



**NATIONAL RETAIL FEDERATION**

SECRETARY OF THE SENATE  
00 FEB 17 PM 3:41  
H. D.

**MALLODY B. DUNCAN**  
Vice President, General Counsel

February 16, 2000

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, D.C. 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, D.C. 20510

Re: Amended Mid-year Lobbying Reports for National Retail Federation

Dear Secretary/Clerk:

Please accept for filing the attached amendment to the recently filed mid-year report of the National Retail Federation. Subsequent to filing, we discovered two discrepancies.

The first is that one bill, H.R. 434 was omitted from the long list of trade issues we covered. The second is that the computer on which we prepared the form overwrote the mid-year expenses with the higher figure from the prior year-end (i.e. \$850,000 versus \$420,000). Both those items are corrected with this filing.

Thank you for your consideration, and we apologize for the delay.

Sincerely,

Mallory B. Duncan

Enclosure



*The World's Largest Retail Trade Association*  
Liberty Place, 325 7th Street NW, Suite 1000  
Washington, DC 20004  
202.783.7971 Fax: 202.737.2849

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>National Retail Federation</b>	
2. Registrant Address <input checked="" type="checkbox"/> Check if different than previously reported Address <b>325 7th Street, NW Suite 1100</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20004 2802</b>	
3. Principal Place of Business (if different from line 2) City State/Zip (or Country)	
4. Contact Name <b>Mallory Duncan</b>	Telephone E-mail (optional) 5. Senate ID # <b>28468-12</b>
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # <b>31075000</b>

**TYPE OF REPORT** 8. Year 1999 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<b>12. Lobbying Firms</b> INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>13. Organizations</b> EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <b>5420,000.00</b> Expenses (nearest \$20,000) <b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature

Printed Name and Title

**Mallory Duncan - V.P. & General Counsel**

Date **02/16/2000**

Page 1 of 17

Registrant Name: National Retail Federation

Client Name: National Retail Federation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code APP (one per page)

16. Specific Lobbying Issues  
H.J. RES. 57, Resolved by the Senate and House of Representatives of the United States of America in Congress assembled, that the Congress does not approve the extension of the authority contained.....  
H.R. 1361, To bar the imposition of increased tariffs or other retaliatory measures against the products of the European Union in response to the banana regime of the EU....  
H.R. 1621, Made in USA Label Defense Act of 1999,  
H.R. 1993, Export Enhancement Act of 1999,  
H.R. 23, Stop Sweatshops Act,  
H.R. 2621, Trade Policy Reform Act,  
H.R. 434, African Growth and Opportunity Act,  
H.R. 577, China Market Access and Export Opportunities Act of 1999,

17. House(s) of Congress and Federal agencies contacted  Check if None  
Customs Service  
House of Representatives  
ITC - International Trade Commission  
Office of Management and Budget  
Senate  
U.S. Commerce Department

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Autor, Erik	Sea Finance Cmte-Trade Counsel	No
Cahill, D. Scott		No
Pfister, Steven J.		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/16/2000

