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SECRETARY OF THE SENATE
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

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|--|-----------------------------|--|-----------------------|
| 1. Registrant Name Association for Financial Professionals | | | |
| 2. Address <input type="checkbox"/> Check if different than previously reported 7315 Wisconsin Avenue, Suite 600 West, Bethesda, Maryland 20814 | | | |
| 3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____ | | | |
| 4. Contact Name Frank P. Curran | Telephone (301) 907-2862 | E-mail (optional) fcurran@AFPonline.org | 5. Senate ID # 384 |
| 7. Client Name <input type="checkbox"/> Self | | | 6. House ID # 319 |

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____ 11. No Lobbying Activities

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

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| <p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>40,000.00</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input checked="" type="checkbox"/> Method B. Reporting amounts under section 6013(b)(7)(C) Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e)(2)(B) Internal Revenue Code</p> |
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Signature _____

Frank P. Curran

LD-2 (REV. 6/98)

Registrant Name Association for Financial Professionals Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

Deposit Insurance Assessments - Federal Deposit Insurance Corporation (FDIC)
Payments System - Federal Reserve Board
Regulation Q Legislation - House, Senate

17. House(s) of Congress and Federal agencies contacted

Check if None

Senate
House of Representatives
Federal Deposit Insurance Corporation
Federal Reserve Board
Securities and Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Frank P. Curran | Government Relations Consultant |
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19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *F. P. Curran* Date 08/07/02

Printed Name and Title Frank P. Curran, Government Relations Consultant

Registrant Name Association for Financial Professionals Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Derivatives Netting (Bankruptcy) - House
Derivatives Jurisdiction (Feinstein Amendment) - Senate

17. House(s) of Congress and Federal agencies contacted

Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Frank P. Curran | Government Relations Consultant |
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19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *F. P. Curran* Date 08/07/02

Printed Name and Title Frank P. Curran, Government Relations Consultant

Registrant Name Association for Financial Professionals Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code ACC (one per page)

16. Specific lobbying issues

Accounting/Audit Reform
Derivatives Accounting

17. House(s) of Congress and Federal agencies contacted

Check if None

Financial Accounting Standards Board
Securities and Exchange Commission
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Frank P. Curran | Government Relations Consultant |
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19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *F. P. Curran* Date 08/07/02

Printed Name and Title Frank P. Curran, Government Relations Consultant

Registrant Name Association for Financial Professionals Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

Investment Advice for Retirement Plan Participants
Discount Rate for Valuing Pensions Liabilities
Active Cross-Trading for Pension Plans
Employer Stock in Retirement Plans
Extension of the Economic Growth and Tax Relief Reconciliation Act of 2001 (EGTERRA) provision

17. House(s) of Congress and Federal agencies contacted

Check if None

Senate
House of Representatives
U.S. Department of Labor (PWBA)
U.S. Department of Treasury (Tax Benefit Counsel's Office)
U.S. Department of Commerce

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------|---|
| Judy Schub | Managing Director, Pension & Invest. Policy |
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19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature Judy Schub Date 08/07/02
Printed Name and Title Judy Schub, Managing Director of Pension & Investment Policy

