. Climate change, diesel emissions reduction

17. House(s) of Congress and Federal agencies contacted:  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOLTON, DWAYNE  
Covered Official Position (if applicable): N/A  
Name: GLASER, JOHN  
Covered Official Position (if applicable): N/A  
Name: HAMBERGER, EDWARD R.  
Covered Official Position (if applicable): N/A  
Name: MACDONALD, JENNIFER  
Covered Official Position (if applicable): N/A  
Name: O'BANNON, HUBERT  
Covered Official Position (if applicable): N/A  
Name: WETZEL, JOHN F.  
Covered Official Position (if applicable): N/A  
Name: WILSON, NANCY  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HOM (one per page)

16. Specific lobbying issues:

H.R. 4880: To direct the Commandant of the Coast Guard to require that a security plan for a maritime facility be resubmitted for approval upon transfer of ownership or operation of such facility, and for other purposes. H.R. 4941: To reform the science and technology programs and activities of the Department of Homeland Security, and for other purposes. H.R. 5695: To amend the Homeland Security Act of 2002 to provide for the regulation of certain chemical facilities, and for other purposes. H.R. 5714: To improve the security of rail and public transportation systems in the United States, and for other purposes. H.R. 5814: To authorize appropriations for the Department of Homeland Security, and for other purposes. H.R. 5441: Making appropriations for the Department of Homeland Security for the fiscal year ending September 30, 2007, and for other purposes. H.R. 2791: A bill to amend title 46 and 49, United States code, to provide improved maritime, rail and public transportation security, and for other purposes. H.R. 4439: To establish an Airport Screening Organization in the Transportation Security Administration, and for other purposes. S. 2145: A bill to enhance security and protect against terrorist attacks at chemical facilities. S. 2410: To amend the Homeland Security Act of 2002 to limit foreign control of investments in certain United States critical infrastructure. H.R. 2351: To provide for the safety and security of United States railroads, passengers, workers, and communities, and to establish an assistance program for families of passengers involved in rail accidents. S. 376: To improve intermodal shipping container transportation security. S. 773: To ensure the safe and secure transportation by rail of extremely hazardous materials. S. 1256: A bill to require the Secretary of Homeland Security to develop regulations regarding the transportation of extremely hazardous materials, and for other purposes. S. 1753: A bill to establish a unified national hazard alert system, and for other purposes. H.R. 1414: To direct the Secretary of Homeland Security to issue regulations concerning the shipping of extremely hazardous materials, and for other purposes. H.R. 153: To provide increased rail and public transportation security. S. 1986: A bill to provide for the coordination and use of the National Domestic Preparedness Consortium by the Department of Homeland Security, and for other purposes. S. 2008: A bill to improve cargo security, and for other purposes. S. 1379: A bill to provide increased rail transportation security. H.R. 4833: To require that only United States persons may control security operations at seaports in the United States or enter into agreements to conduct such security operations. H.R. 4839: To prohibit entities owned or controlled by foreign governments from conducting certain operations at seaports in the United States, and from entering into agreements to conduct such operations. H.R. 4842: To ensure the security of United States ports, and for other purposes. H.R. 4813: To amend the Defense Production Act of 1950 to improve national security and clarify congressional intent with respect to the review process for certain mergers and acquisitions, and for other purposes. H.R. 4814: To amend section 721 of the Defense Production Act of 1950 to suspend all proposed mergers, acquisitions, or takeovers by foreign persons until certain determinations are made. H.R. 4817: To prohibit entities owned or controlled by foreign governments from carrying out operations at seaports in the United States. H.R. 4820: To amend the Defense Production Act of 1950 to strengthen the requirements relating to investigations under such Act, and for other purposes. H.R. 4807/5. 2333: To require an investigation under the Defense Production Act of 1950 of the acquisition by Dubai Ports World of the Peninsular and Oriental Steam Navigation Company, and for other purposes. S. 2334: To ensure the security of United States ports, and for other purposes. S. 2335: To clarify the role of the Director of National Intelligence, amend the Defense Production Act of 1950 to clarify the notification and investigation requirements, and for other purposes. S. 2367: To provide a cause of action for United States port operators with respect to the potential change of ownership of a terminal operator to a foreign entity, and for other purposes. H.R. 4954: To improve maritime and cargo security through enhanced layered defenses, and for other purposes. S. 2459: A bill to improve cargo security, and for other purposes. H.R. 3510: To require the Secretary of Homeland Security to develop regulations regarding the transportation of extremely hazardous materials, and for other purposes. S. 1052: A bill to improve transportation security, and for other purposes.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
Homeland Security, Dept of (DHS)  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOLTON, DWAYNE  
Covered Official Position (if applicable): N/A  
Name: GLASER, JOHN  
Covered Official Position (if applicable): N/A  
Name: HAMBERGER, EDWARD R.  
Covered Official Position (if applicable): N/A  
Name: MACDONALD, JENNIFER  
Covered Official Position (if applicable): N/A  
Name: O'BANNON, HUBERT  
Covered Official Position (if applicable): N/A  
Name: WETZEL, JOHN F.