Printed Name and Title Mallory Duncan - V.P. & General Counsel Page 2 of 17

Registrant Name: National Retail Federation

Client Name: National Retail Federation

Item	Description	Data
16	Lobbying Issues	H.R. 772, HOPE for Africa Act,
16	Lobbying Issues	H.R. 884, To require prior Congressional approval before the United States supports the admission of the People's Republic of China into the World Trade Organization.....
16	Lobbying Issues	H.R. 984, Caribbean and Central America Relief and Economic Stabilization Act,
16	Lobbying Issues	S. 1387, African Growth and Opportunity Act ,
16	Lobbying Issues	S. 1389, United States - Caribbean Basin Trade Enhancement Act,
16	Lobbying Issues	S. 1551, Child Labor Deterrence Act of 1999,
16	Lobbying Issues	S. 1636, HOPE for Africa Act,
16	Lobbying Issues	S. 1712, Export Administration Act of 1999,
16	Lobbying Issues	S. 626, Stop Sweatshops Act.
16	Lobbying Issues	S. 666, African Growth and Opportunity Act,
16	Lobbying Issues	S. 742, To clarify the requirements for the accession to the World Trade Organization of the People's Republic of China.,
16	Lobbying Issues	S. 743, To require prior Congressional approval before the United States supports the admission of the People's Republic of China into the World Trade Organization.....
16	Lobbying Issues	S. 922, Made in USA Label Defense Act of 1999,
16	Lobbying Issues	S.J. RES. 27, Resolved by the Senate and House of Representatives of the United States of America in Congress assembled, that the Congress does not approve the extension of the authority contained.....
16	Lobbying Issues	U.S.-China Silk Agreement U.S. Taiwan Bilateral Mariana Islands trade legislation Tarrif reduction
17a	House of Congress/Agencies	U.S. Labor Department
17a	House of Congress/Agencies	USTR

Registrant Name: National Retail Federation

Client Name: National Retail Federation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code BNK (one per page)
- 16. Specific Lobbying issues  
H.R. 833, Bankruptcy Reform Act of 1999,  
S. 625, Bankruptcy Reform Act of 1999,  
S. 945, Consumer Bankruptcy Reform Act of 1999,

- 17. House(s) of Congress and Federal agencies contacted  Check if None  
Department of Treasury  
House of Representatives  
Senate  
The White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Cahill, D. Scott</u>		<u>No</u>
<u>Duncan, Mallory</u>		<u>No</u>
<u>Graham, Katherine M.</u>		<u>No</u>
<u>Meche, Myron</u>		<u>No</u>
<u>Pfister, Steven J.</u>		<u>No</u>

- 19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/16/2000

Printed Name and Title Mallory Duncan - V.P. & General Counsel Page 4 of 17

Registrant Name: National Retail Federation

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15. General issue area code CSP (one per page)

16. Specific Lobbying issues  
H.R. 10, Financial Modernization Act of 1999,  
H.R. 97, Personal Privacy Protection Act,  
EU Privacy Directive

17. House(s) of Congress and Federal agencies contacted  Check if None  
Consumer Products Safety Commission  
Federal Trade Commission  
NTIA  
OTA - Commerce  
USTR

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Cahill, D. Scott</u>		<u>No</u>
<u>Duncan, Mallory</u>		<u>No</u>
<u>Gilbert, Donald</u>		<u>No</u>
<u>Meehe, Myron</u>		<u>No</u>
<u>Pfister, Steven J.</u>		<u>No</u>
<u>Whitaker, Sarah</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/16/2000

Printed Name and Title Mallory Duncan - V.P. & General Counsel Page 5 of 17

Registrant Name: National Retail Federation

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15. General issue area code HCR (one per page)

16. Specific Lobbying issues  
H.R. 216, Access to Quality Care Act of 1999,  
H.R. 2723, Bipartisan Consensus Managed Care Improvement Act of 1999,  
H.R. 2824, Health Care Quality and Choice Act of 1999,  
H.R. 358, Patients' Bill of Rights Act of 1999,  
H.R. 719, Managed Care Reform Act of 1999,  
S. 1344, Patients' Bill of Rights Act of 1999,  
S. 374, Promoting Responsible Managed Care Act of 1999,  
Imposition of mandates and ERISA preemption

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Cahill, D. Scott</u>		<u>No</u>
<u>Graham, Katherine M.</u>		<u>No</u>
<u>Pfister, Steven J.</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

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Printed Name and Title Mallory Duncan - V.P. & General Counsel Page 6 of 17

