

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF

01 AUG 14

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name

Lehman Brothers Inc.

2. Address ☐ Check if different than previously reported

800 Connecticut Avenue, N.W., Suite 1200 Washington, D.C. 20006

3. Principal Place of Business (if different from line 2)

City: New York

State/Zip (or Country) New York

4. Contact Name

Telephone

E-mail (optional)

5. Senate ID #

Judith Winchester

202-452-4720

22553-12

7. Client Name ☒ Self

6. House ID #

30105000

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☒ OR Year End (July 1-Decen

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____

11. No Lobbying A

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☐ ⇨ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☒ ⇨ \$ 320,000
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of

☒ Method A. Reporting amounts using LDA definition

☐ Method B. Reporting amounts under section 6033(c) Internal Revenue Code

☐ Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature

Printed Name and Title Judith Winchester, Managing Director, Government Affairs

[REDACTED]

Registrant Name Lehman Brothers Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Competitive Market Supervision Act of 2001 (S. 143)

Investor and Capital Markets Fee Relief Act (H.R. 1088)

Bankruptcy Abuse Prevention and Consumer Protection Act of 2001 (H.R. 333)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Judith Winchester	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature



Date

8-6-01

Printed Name and Title Judith Winchester, Managing Director, Government Affairs



Registrant Name Lehman Brothers Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Economic Growth and Tax Relief Reconciliation Act of 2001 (H.R. 1836)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Judith Winchester	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Judith Winchester Date 8/6/01

Printed Name and Title Judith Winchester, Managing Director, Government Affairs



Registrant Name Lehman Brothers Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, p information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

Business Method Patent Improvement Act of 2001 (H.R. 1332)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

U.S. Senate

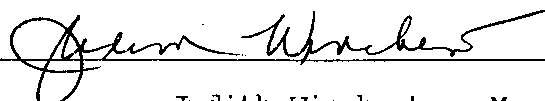
18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Judith Winchester	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature



Date

8/06/01

Printed Name and Title Judith Winchester, Managing Director, Government Affairs

