

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
---	--

SECRETARY OF

04 AUG 16 F

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Northrop Grumman Corporation			
2. Address ☐ Check if different than previously reported 1000 Wilson Blvd., Suite 2300 Arlington, VA 22209			
3. Principal Place of Business (if different from line 2) 1840 Century Park East Los Angeles, CA 90067 City: — State/zip (or Country)			
4. Contact Name Mr. Jim Meltsner	Telephone (703) 875-5846	E-mail (optional) jim.meltsner@ngc.com	5. Senate ID # 29552-12
7. Client Name ☒ Self Self			6. House ID # 31641000

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐
9. Check if this filing amends a previously filed version of this report ☐10. Check if this is a Termination Report ☐ ⇌ Termination Date _____11. No Lobbying ☐**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 ☐ \$10,000 or more ☐ ⇌ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this report period were: Less than \$10,000 ☐ \$10,000 or more ☐ ⇌ \$ <u>6,000,000</u> Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate exp accounting method. See instructions for description of op ☐ Method A. Reporting amounts using LDA definitic ☐ Method B. Reporting amounts under section 6033(e) Internal Revenue Code ☒ Method C. Reporting amounts under section 162(e) Internal Revenue Code
--	---

Signature

Filing #3c12d1aa-5744-4ef2-86be-ac82077e73ad - Page 1 of 20

Date

8/16/04

Signature

Robert W. Helm, Corporate Vice President, Government Relations

Printed Name and Title

LD-2 (REV. 4/03)

PAGE 1 of 1

Registrant Name Northrop Grumman Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

See Defense attachment.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, Department of Defense, White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Maureen Kelly	
Jim Tapp	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Northrop Grumman Corporation
29551-12
3164100C

**LOBBYING ISSUES:
DEFENSE ATTACHMENT**

16. Specific lobbying issues

In the Defense Authorization (H.R. 4200, S 2400) and Defense Appropriations Bills (H.R. 4613, S 2559):

Global Hawk; Increased Production of EA-6B Improved Capabilities III; Kokee FORCEnet Lab; E-2C Infrared Search and Track; E-2C In-Flight Refueling; E-2C Advanced Hawkeye Program; Fire Scout; Blue Force/Combat ID Upgrade for Joint STARS; Hunter Unmanned Aerial Vehicle; B-2 Global Strike Capabilities Initiative upgrade; New Bomber; Joint Strike Fighter - Air Force; Joint Strike Fighter - Navy; Broad Area Maritime Surveillance; E-10 Multi-sensor Command & Control; Joint-Unmanned Combat Air Systems; Kinetic Energy Interceptor; Inter Continental Ballistic Missile Safety Enhanced Reentry Vehicle; Inter Continental Ballistic Missile RDT&E; Joint Warning and Reporting Network; Pacific Missile Range Facility Dual Band Radar Sys.; Airborne Signal Intelligence Payload; Joint National Integration Ctr.; Global Combat Support System-Army ; Defense Travel System; Joint Mission Planning Sys.; Force XXI Battle Command Brigade and Below; Spacetrack; Outsourcing Issues; Buy America; Defense Offsets; James Webb Space Telescope; National Polar-Orbiting Operational Environmental Satellite System; Space Tracking and Surveillance System; Mobile Tactical High Energy Laser; Space Based Radar; Tactical Laser Operations Demonstrator; Transformation Satellite Communications System; Advanced Extremely High Frequency Satellite; Upper Stage Engine; Defense Support Program; Air Force Reserve Command APN 241 Radar; Air National Guard APN 241 Radar; Digital Night Vision Fusion; PVS-15 Night Vision Goggle; PVS-17 Night Vision Goggle; Viper Strike; APG68(v)10 Radar; Joint Common Missile; Aerial Common Sensor; Advanced Seal Delivery System; AEGIS Integrated Bridge System; SPQ-PB Transmitter Upgrade; SPQ-PB Radar Procurement; Distributed Common Ground System-Navy; Tactical Exploitation System-Navy @ PAX River; Advanced Laser Targeting R&D; Air Force Reserve Command Litening AT; Litening for F-16/A-10; ALQ-135 Band 1.5 Jammer; Air Force Reserve Command Large Aircraft Infrared Counter Measures for C-130; Litening AT for Marine TACAIR; Air National Guard Joint Threat Emitter; US Air Force Joint Threat Emitter; Navy Joint Threat Emitter; Defense Support Program; Cobra Judy; Integrated Family Test Equipment; CAT and RADCOM Test Program Sets; Covert SIGINT Receiving System; Family Integrated Rapid Response Equipment; Advanced Spacecraft Guidance Technology; CENTURION Fiber Optic System; Fiber Optic Fixed Surveillance System; Next Generation Towed Array; Airborne Reconnaissance Low; RtpT Radar (APY-6); Space Based Infrared Satellite; Reliability Maintainability Information System; Technology Application Office for Web-enabled Timeline Analyst System; Joint Engineering Data Management Information and Control System; Laser Eye Protection ; Enterprise Availability and Cost Optimization System; High Energy Laser Test Facility; Defense Integrated Military Human Resources System; DD(X)-Destroyer; LPD-17 San Antonio Class Ship; LHA-R-Expeditionary Warship; CVN 21-Aircraft Carrier; Virginia Class Submarines; CVN RCOH-Carrier Refueling; Aviation Ship Integration Center, Superconducting Motor.

