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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <b>Syracuse University</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>2-212 Center for Science and Technology</b>			
3. Principal Place of Business (if different from line 2) City: <b>Syracuse</b> State/Zip (or Country) <b>New York 13244-4100</b>			
4. Contact Name <b>Preston Gilbert</b>	Telephone <b>(315) 443-3919</b>	E-mail (optional) <b>psgilber@syr.edu</b>	5. Senate ID # <b>37540-1</b>
7. Client Name <input type="checkbox"/> Self			6. House ID # <b>3058000</b>

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☒ OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report ☐

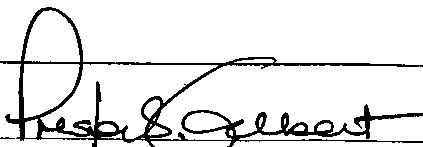
10. Check if this is a Termination Report ☐ ⇨ Termination Date \_\_\_\_\_

11. No Lobbyin

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>40,000</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of
	<input checked="" type="checkbox"/> Method A. Reporting amounts using LDA defini
	<input type="checkbox"/> Method B. Reporting amounts under section 601 Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 16 Internal Revenue Code

Signature



Printed Name and Title

Preston S. Gilbert, Director of Federal Relations

[REDACTED]

Registrant Name Syracuse University Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code AER (one per page)

16. Specific lobbying issues

A. Funding (\$1 million) for "Advanced Interactive Discovery Environ for Engineering Education" project for Syracuse University in th appropriation for FY 2002, HR 2620.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Office of Congressman Walsh  
NASA HQ - Contracts Officer Mary Beth Rigby  
NASA Administrator Dan Goldin  
NASA Chief Technologist Sam Veneri  
NASA Chief of Staff Courtney Stadt

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Preston S. Gilbert	Director of Federal Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Preston S. Gilbert Date 8/6/01  
Printed Name and Title Preston S. Gilbert, Director of Federal Relations

[REDACTED]

Registrant Name Syracuse University Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CAW (one per page)

16. Specific lobbying issues

- A. Funding (\$3 million) for Northeast Environmental Systems Institute University in EPA Appropriations for FY 2002, HR 2620.
- B. Increased funding for national appropriation for the EPA Environmental Center Program. The goal was to increase program funding from \$1.0 million to \$2.0 million, HR 2620.
- C. Advocated for congressional support for S.350, Brownfields Revitalization Act of 2001 in the House and Senate.
- D. Monitoring and advocating for NSF Reauthorization Act HR 1472, and

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Office of Congressman James Walsh  
Office of Congressman John Sweeney  
Office of Congressman Sherwood Boehlert  
David Stonner, National Science Foundation Office of Legislative Affairs  
Sven Kaiser, EPA Outreach and Special Projects Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Preston S. Gilbert	Director of Federal Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Preston S. Gilbert Date 8/10/01

Printed Name and Title Preston S. Gilbert, Director of Federal Relations

[REDACTED]

**16. Specific Lobbying Issues (con't.)**

initiatives within NSF such as Math/Science Partnership and for Innovation.

E. Monitoring and advocating for funding of the Small Community Water Funding Act, HR 1178.

[REDACTED]



Registrant Name **Syracuse University**

Client Name \_\_\_\_\_

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15. General issue area code **ECN** (one per page)

16. Specific lobbying issues

A. Funding for Hinds Hall Renovations on the campus of SU, in the amount of \$1 million to develop an economic stimulus laboratory for area business. The funding would come from the HUD-EDI appropriation, HR 2620.

B. Set up Congressman Walsh meeting with Lockheed Martin, Carrier, Sens: Anaren, Welch Allyn, Syracuse Research Corp. to discuss opportunities for business development in Central NY, status of their companies and financial issues confronting them.

C. Preston Gilbert briefing to congressional staff on trends in economic development

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Office of Congressman James Walsh

J.C. Sandberg, Staff Senate Environment and Public Works

Karen Bachman, Office of Senator JD Rockefeller

Derek Anderson, Office of Senator Tom Daschle

Jonathan Epstein, Office of Senator Jeff Bingaman

18. Name of each individual who acted as a lobbyist in this issue area

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<b>Preston S. Gilbert</b>	<b>Director of Federal Relations</b>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature



Date **8/10/01**

Printed Name and Title

**Preston S. Gilbert, Director of Federal Relations**



**16. Specific Lobbying Issues (con't.)**  
**and needs for policy direction as articulated by the National**  
**Development Forum June 2001.**

[REDACTED]

Registrant Name Syracuse University Client Name \_\_\_\_\_

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15. General issue area code EDU (one per page)