Registrant Name: National Retail Federation

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**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific Lobbying issues  
H.R. 1302, Sales Incentive Compensation Act,  
H.R. 1381, Rewarding Performance in Compensation Act,  
H.R. 1987, Fair Access to Indemnity & Reimbursement Act,  
H.R. 2928, Minimum Wage State Flexibility Act of 1999,  
H.R. 325, Fair Minimum Wage Act of 1999,  
H.R. 627, Livable Wage Act of 1999,  
H.R. 964, Long Term Minimum Wage Adjustmen Act of 1999,  
H.R. 987 / S. 1070, Workplace Preservation Act,  
S. 1530, Family and Medical Leave Clarification Act,  
S. 1832, Fair Minimum Wage Act of 1999,

17. House(s) of Congress and Federal agencies contacted  Check if None  
Department of Labor  
House of Representatives  
NLRB  
OSHA  
Senate  
USTR

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Autor, Erik	Sen Finance Cmte-Trade Counsel	No
Cahill, D. Scott		No
Graham, Katherine M.		No
Pfister, Steven J.		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/16/2000

Printed Name and Title Mallory Duncan - V.P. & General Counsel Page 7 of 17

Registrant Name: National Retail Federation

Client Name: National Retail Federation

Item	Description	Data
16	Lobbying Issues	S. 1887, Minimum Wage State Flexibility Act of 1999,
16	Lobbying Issues	S. 192, Fair Minimum Wage Act of 1999,
16	Lobbying Issues	S. 281, Family and Medical Leave Fairness Act of 1999,
16	Lobbying Issues	Single site bargaining units; FLSA/"Hot Goods": White Collar Salary Revisions, 29U.S.C. 213(a)(1); NLRA Reform, Child Labor; Ergonomic standards

Registrant Name: National Retail Federation

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15. General issue area code POS (one per page)
16. Specific lobbying issues  
H.R.22, Postal Reform Act of 1999,  
H.R.2490, Appropriations for Treasury and U.S. Postal Service,...

17. House(s) of Congress and Federal agencies contacted  Check if None  
Department of Treasury  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Autor, Erik</u>	<u>Sen Finance Cmte-Trade Counsel</u>	<u>No</u>
<u>Cabill, D. Scott</u>		<u>No</u>
<u>Graham, Katherine M.</u>		<u>No</u>
<u>Pfister, Steven J.</u>		<u>No</u>
<u>Whitaker, Sarah</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/16/2000

Printed Name and Title Mallory Duncan - V.P. & General Counsel Page 9 of 17

Registrant Name: National Retail Federation

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**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SC1 (one per page)

16. Specific Lobbying issues

- H.R. 1714, Electronic Signatures in Global and National Commerce Act,
- H.R. 775, Year 2000 Readiness and Responsibility Act,
- H.R. 850, Security and Freedom through Encryption (SAFE) Act,
- S. 761, Electronic Signatures in Global and National Commerce Act,
- S. 798, Promote Reliable On-Line Transactions to Encourage Commerce and Trade (PROTECT) Act of 1999,

17. House(s) of Congress and Federal agencies contacted  Check if None

- Federal Trade Commission
- House of Representatives
- Senate
- The White House - Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Whitaker, Sarah</u>		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/16/2000

Printed Name and Title Mallory Duncan - V.P. & General Counsel Page 10 of 17

Registrant Name: National Retail Federation

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**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SMB (one per page)

16. Specific Lobbying issues  
**H.R. , Small Business Paperwork Reduction Act Amendments of 1999,  
H.R. 1074, Regulatory Right to Know,  
H.R. 350, Mandates Information Act,  
S. 775, Year 2000 Readiness and Responsibility Act,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives  
Senate  
The White House - Administration**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<b>Pfister, Steven J.</b>		No
<b>Whitaker, Sarah</b>		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/16/2000

Printed Name and Title Mallory Duncan - V.P. & General Counsel Page 11 of 17

Registrant Name: National Retail Federation

Client Name: National Retail Federation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

H.R. 1986 , To amend the Internal Revenue Code of 1986 to clarify the rules relating to lessee construction allowances....., tenant / construction allowances

