Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

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## **LOBBYING REGISTRATION**

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration		1. Effective Date of Registration 6/12/2003			)3	
2. House Identification Number		Senate Identification Number				
REGISTRANT 3. Registrant name The Rich Group						
Address 1133 Connecticut Ave., NW Fi	fth Floor					
City Washington		Sta	ite DC	Zip	20036	441
4. Principal place of business (if different from City	ı line 3)	Sta	ite/Zip (or	Country)		
5. Telephone number and contact name (202) 429-6881	Contact	Mitchell Feuer		E-mail (c	optional)	mitchell.fe
6. General description of registrant's business Lobbying firm	or activities					
CLIENT A Lobbying firm is required to file a sep  labeled "Self" and proceed to line 10. 7. Client name Financial Services Roundta	$\square$ Self	tion for each client. <b>Org</b>	anizations ei	mploying in-h	ouse lobbyis	ts should check
Address 805 15th Street, NW, Suite	600					
City Washington		Sta	ate DC	Zip	20005	
8. Principal place of business (if different from City	ı line 7)	S	tate/Zip (01	r Country)		
General description of client's business or a     Financial services trade association	ctivities					

## **LOBBYISTS**

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any persithis section has served as a "covered executive branch official" or "covered legislative branch official" within two ye acting as a lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

	Name		Covered Official Position (if applicabl
Peter Rich			
Mitchell Feuer			
	FII: #26 l0 4072	 	

Form LD-1 (Rev. 06/98)



Registrant Name	The Rich Group	Client N	ame	Financial Se	vices Roundtable (5)
					( <u>F</u> )
LOBBYING I: 11. General lobbying		all applicable codes lis	ted in instructions an	d on the rever	se side of Form 4D-1,
FIN BAN				_	f-2 - (λ)
12. Specific lobbying	g issues (current and	anticipated)			ţ
Securities issu	es, including regula	ation of mutual funds.			(J1) - 45
AFFILIATED	ORGANIZAT	TIONS		_	· · · · · · · · · · · · · · · · · · ·
13. Is there an entity	y other than the cli	ent that contributes nor in major part plan	nore than \$10,000	to the lobbyi	ng activities of the r
a semiannuai pe	Tiod and in whole	or in major part plan	s, supervises or co.	maois me reg	istiani s 1000ying a
☑ No   □ G	o to line 14.	☐ Yes	Complete the re the criteria above		tion for each entity to
Name	me	A	idress	<u> </u>	rincipal Place of Busin
				(c	ity and state or cour
				į	
					· · · · · · · · · · · · · · · · · · ·
FOREIGN EN					
14. Is there any for	eign entity that:				
•	=	able ownership in the	<del>-</del>		
•	•	whole or in major pa r any organization id	=		inrects, finances or s
c) is an a	affiliate of the clien	nt or any organization			direct interest in th
of the	lobbying activity?				
No ⇒ Sign	and date the regis	tration.	-	ng the criteri	f this section for eac a above, then sign a
Name		Address	Principal plac	e of	Amount of
			business (city and state or	country) 1	contribution for obbying activities
		<del></del>			
	}	. •			
Signature	114			Date	07/15/2003

Filing #3fd24873-de9c-4999-8ca3-bd79467fbb79 - Page 3 of 4

Printed Name and Title Peter Rich, principal

Form LD-1 (Rev. 06/98)