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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name  New York Bankers Association			
2. Address <input type="checkbox"/> Check if different than previously reported  99 Park Avenue, 4th Floor, New York, NY 10016-1502			
3. Principal Place of Business (if different from line 2)  City: _____ State/zip (or Country) _____			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Roberta Kotkin	212-297-1684	rkotkin@nyba.com	29163-00012
7. Client Name	<input checked="" type="checkbox"/> Self		6. House ID #
			31432000

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_

11. No Lobbying

<b>INCOME OR EXPENSES</b> - Complete Either Line 12 OR Line 13	
<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ <u>60,000.00</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b) Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) Internal Revenue Code</p>

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

LD-2 (REV. 4/03)

PAGE 1 of \_

Registrant Name New York Bankers Association; Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

Deposit Insurance Reform (H.R.522, S.229, S.913); Extension of the Fair Credit Reporting Act (H.R.2622, S.660, S.1753); Bankruptcy Reform (H.R.975, H.R.1529); Budget & Tax Issues (H.R.2); Real Estate Brokerage & Management (H.R.111, S.98, H.R.2989, Treasury, Transportation & Independent Agencies Appropriations bills); Flood Insurance (H.R.253, S.1768); Small Business Administration legislation (S.141, H.R.923, S.1375); Expanded MMDA Accounts & Interest on Corporate Checking Legislation (H.R.859, H.R.758; S.1967); Check Trunca-

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. Senate	U.S. Treasury Department
U.S. House of Representatives	Office of Thrift Supervision
Federal Reserve Board	Office of the Comptroller of the Currency
Federal Deposit Insurance Corporation	Securities & Exchange Commission
Internal Revenue Service	

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Michael P. Smith	
William J. Bosies	
Roberta Kotkin	
Karen Jannetty	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Roberta Kotkin* Date 2/11/04



New York Bankers Association  
2003 Year End (July 1 – December 31) Lobbying Report

Continuation Sheet

16. Specific lobbying issues (continued from Form)

tion Legislation (H.R. 1474, S. 1334); Internet Gambling Legislation (H.R. 2143); Class Action and Tort Reform Legislation (H.R. 1115, S. 274); Regulatory Burden Relief Legislation and Regulation (H.R. 1375); Bank Capital Regulations and Legislation (H.R. 2043); Mutual Funds Legislation (H.R. 2420) and Regulations; Pension Legislation (H.R. 1533) and Regulations; FHA Legislation (H.R. 1985); Regulations implementing the USA PATRIOT Act; Regulations implementing the Sarbanes-Oxley Act; Regulations with regard to High Cost Home Lending; Regulations on bank and trust taxes; Community Reinvestment Act Regulations; Credit Union Membership and Small Business Lending Regulations; Privacy Regulations and Legislation, including the “do not call” list; Credit Union Legislation (H.R. 3579); Legislation regulating government-sponsored enterprises (H.R. 2575); Tax Legislation (H.R. 2896); Anti-SPAM Legislation (S. 877); Housing Legislation (S. 811, H.R. 1276); and Legislation on lending to examiners (S. 1947).

17. House(s) of Congress and Federal agencies contacted (continued from Form)

Small Business Administration  
National Credit Union Administration  
Department of Housing and Urban Development  
Federal Trade Commission  
Architectural and Transportation Barriers  
Compliance Board (Access Board)  
FANNIE MAE

