

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE SENATE  
03 AUG 14 PM 3:59

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Cauthen and Associates</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>825 Cauthen Dr.</b> City <b>Montgomery</b> State/Zip (or Country) <b>AL 36105</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>Harvey E. Cauthen, Jr.</b>		Telephone <b>(334) 264-1155</b>	E-mail (optional) _____
5. Senate ID # <b>8500-253</b>			
7. Client Name <input type="checkbox"/> Self <b>Vesta Insurance Group</b>			6. House ID # <b>31320018</b>

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30)  OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_

11. No Lobbyi

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<p><b>INCOME</b> relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> &gt;&gt; \$ <u>\$20,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>EXPENSES</b> relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> &gt;&gt; \$ _____ Expenses (nearest \$20</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definiti</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033 the Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162( Internal Revenue Code</p>

Signature \_\_\_\_\_ Date **8/14/2003**

Printed Name and Title **David O. Dardis - Attorney** \_\_\_\_\_ Page

Registrant Name: Cauthen and Associates

Client Name: Vesta Insurance Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code INS (one per page)
- 16. Specific Lobbying issues  
**Tax provisions relating to insurance securitization, reinsurance.**  
**H.R. 2, Jobs and Growth Reconciliation Tax Act of 2003**  
**S. 2, Jobs and Growth Reconciliation Tax Act of 2003**

- 17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Cauthen, Jr., Harvey E.</b>	
<b>Levine, Jeffrey</b>	
<b>Williams, Zachary</b>	

- 19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 8/14/2003

Printed Name and Title David O. Dardis - Attorney \_\_\_\_\_ Pag

Registrant Name: Cauthen and Associates

Client Name: Vesta Insurance Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code TAX (one per page)

16. Specific Lobbying issues  
**Tax provisions relating to insurance securitization, reinsurance.**  
**H.R. 2, Jobs and Growth Reconciliation Tax Act of 2003**  
**S. 2, Jobs and Growth Reconciliation Tax Act of 2003**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Cauthen, Jr., Harvey E.</b>	
<b>Levine, Jeffrey</b>	
<b>Williams, Zachary</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 8/14/2003

Printed Name and Title David O. Dardis - Attorney \_\_\_\_\_ Page

Registrant Name: Cauthen and Associates

Client Name: Vesta Insurance Group

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client  
**Levine, Jeffrey**

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)


26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal Place of Business (city and state or country)	Amount of contribution for lobbying activities	(

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant or affiliated organization

Signature  Date 8/14/2003

Printed Name and Title David O. Dardis - Attorney Pag