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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name American Bankers Association Securities Association			
2. Address <input type="checkbox"/> Check if different than previously reported 1120 Connecticut Avenue, N.W.			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) DC 20036			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Sarah A. Miller	(202) 663-5335	Smiller@aba.com	44468
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID #
			3407

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbyin

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ <u>57,000.00</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input checked="" type="checkbox"/> Method B. Reporting amounts under section 60 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 16 Internal Revenue Code</p>
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Signature _____

Printed Name and Title

Sarah Miller, Director

LD-2 (REV. 6/98)

Registrant Name an Bankers Association Securities Assc Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Please see attached page for complete listing.

17. House(s) of Congress and Federal agencies contacted Check if None

Senate, Department of Treasury, House of Representatives, Federal Reserve Board, Office of the Comptroller of Currency, Federal Deposit Insurance Corporation, Office of Thrift Supervision, National Association of Securities Dealers, Municipal Securities Rulemaking Board, Securities and Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Beth L. Climo	
J. Kevin A. McKechnie	
James D. McLaughlin	
Sarah A. Miller	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature:  Date 8/12/04

Registrant: American Bankers Association Securities Association

Attachment to Page 2, LD-2 Report: Mid-Year (January 1, 2004 – June 30, 2004)

FIN general issue area code

16. Specific lobbying issues

Implementing regulations for Public Law no.106-102: Gramm-Leach-Bliley Financial Modernization Act of 1999 –:

- a. Title II, Subtitle A – Brokers and Dealers

Implementing regulations for Public Law no. 107-56: USA Patriots Act of 2001

- a. Title III – Money Laundering Abatement

- HR 1375 The Financial Services Regulatory Relief Act, Title V Cross Marketing
- HR 2179 The Securities Fraud Deterrence and Investor Restitution Act of 2003
- HR 2420 The Mutual Funds Integrity and Fee Transparency Act of 2003
- H.R. 4505 Mutual Fund Reform Act of 2004
- S. 509 The Energy Market Oversight Act
- S. 1971 Mutual Fund Investor Confidence Restoration Act of 2003
- S. 2059 Mutual Fund Reform Act of 2004
- S. 2497 Small Investor Protection Act of 2004

Agencies:

Section 106 (b) of the Bank Holding Company Act Amendments of 1970