16. Specific lobbying issues

- A. Continued authorization and funding of the Office of Educational Reform and Improvement in the US Department of Education and Syracuse University ERIC Clearinghouse.
- B. Increased funding levels for Pell Grants in Higher Education Act Amendments
- C. Participation in a briefing for Senator Clinton on higher education in Upstate New York.
- D. Funding for the publication of the Encyclopedia of New York State and its appropriation.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Office of Congressman James Walsh  
Office of Congressman Carolyn McCarthy  
Office of Congressman Sherwood Boehlert  
Office of Congressman John McHugh  
Office of Congressman John Sweeney  
Office of Congressman Major Owens  
Office of Senators Charles Schumer and Hillary Clinton

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Preston S. Gilbert	Director of Federal Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Preston S. Gilbert

Date 8/10/01

Printed Name and Title Preston S. Gilbert, Director of Federal Relations



Registrant Name Syracuse University Client Name \_\_\_\_\_

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15. General issue area code SCI (one per page)

16. Specific lobbying issues

A. Increased funding levels for basic science research in NSF, DOE, NOAA and DOD in FY 2002 Budget and Appropriations.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Office of Congressmen Walsh, Boehlert, Sweeney, Houghfon, Engel, Weiner, Nadler, Hinchey, Reynolds, and McHugh  
Offices of Senators Clinton and Schumer

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Preston S. Gilbert	Director of Federal Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Preston S. Gilbert Date 8/10/01

Printed Name and Title Preston S. Gilbert, Director of Federal Relations





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Registrant Name Syracuse University Client Name \_\_\_\_\_

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15. General issue area code GAM (one per page)

16. Specific lobbying issues

A. Seeking support in the House of Representatives and the Senate for H.R. 1110 the Student Athlete Protection Act and S 718, the Amateur Student Athlete Integrity Act and monitoring progress of both bills

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Congressman James Walsh  
Congressman John McHugh  
Congressman Sherwood Boehlert  
Senator Chuck Schumer  
Senator Hillary Clinton

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Preston S. Gilbert	Director of Federal Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature  Date 8/10/01

Printed Name and Title Preston S. Gilbert, Director of Federal Relations



Registrant Name Syracuse University Client Name \_\_\_\_\_

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

A. Monitored the following tax bills in the House and Senate which contain provisions that impacted higher education: H.R. 7 Community Solution S.592 Savings Opportunity and Charitable Giving Act of 2001, H.R. 82 Neighbor to Neighbor Act, S.687 Higher Education Affordability and F. H.R. 1483 Employee Education Assistance Act, H.R. 768 Need Based Education S.763 The Affordable Education Act, H.R. 8 Death Tax Elimination Act The Economic Growth and Tax Relief Act of 2001. The key provisions included: Student Loan Interest Deduction, IRA Rollover to Charities

17. House(s) of Congress and Federal agencies contacted ☒ Check if None

Office of Congressman Walsh                      Offices of Senators Clinton and Schumer  
Office of Congressman Boehlert  
Office of Congressman Houghton  
Office of Congressman Sweeney  
Office of Congressman McHugh  
House Ways and Means Committee Press Secretary Dan Maffei  
House Ways and Means Counsel, John Scheiner

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Preston S. Gilbert	Director of Federal Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Preston S. Gilbert Date 8/10/01

Printed Name and Title Preston S. Gilbert, Director of Federal Relations



**16. Specific Lobbying Issues (con't.)**

**Permanency, Non Itemizer Tax Deductions, Contribution to Edu. Savings Accounts Increase, Taxation of Fellowships and Schol. Hope/Lifetime Learning Credits, Raising Limits on Charitable Contributions and Inheritance Tax, Modification of Education and Deduction for Qualified Higher Education Expenses.**



Registrant Name Syracuse University Client Name \_\_\_\_\_

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15. General issue area code FOR (one per page)

16. Specific lobbying issues

- A. Request for intervention on behalf of Gao Zhan for humanitarian treatment and release from prison in China.
- B. Communication of concern relative to visa denials for Chinese graduate students scheduled to attend Syracuse University.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

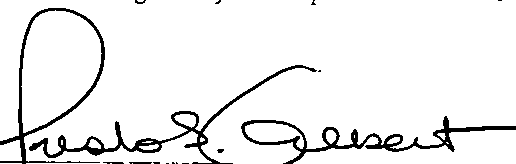
Office of Congressman James Walsh  
Secretary of State Colin Powell

18. Name of each individual who acted as a lobbyist in this issue area

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Preston S. Gilbert	Director of Federal Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature  Date \_\_\_\_\_

Printed Name and Title Preston S. Gilbert, Director of Federal Relations

[REDACTED]



Registrant Name Syracuse University Client Name \_\_\_\_\_

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15. General issue area code TRA (one per page)

16. Specific lobbying issues

- A. Investigation of opportunities for both agency level and earmark for "Safe Ride Home" project of Syracuse University.
- B. Investigation of Syracuse University leadership in a global trade in the upcoming TEA 21 Reauthorization.


17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Office of Senator Charles Schumer  
Office of Justice Program US Department of Justice  
Federal Highway Administration

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Preston S. Gilbert	Director of Federal Relations

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