Registrant Name Northrop Grumman Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code HOM (one per page)

16. Specific lobbying issues

FY05 Homeland Security Appropriations Bill (HR 4567): Issues pertaining to arming commercial airliners with systems to defend against handheld heat-seeking missiles, U.S. Coast Guard Deepwater program.

Issues relating to the implementation of the SAFETY Act.

Coast Guard Reauthorization (HR 2443).

Commercial Aviation MANPADS Defense Act of 2004 (HR 4056).

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, Department of Homeland Security

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Maureen Kelly	
Jim Tapp	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Registrant Name Northrop Grumman Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code AER (one per page)

16. Specific lobbying issues

VA-HUD Appropriations Bill (HR Bill number not yet assigned); James Webb Space Telescope. Earth Observing System (EOS-Aura). Space Interferometry Mission (SIM).

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, NASA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Maureen Kelly	
Jim Tapp	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Registrant Name Northrop Grumman Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code area as requested. Attach additional page(s) as needed.

15. General issue area code MAR (one per page)

16. Specific lobbying issues

Any and all legislation pertaining to the Jones Act, title XI Loan Guarantees, and shipbuilding subsidies.

DoD Appropriations Bill (HR 4613)

Commerce, Justice, State and the Judiciary Appropriations Bills (HR 4754)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, U.S. Maritime Administration (MARAD)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Maureen Kelly	
Jim Tapp	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations
Filing #3c12d1aa-5744-4ef2-86be-ac82077e73ad - Page 11 of 20

Registrant Name Northrop Grumman Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Foreign Sales Corporation/ETI Repeal (HR 1769, HR 2896 and S 1475)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, Department of Treasury, USTR

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Maureen Kelly	
Jim Tapp	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Registrant Name Northrop Grumman Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

Transportation Appropriations Bill (Bill number not yet assigned); Service Life Extension Program for ASR-9/Mode-S, Terminal Voice Switch Replacement Program (TVSR), Voice Recorder Replacement Program (VRRP), Flight Service Stations

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House, Senate, FAA, Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Maureen Kelly	
Jim Tapp	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title Robert W. Helm, Corporate Vice President, Government Relations

Registrant Name Northrop Grumman CorporationClient Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code.** information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Foreign Operations Appropriations (HR 4818): Export Import Bank Funding and Dual Use provisions.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House, Senate, EXIM Bank

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Robert W. Helm	
Jerry D. Woods	
Diane Harper	
Stephen L. Sutton	
James R. Meltsner	
Douglas Ritter	
Marsha Kwalwasser	
Steve Winchell	
Thomas Giannini	
Vic Avetissian	
John Langknecht	
Pete Perkins	
Maureen Kelly	
Jim Tapp	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____

Printed Name and Title

Robert W. Helm, Corporate Vice President, Government Relations

Date _____

Registrant Name Northrop Grumman Corporation Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

GOV _____

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bu (city and state or cou

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, c
affiliated organization

Signature Robert W. Helm Date 8/16/11

