

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE SENATE

02 FEB 14 PM 3:28

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <u>Hoffmann-La Roche Inc.</u>			
2. Address <input type="checkbox"/> Check if different than previously reported <u>1300 I Street, NW, Suite 520 West, Washington, DC 20005-3314</u>			
3. Principal Place of Business (if different from line 2) City: <u>Nutley</u> State/Zip (or Country) <u>NJ 07110</u>			
4. Contact Name <u>Candace Sams</u>		Telephone <u>202-408-0090</u>	E-mail (optional) 5. Senate ID # <u>1841</u>
7. Client Name <input checked="" type="checkbox"/> Self		6. House ID # <u>3116</u>	

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date \_\_\_\_\_

11. No Lobbying

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)  Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>EXPENSES</b> relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>1,162,938</u> Expenses (nearest \$20,000)  <b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description: <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 602 Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code

Signature

*Brian Burns*

Printed Name and Title Brian Burns, Director, Federal Government Affairs



Registrant Name Hoffmann-La Roche Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code CPT

16. Specific lobbying issues

Intellectual Property/Patent-related Issues

Hatch-Waxman Reform

17. House(s) of Congress and Federal agencies contacted ☒ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brian R. Burns	Director, Federal Government Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Roche Holding Ltd.--100% ownership in client

F. Hoffmann-La Roche Ltd.--Interests similar to those of client

Signature Brian Burns Date 2/14/02

Printed Name and Title Brian Burns, Director, Federal Government Affairs



Registrant Name Hoffmann-La Roche Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code HCR

16. Specific lobbying issues

HR 2352, Fair Balance Prescription Drug Advertisement Act  
S.1032, International Infectious Diseases Control Act  
S.838, Best Pharmaceuticals for Children Act  
HR 2887, Best Pharmaceuticals for Children Act  
S.193, Access to Cancer Therapies Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brian Burns	Director, Federal Government

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Roche Holding Ltd.--100% ownership in client  
F. Hoffmann-La Roche Ltd.--Interest similar to those of cli

Signature Brian Burns Date 2/14/02

Printed Name and Title Brian Burns, Director, Federal Government Affairs



Registrant Name Hoffmann-La Roche Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each co information as requested. Attach additional page(s) as needed.

15. General issue area code LHCR (Continued)

16. Specific lobbying issues

HR 2239m CARE Act of 2001, Labor, HHS, Education Appropriations  
S.805, MD-CARE Act of 2001, Labor, HHS, Education Appropriations  
S.10.IS, Medicare Prescription Drug Coverage

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brian Burns	Director, Federal Government Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Roche Holding Ltd.--100% ownership in client  
F. Hoffmann-La Roche Ltd.--Interest similar to those of client

Signature Brian Burns Date 2/14/02

Printed Name and Title Brian Burns, Director, Federal Government Affairs





Registrant Name Hoffmann-La Roche I Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code MMM

16. Specific lobbying issues

HR 1839, Immunosuppressive Drug Coverage Act  
S. 880, Immunosuppressives Drug Coverage Act  
HR 3032, Medicare Coverage of Immunosuppressives Drug Cov  
S.1204, Comprehensive Immunosuppressive Drug Coverage  
S.358, Medicare Prescription Drug and Modernization Act  
S.841, S.101S, Medicare Drug Coverage Bills  
HR 1641, Medicaid Obesity Treatment Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate  
Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brian Burns	Director, Federal Government

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Roche Holding Ltd.--100% ownership in client  
F. Hoffmann-La Roche Ltd.--Interests similar to those of c:

Signature Brian Burns Date 2/14/02

Printed Name and Title Brian Burns, Director, Federal Government Affairs

[REDACTED]

Registrant Name Hoffmann-La Roche I Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (Continued)

16. Specific lobbying issues

S.1135, Medicare Reform Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate  
Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brian Burns	Director, Federal Government

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Roche Holding Ltd.--100% ownership in client  
F. Hoffmann-La Roche Ltd.--Interests similar to those of

Signature Brian Burns Date 2/14/02

Printed Name and Title Brian Burns, Director, Federal Government Affairs



Registrant Name Hoffmann-La Roche Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code PHA (one per page)

16. Specific lobbying issues

Pharmaceutical Regulatory Issues

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brian Burns	Director, Federal Government

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Roche Holding Ltd.--100% ownership in client

F. Hoffmann-La Roche Ltd.--Interest similar to those of cli

Signature

*Brian Burns*

Date

*2/14/02*

Printed Name and Title Brian Burns, Director, Federal Government Affairs



Registrant Name Hoffmann-La Roche Client Name Self

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

TRD

LAW

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bus (city and state or cou

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities
Previously filed on Form LD-IU			\$50,000

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant or affiliated organization

Signature

*Brian Burns*

Date

*2/14/02*