Registrant Name: ASSN OF AMERICAN RAILROADS Client Name: Self

Covered Official Position (if applicable): N/A

Name: WILSON, NANCY

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RRR (one per page)

16. Specific lobbying issues:

S. 629: A bill to amend chapter 97 of title 18, United States Code, relating to protecting against attacks on railroads and other mass transportation systems. H.R. 52: To amend title 18, United States Code, to further protect rail and mass transportation, and for other purposes. H.R. 4106: To provide for the security and safety of rail transportation systems in the United States, and for other purposes. H.R. 3264: To authorize the Secretary of Transportation to establish a grant program for the rehabilitation, preservation, or improvement of railroad track. S. 763: A bill to direct the Federal Railroad Administration to make welded rail and tank car improvements. H.R. 1631: To provide for the financing of high-speed rail infrastructure, and for other purposes. S. 1516: A bill to reauthorize Amtrak, and for other purposes. S. 1380: A bill to eliminate unsafe railway-road grade crossings, to enhance railroad safety through new safety technology, safety inspections, accident investigations, and for other purposes. S. 1379: A bill to provide increased rail transportation security. H.R. 1630: To authorize appropriations for the benefit of Amtrak for fiscal years 2006 through 2008, and for other purposes. H.R. 2843: To prohibit the use of remote control locomotives to carry hazardous materials, and for other purposes. S. 852: A bill to create a fair and efficient system to resolve claims of victims for bodily injury caused by asbestos exposure, and for other purposes. S. 3274: A bill to create a fair and efficient system to resolve claims of victims for bodily injury caused by asbestos exposure, and for other purposes. H.R. 3270: To improve the security of public transportation and rail systems in the United States, and for other purposes. H.R. 106: To require the Surface Transportation Board to consider certain issues when deciding whether to authorize the construction of a railroad line. H.R. 168: To amend title 23, United States Code, to establish a goods movement program to improve the productivity, security, and safety of freight transportation gateways. H.R. 1631: To provide for the financing of high-speed rail infrastructure, and for other purposes. S. 3612: A bill to amend the Federal antitrust laws to provide expanded coverage and to eliminate exemptions from such laws that are contrary to the public interest with respect to railroads. H.R. 3318: To amend the Clayton Act to restore the application of the antitrust laws to rail carriers; and for other purposes. S. 919: A bill to amend title 49, United States Code, to enhance competition among and between rail carriers in order to ensure efficient rail service and reasonable rail rates, and for other purposes. H.R. 2047: To authorize appropriations for the Surface Transportation Board, to enhance rail transportation competition, and for other purposes. S. 230: A bill to improve railroad safety. H.R. 4372: To provide for a rail worker emergency training program.

