

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

CARTER, JOSEPH L., JR.

2. Address:

8804 CORD CIRCLE, POTOMAC, MD 20854

3. Principal place of business (if different from line 2):

4. Contact Name: JOSEPH L. CARTER, JR.

Telephone: 3012994357

E-mail (optional): joe.carter@nscorp.com

Senate ID #: 8384-24

House ID #: 33489000

7. Client Name: ☐ Self

NORFOLK SOUTHERN CORP

TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Income (nearest \$20,000): 20,000.00

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Expenses (nearest \$20,000): _____

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: CARTER, JOSEPH L., JR. Client Name: NORFOLK SOUTHERN CORP

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

H.R. 5441, Department of Homeland Security Appropriation Act, 2007. Monitored bill and generally supported funding for certain railroad security needs. H.R. 5427, Energy and Water Development Appropriation Act, 2007. Supported funding for clean coal R&D (Title III) and railroad locomotive R&D. H.R. 5576, DOT, HUD, Treasury, D.C. Appropriations Act, 2007. Supported funding for FRA R&D, Positive Train Control, RRIF, Amtrak, and projects of national significance. (Title I). Opposed section 199(Senate bill). Burns amendment.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CARTER, JOSEPH L JR

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CARTER, JOSEPH L., JR. Client Name: NORFOLK SOUTHERN CORP

LOBBYING ACTIVITY.

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15. General issue area code: CAW/ (one per page)

16. Specific lobbying issues:

S. 1151. Climate Stewardship & Innovation Act. Opposed mandatory approaches as in bill, but supports voluntary efforts. S. 131. Clear Skies Act of 2005. Supported. S. 150. Clean Power Act of 2005. Opposed S. 2724 Clean Air Planning Act. Opposed.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CARTER, JOSEPH L JR

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CARTER, JOSEPH L., JR. Client Name: NORFOLK SOUTHERN CORP

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15. General issue area code: CHM (one per page)

16. Specific lobbying issues:

S. 733 & H.R. 1414. Extremely Hazardous Materials Transportation Act of 2005. Opposed. H.R. 5695, S. 2145. Chemical Facility Anti-terrorism Act of 2006. Monitored legislation.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CARTER, JOSEPH L JR

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CARTER, JOSEPH L., JR. Client Name: NORFOLK SOUTHERN CORP

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15. General issue area code: HOM (one per page)

16. Specific lobbying issues:

S. 1052, H.R. 2351. Transportation Security Act of 2005 and Rail Security Act of 2005. Monitored and supported funding for certain railroad security needs. S. 1256. Hazardous Materials Vulnerability Reduction Act. Opposed.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CARTER, JOSEPH L JR

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CARTER, JOSEPH L., JR. Client Name: NORFOLK SOUTHERN CORP

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15. General issue area code: LAW (one per page)

16. Specific lobbying issues:

S. 773, H.R. 1414. Extremely Hazardous Materials Transportation Act. Opposed S. 1052, H.R. 2351. Transportation Security Act of 2005. Supported Section 314 of S. 1052 and section 113 of H.R. 2351 allowing railroad police to enforce on the property of another railroad.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CARTER, JOSEPH L JR

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

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15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

H.R. 2830, S. 1783. Pension Protection Act and Pension Transparency Act of 2005. Monitored. H.R. 3318, S. 312. Railroad Antitrust and Competition Enforcement Act. Opposed. H.R. 5483. Railroad Retirement Disability Earning Act. Supported.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

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Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CARTER, JOSEPH L., JR. Client Name: NORFOLK SOUTHERN CORP

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15. General issue area code: ROD (one per page)

16. Specific lobbying issues:

H.R. 3 (PL 109-59). SAFETEA-LU. Supported in 2005 and monitored implementation in 2006, particularly with respect to projects of national significances (i.e. CREATE and Heartland Corridor). H.R. 6223. To amend SAFETEA-LU to make technical corrections and for other purposes. Monitored.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CARTER, JOSEPH L JR

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

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15. General issue area code: RRR (one per page)

16. Specific lobbying issues:

S. 1516. Passenger Rail Investment Act. Sought amendments regarding access and performance/penalties. H.R. 5074 (PL 109-305) Railroad Retirement Technical Improvement Act. Supported. S. 1380. Railroad Safety Improvement Act. Monitored. No bill. Explored proposals to limit railroad liability for transporting TIH hazardous materials. H.R. 5076. National Transportation Safety Board Safety Act Amendments. Monitored. H.R. 1631. Rail Infrastructure Development and Expansion Act of the 21st Century. Monitored and generally supported additional resources for rail infrastructure where public benefits and supported RRIF (Section V). H.R. 1630. S. 1516. Amtrak Authorization Act. Supported. S. 919, H.R. 2047. Railroad Competition Act . Opposed bills and efforts to re-regulate the railroads.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

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Name: CARTER, JOSEPH L JR
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

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15. General issue area code: TOR (one per page)

16. Specific lobbying issues:

S. 853. FAIR Act of 2005(Asbestos). Opposed legislation since it included railroads (section 203h).

17. House(s) of Congress and Federal agencies contacted:
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

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Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

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15. General issue area code: WAS (one per page)

16. Specific lobbying issues:

H.R. 2491, S. 1198. International Solid Waste Importation and Management Act. Monitored. S. 1607, H.R. 3577. Solid Waste Environmental Regulations Clarification Affecting Railroads Act. Monitored.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

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Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Jan 31, 2007

Printed Name and Title: JOSEPH L. CARTER, JR., CONSULTANT -