H.R. 2488, Financial Freedom Act of 1999, WOTC extension; WWTC extension; Tenant/Construction Allowance; estate / gift tax; marriage penalty; broad tax relief; FUTA

S. 1611, To amend the Internet Tax Freedom Act to broaden ..... Internet tax issues

S. 792, Tax Relief Extension Act of 1999, WOTC extension

17. House(s) of Congress and Federal agencies contacted  Check if None

ACEC - Advisory Commission on Electronic Commerce

House of Representatives

Internal Revenue Service

Senate

Treasury Department

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Cahill, D. Scott		No
Graham, Katherine M.		No
Pfister, Steven J.		No
Whitaker, Sarah		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/16/2000

Printed Name and Title Mallory Duncan - V.P. & General Counsel Page 12 of 17

Registrant Name: **National Retail Federation**

Client Name: **National Retail Federation**

Item	Description	Data
16	Lobbying Issues	Alternative Tax Systems Estate Tax "Americans Family-Owned Business Act" Social Security Earnings Limitation Lower of Cost of Market Tax Reform Initiatives Tenant Allowances Inventory Shrinkage Internal Revenue Service reform/restructuring Social Security Reform Forced capitalization of credit card issuance costs

Registrant Name: National Retail Federation

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15. General issue area code TEC (one per page)

16. Specific Lobbying issues  
Telecommunications Legislation:  
Privacy ; Implementation of European Union Privacy Directive; Y2K Liability

17. House(s) of Congress and Federal agencies contacted  Check if None  
Executive Office of the President  
FCC  
Federal Reserve Board  
FTC  
House of Representatives  
NTIA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Cahill, D. Scott		No
Duncan, Mallory		No
Gilbert, Donald		No
Hatka, Mary C.		No
Pfister, Steven J.		No
Whitaker, Sarah		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/16/2000

Printed Name and Title Mallory Duncan - V.P. & General Counsel Page 14 of 17

Registrant Name: National Retail Federation

Client Name: National Retail Federation

Item	Description	Data
17a	House of Congress/Agencies	OMB
17a	House of Congress/Agencies	Senate

Registrant Name: National Retail Federation

Client Name: National Retail Federation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific Lobbying issues  
H.R. 1834, United States - Caribbean Basin Trade Enhancement Act,  
H.R. 434, Africa Growth and Opportunity Act,  
S. 1389, United States - Caribbean Basin Trade Enhancement Act,  
S. 666, African Growth and Opportunity Act,  
Generalized System of Preferences (GSP) Renewal  
Fast Track Negotiating Authority  
Religious Persecution legislation  
Automated Commercial Environment (ACE) funding  
Automated Commercial Systems (ACS) funding

17. House(s) of Congress and Federal agencies contacted  Check if None  
Customs Service  
General Accounting Office  
House of Representatives  
Senate  
USTR

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Autor, Erik	Sen Finance Cmte-Trade Counsel	No
Cahill, D. Scott		No
Graham, Katherine M.		No
Meehe, Myron		No
Mullin, Tracy		No
Pfister, Steven J.		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/16/2000

Printed Name and Title Mallory Duncan - V.P. & General Counsel Page 16 of 17

Registrant Name: National Retail Federation

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**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code UTE (one per page)

16. Specific Lobbying issues

**H.R. 1828, Comprehensive Electricity Competition Act,  
H.R. 2944, Electricity Competition and Reliability Act,  
S. 1047, Comprehensive Electricity Competition Act,  
S. 282, Electric Utility Restructuring Empowerment and Competitiveness Act of 1999,  
Electric Energy Deregulation Issues**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives  
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<b>Cahill, D. Scott</b>		No
<b>Pfister, Steven J.</b>		No
<b>Whitaker, Sarah</b>		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 02/16/2000

Printed Name and Title Mallory Duncan - V.P. & General Counsel Page 17 of 17