

COVINGTON & BURLING

1201 PENNSYLVANIA AVENUE NW
WASHINGTON, DC 20004-2401
TEL 202.662.6000
FAX 202.662.6291
WWW.COV.COM

WASHINGTON
NEW YORK
SAN FRANCISCO
LONDON
BRUSSELS

SECRETARY OF THE SENATE
03 JAN 30 PM 2:25
RODERICK A. DE ARMENT
TEL 202.662.5900
FAX 202.778.5900
RDEARMENT@COV.COM

January 22, 2003

Senate Office of Public Records
United States Senate
232 Hart Senate Office Building
Washington, D.C. 20510-7116

Re: United Technologies Corporation (ID: 11195-1317)
Amended Registration Report

Dear Sir/Madam:

Enclosed is an amended Lobbying Registration report on behalf of United Technologies Corporation for the period January 1, 2002 to June 30, 2002. Please note that Item 13 has been checked to indicate that there are no affiliated organizations in response to your request for further clarification.

Sincerely yours,



--Roderick A. DeArment

Enclosure

| | |
|---|--|
| Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 | Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 |
|---|--|

SECRETARY OF THE SENATE
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LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration ☒ 1. Effective Date of Registration June 1
 2. House Identification Number 31827097 Senate Identification Number 11195-13

REGISTRANT

3. Registrant Name **Covington & Burling**
 Address **1201 Pennsylvania Avenue, N.W.**
 City **Washington** State **D.C.** Zip
 4. Principal place of business (if different from line 3)
 City State/Zip (or Country)
 5. Telephone number and contact name
(202) 662-5900 Contact **Roderick A. DeArment** Email (optional) **rdearment@cov.c**
 6. General description of registrant's business or activities **Law Firm**

CLIENT

A Lobbying firm is required to file a separate registration for each client. Organizations employing lobbyists should check the box labeled "Self" and proceed to line 10. ☐ Self

7. Client Name **United Technologies Corporation**
 Address **United Technologies Building, One Financial Plaza**
 City **Hartford** State **CT** Zip
 8. Principal place of business (if different from line 7)
 City State/Zip (or Country)
 9. General description of client's business or activities

Providing high technology products to the aerospace and building systems industries.

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client on line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting as a lobbyist for the client, indicate the executive and/or legislative position(s) in which the person served

| Name | Covered Official Position (if applicable) |
|-----------------------------|---|
| Roderick A. DeArment | |
| John M. Vine | |

Registrant Name Covington & Burling Client Name United Technologies Corporation

LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

RET

12. Specific lobbying issues (current and anticipated)

H.R. 3762, H.R. 3763, S. 1992, S. 1971 and other legislation affecting the operation of employee benefit plans.

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period **and** in the whole or major part plans, supervises or controls the registrant's lobbying activities?

☒ No ⇒ Go to line 14.

☐ Yes ↓ Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

| Name | Address | Principal Place of Business (city and state or country) |
|------|---------|--|
| | | |

FOREIGN ENTITIES

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; **or**
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs the finances or subsidizes activities of the client or any organization identified on line 13; **or**
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

☒ No ⇒ Sign and date the registration.

☐ Yes ↓ Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

| Name | Address | Principal place of Business (city and state or country) | Amount of contribution for lobbying activities | p |
|------|---------|--|--|---|
| | | | | |

Signature

Date

January 22, 2003

Printed Name and Title Roderick A. DeArment, Partner

