

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

2. Address:

, PEORIA, IL 61629-1430

3. Principal place of business (if different from line 2):

Country: City: State/Zip(or Country):

4. Contact Name: DOUGLAS P. CREW

Telephone: 309 675-5248

E-mail (optional): crew_douglas_p@cat.com

Senate ID #: 8475-12

House ID #: 31319000

7. Client Name: ☐ Self

CATERPILLAR INC.

TYPE OF REPORT

8. Year 2005 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): _____

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: Client Name: CATERPILLAR INC.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

Support HR2863 - Defense Appropriation - Service Life Extension Program, construction equipment

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MYERS, CHRISTOPHER

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: Client Name: CATERPILLAR INC.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

Opposed to any changes in the Clean Air Act to postpone or change the Low Sulfur Diesel fuel rules promulgated by the Environmental Protection Agency.

17. House(s) of Congress and Federal agencies contacted:
U. S. House

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MYERS, CHRISTOPHER

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: Client Name: CATERPILLAR INC.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

HR 3824 - To amend and reauthorize the Endangered Species Act of 1973.

17. House(s) of Congress and Federal agencies contacted:

U. S. House

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MYERS, CHRISTOPHER

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: Client Name: CATERPILLAR INC.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

Supported HR 6 - Energy Bill - comprehensive national energy bill. Supported major elements including clean coal technology, retrofitting older diesel engines and combined heat and power (CHP) technology.

17. House(s) of Congress and Federal agencies contacted:
U. S. House

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MYERS, CHRISTOPHER

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: Client Name: CATERPILLAR INC.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

Support - Energy and water appropriation, FY07 appropriations for heavy truck engine program, ARES program.

17. House(s) of Congress and Federal agencies contacted:

U. S. House

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MYERS, CHRISTOPHER

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: Client Name: CATERPILLAR INC.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENV (one per page)

16. Specific lobbying issues:

Support SB 1265 - Diesel Emissions Reduction Act of 2005 (DERA) - a bill appropriating federal loans and grants to assist local fleets of diesel equipment to reduce emissions.

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: Client Name: CATERPILLAR INC.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

Oppose S.975 - Project Bioshield II Act of 2005 - seek revisions to bioterrorism bill pertaining to patent extensions on prescription drugs.

17. House(s) of Congress and Federal agencies contacted:

U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HIMES, KATHRYN

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: Client Name: CATERPILLAR INC.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

Support HR 2830 - The Pension Protection Act of 2005 - to give workers more freedom to diversify, better access to investment choices, strengthening enforcement of federal pension protection laws.

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HIMES, KATHRYN

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: Client Name: CATERPILLAR INC.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MYERS, CHRISTOPHER

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: Client Name: CATERPILLAR INC.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TOR (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:
U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HIMES, KATHRYN

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: Client Name: CATERPILLAR INC.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRA (one per page)

16. Specific lobbying issues:

Supported HR3 TEA 21 Transportation Bill - increased funding for highway and bridge infrastructure.

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

Name: VOLZ, CARL

Covered Official Position (if applicable): WASHINGTON MANAGER, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: Client Name: CATERPILLAR INC.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LANE, WILLIAM

Covered Official Position (if applicable): WASHINGTON DIRECTOR, GOVERNMENTAL AFFAIRS

Name: LANE, WILLIAM

Covered Official Position (if applicable): WASHINGTON DIRECTOR, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: Client Name: CATERPILLAR INC.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

Oppose S. 14, S. 295, S. 377, S. 984, H.R. 1498, H.R. 2208 -- Legislation mandating increased duties on Chinese imports to offset the impact of an artificially-low currency or allow for new trade remedies against China.

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

Name: LANE, WILLIAM

Covered Official Position (if applicable): WASHINGTON DIRECTOR, GOVERNMENTAL AFFAIRS

Name: LANE, WILLIAM

Covered Official Position (if applicable): WASHINGTON DIRECTOR, GOVERNMENTAL AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Signature: ON FILE Date: Feb 14, 2006

Printed Name and Title: Douglas P. Crew, Manager, Governmental Affairs -

Registrant Name: Client Name: CATERPILLAR INC.

Information Update Page:

Complete **ONLY** where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is **NO LONGER** expected to act as a lobbyist for the client

Name: Kaleta, Edward

ISSUE UPDATE

24. General lobbying issues previously reported that **NO LONGER** pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is **NO LONGER** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the **NO LONGER** owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Name:

Name:

Name:

Name:

Name:

Name:

Signature: ON FILE Date: Feb 14, 2006

Printed Name and Title: DOUGLAS P. CREW, MANAGER, GOVERNMENTAL AFFAIRS -