17. House(s) of Congress and Federal agencies contacted:

Federal Railroad Administration  
HOUSE OF REPRESENTATIVES  
Nat'l Transportation Safety Board (NTSB)  
SENATE  
Surface Transportation Board (STB)  
Transportation, Dept of (DOT)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOLTON, DWAYNE  
Covered Official Position (if applicable): N/A  
Name: GLASER, JOHN  
Covered Official Position (if applicable): N/A  
Name: HAMBERGER, EDWARD R.  
Covered Official Position (if applicable): N/A  
Name: MACDONALD, JENNIFER  
Covered Official Position (if applicable): N/A  
Name: O'BANNON, HUBERT  
Covered Official Position (if applicable): N/A  
Name: WETZEL, JOHN F.  
Covered Official Position (if applicable): N/A  
Name: WILSON, NANCY  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ASSN OF AMERICAN RAILROADS Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

H.R. 5074: To amend the Railroad Retirement Act of 1974 to provide for continued payment of railroad retirement annuities by the Department of the Treasury, and for other purposes. H.R. 5483: To increase the disability earning limitation under the Railroad Retirement Act and to index the amount of allowable earnings consistent with increases in the substantial gainful activity dollar amount under the Social Security Act. S. 3742: To amend the Internal Revenue Code of 1986 to provide incentives to encourage investment in the expansion of freight rail infrastructure capacity and to enhance modal tax equity. S. 3726: To amend the Railroad Retirement Act of 1974 to provide for continued payment of railroad retirement annuities by the Department of the Treasury, and for other purposes. H.R. 2830: To amend the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to reform the pension funding rules, and for other purposes. S. 1783: A bill to amend the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to reform the pension funding rules, and for other purposes. S. 2020/ H.R. 4297: An original bill to provide for reconciliation pursuant to section 202(b) of the concurrent resolution on the budget for fiscal year 2006.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOLTON, DWAYNE  
Covered Official Position (if applicable): N/A  
Name: GLASER, JOHN  
Covered Official Position (if applicable): N/A  
Name: HAMBERGER, EDWARD R.  
Covered Official Position (if applicable): N/A  
Name: MACDONALD, JENNIFER  
Covered Official Position (if applicable): N/A  
Name: O'BANNON, HUBERT  
Covered Official Position (if applicable): N/A  
Name: WETZEL, JOHN F.  
Covered Official Position (if applicable): N/A  
Name: WILSON, NANCY  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ASSN OF AMERICAN RAILROADS Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRA (one per page)

16. Specific lobbying issues:

S. 95: A bill to amend titles 23 and 49, United States Code, concerning length and weight limitations for vehicles operating on Federal-aid highways, and for other purposes. S. 104, To authorize appropriations to the Department of Transportation for surface transportation research and development, and for other purposes. S. 428, A bill to provide \$30,000,000,000 in new transportation infrastructure funding in addition to TEA-21 levels through bonding to empower States and local governments to complete significant long-term capital improvement projects for highways, public transportation systems, and rail systems, and for other purposes. S. 104, A bill to amend the Internal Revenue Code of 1986 to provide tax-exempt financing of highway projects and rail-truck transfer facilities. H.R. 5576: Making appropriations for the Departments of Transportation, Treasury, and Housing and Urban Development, the Judiciary, District of Columbia, and independent agencies for the fiscal year ending September 30, 2007, and for other purposes.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
Nat'l Transportation Safety Board (NTSB)  
SENATE  
Transportation, Dept of (DOT)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOLTON, D'WAYNE  
Covered Official Position (if applicable): N/A  
Name: GLASER, JOHN  
Covered Official Position (if applicable): N/A  
Name: HAMBERGER, EDWARD R.  
Covered Official Position (if applicable): N/A  
Name: MACDONALD, JENNIFER  
Covered Official Position (if applicable): N/A  
Name: O'BANNON, HUBERT  
Covered Official Position (if applicable): N/A  
Name: WETZEL, JOHN F.  
Covered Official Position (if applicable): N/A  
Name: WILSON, NANCY  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 15, 2007

Printed Name and Title: LAUREN JONES, LEGISLATIVE ASSISTANT, FEDERAL AND S -