



# Security Traders Association

One World Trade Center, Suite 4511 New York, NY 10048 (212) 524-0484 Fax (212) 521-3444 WATE  
1350 Connecticut Ave. NW, Suite 605 Washington, D.C. 20036 (202) 862-3718 Fax (202) 862-3956

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ANDREW N. GRASS, JR.  
Senior Vice President/General Counsel

November 17, 1999

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

Dear Sirs,

Enclosed for filing is an amended Lobbying Report for the mid-year period for 1999.

Very truly yours,

Andrew N. Grass, Jr.

ANG:tft

10/17/99 10:00 AM  
10/17/99 10:00 AM

Clerk of the House of Representatives  
Legislative Resource Center  
5-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

|   |                           |                   |                            |
|---|---------------------------|-------------------|----------------------------|
| 1. Registrant Name<br>SECURITY TRADERS ASSOCIATION, INC.  |                           |                   |                            |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br>ONE WORLD TRADE CENTER, SUITE 4511, NY, NY 10048 |                           |                   |                            |
| 3. Principal Place of Business (if different from line 2)<br>City: _____ State/Zip (or Country): _____                              |                           |                   |                            |
| 4. Contact Name<br>ANDREW N. GRASS, JR.   | Telephone<br>212-524-0484 | E-mail (optional) | 5. Senate ID #<br>44765-12 |
| 7. Client Name <input checked="" type="checkbox"/> Self   |                           |                   | 6. House ID #<br>34039000  |

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_

11. No Lobbying Activity

|   |   |
|---|---|
| INCOME OR EXPENSES - Complete Either Line 12 OR Line 13   |   |
| <p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____<br/>Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>560,000.</u><br/>Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p> |

Signature \_\_\_\_\_

Printed Name and Title ANDREW N. GRASS, JR.; VP/GEN. COUNSEL

LD-3 (REV. 6/96)

PAGE 1 of 2

Registrant Name SECURITY TRADERS ASSOC. Client Name SELF

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

AMEND § 31 OF SECURITIES EXCHANGE ACT OF 1934

17. House(s) of Congress and Federal agencies contacted  Check if None

SENATE  
HOUSE OF REPRESENTATIVES  
SECURITIES AND EXCHANGE COMMISSION

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) | New                      |
|------|---|--------------------------|
|      |   | <input type="checkbox"/> |
|      |   | <input type="checkbox"/> |
|      |   | <input type="checkbox"/> |
|      |   | <input type="checkbox"/> |
|      |   | <input type="checkbox"/> |
|      |   | <input type="checkbox"/> |
|      |   | <input type="checkbox"/> |
|      |   | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Andrew N. Grass, Jr.* Date 11/16/99  
Printed Name and Title ANDREW N. GRASS, JR. VP & GENERAL COUNSEL