Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

<u>.</u>

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF T

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name			
Duke Energy Corporation	i 		
Address 422 S. Church St		NG -0000 4004 NG	
City Charlotte	State/Zip (or Country)	NC 28202-1904 USA	
3. Principal Place of Business (if diffe	erent from line 2)		
City	State/Zip (or Country)		<u> </u>
4. Contact Name	Telephone E-ma	ail (optional)	5. Senate ID#
Donald Hatley	(704) 382-8190		12744-12
7. Client Name X Self			6. House ID#
			30901000
INCOME OR EXPE		13. Organiz	zations
INCOME relating to lobbying period was:		EXPENSES relating to lobbying ac period were:	
Less than \$10,000		Less than \$10,000	
\$10,000 or more		\$10,000 or more × >> \$	\$720,000.00
	Income (nearest \$20,000)	14 DEPODEING METHOD OF	Expenses (nearest \$20
Provide a good faith estimate,		14. REPORTING METHOD. Che accounting method. See instructions	
\$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity Method A. Reporting amounts using LDA			using LDA definit
for lobbying activities on behalf of the client).		☐ Method B. Reporting amounts the Internal Revenue	under section 6033
` -		☐ Method C. Reporting amounts Internal Revenue Co	
ignature People	5 Km		/2001

Reg	gistrant Name:	Duke Energy Corporation	
Clie	Client Name: Duke Energy Corporation		
eng i n fo	aged in lobbyin ormation as requ	g on behalf of the client during the reportirested. Attach additional page(s) as needed	to reflect the general issue areas in which the registrant ag period. Using a separate page for each code, provide.
	H.R.1335, CI H.R.1474, An H.R.2323, Na H.R.25, Acid H.R.472, End S.1008, Clima S.556, Clean S.588, Acid R S.60, Nationa	` 1 1 2 /	e Bill echnology Act, Entire Bill 00, Entire Bill novation Act of 2001, Entire Bill logy Act, Entire Bill
17.	House(s) of C House of Rep Senate	ongress and Federal agencies contacted resentatives	☐ Check if None
18.	Name of each	individual who acted as a lobbyist in this i	ssue area Covered Official Position (if applicable)
	Marshall, Be	verly	
	Mitchell, Dav	id	
· · · · ·			
19.	Interest of eac	h foreign entity in the specific issues listed	on line 16 above 🛮 🗷 Check if None
Sigr	nature		Date 8/10/2001

Reg	istrant Name:	Duke Energy Corporation	
Clie	Client Name: Duke Energy Corporation		
enga info 15.	General issue Specific Lobb H.R.1045, En H.R.1101, Pu H.R.1647, El H.R.1664, En H.R.1679, El H.R.1832, Hy H.R.1874, To generation av H.R.1941, El	g on behalf of the client during the reporting the sted. Attach additional page(s) as needed area code ENG (one per page) bying issues aregy Self-Sufficiency Act for the 21st Celblic Utility Holding Company Act of 200 aregy Price and Economic Stability Act of actricity Emergency Relief Act, Entire Burgency Power Production and Consumption of the English Act of 2001, lydroelectric Licensing and Incentives Act	entury, Entire Bill 01, Entire Bill of 2001, Entire Bill ill ner Protection Act of 2001, Entire Bill Entire Bill t of 2001, Entire Bill State experiencing a power emergency to operate any y, and for other purposes., Entire Bill re Bill
17.		ongress and Federal agencies contacted gy Regulatory Commission oresentatives	☐ Check if None
18.		individual who acted as a lobbyist in this is	
	Name		Covered Official Position (if applicable)
	Haywood, M	ichael	
	Henry, Wayn	ne	
	Marshall, Be	verly	
	Mitchell, Dav	rid	
19.	Interest of eac	h foreign entity in the specific issues listed	on line 16 above 🔀 Check if None
Sign	ature		Date 8/10/2001

Registrant Name: Duke Energy Corporation

Client Name: **Duke Energy Corporation**

Item	Description	Data
16	Lobbying Issues	H.R.1974, Western States Energy Consumer Protection Act of 2001, Entire B
16	Lobbying Issues	H.R.2079, To amend the Internal Revenue Code of 1986 to impose a windfall
		profits tax on electric generating facilities having excess profits., Entire Bill
16	Lobbying Issues	H.R.2080, To amend the Internal Revenue Code of 1986 to deny accelerated
		depreciation for electric generating facilities having excess profits in order to
		prevent taxpayers operating such facilities from having both excess profits an
		incentives., Entire Bill
16	Lobbying Issues	H.R.2141, Department of Defense Energy Reduction Act of 2001, Entire Bill
16	Lobbying Issues	H.R.2184, Preserving Our World's Energy and Resources Act of 2001, Entire
16	Lobbying Issues	H.R.2274, Electricity Gouging Relief Act, Entire Bill
16	Lobbying Issues	H.R.238, To amend the Department of Energy Authorization Act to authorize
		Secretary of Energy to impose interim limitations on the cost of electric energ
		protect consumers from unjust and unreasonable prices in the electric energy
1.0	T -1-1	market., Entire Bill
16	Lobbying Issues	H.R.264, To require the Federal Energy Regulatory Commission to return to
		cost-based regulation of wholesale interstate sales of electricity, and for other
16	Lobbying Issues	purposes., Entire Bill H. D. 268. California Floatriaity Congumers Bolisf Act of 2001. Entire Bill
16	Lobbying Issues Lobbying Issues	H.R.268, California Electricity Consumers Relief Act of 2001, Entire Bill H.R.312, National Electricity Reliability Act, Entire Bill
16	Lobbying Issues Lobbying Issues	H.R.381, Ratepayer Protection Act, Entire Bill
16	Lobbying Issues Lobbying Issues	H.R.443, POWER Act, Entire Bill
16	Lobbying Issues Lobbying Issues	H.R.704, Energy Time Adjustment Authorization Act, Entire Bill
16	Lobbying Issues Lobbying Issues	H.R.954, Home Energy Generation Act, Entire Bill
16	Lobbying Issues	H.R.971, To require that payment be guaranteed whenever any supplier of ele
10	Dobbying Issues	energy is required to sell electric energy to a purchaser under the emergency
		authority of section 202(c) of the Federal Power Act, and for other purposes.
		Entire Bill
16	Lobbying Issues	H.R.979, To authorize the President and the Governor of a State to suspend
		certain environmental and siting requirements applicable to fossil fuel fired
		electric power plants to alleviate an electric power shortage that may present
		threat to public health and sa, Entire Bill
16	Lobbying Issues	H.RES.165, Providing for the consideration of the bill (H.R. 1468) to stabilize
		dysfunctional wholesale power market in the Western United States, and for o
		purposes, Entire Resolution
16	Lobbying Issues	S.1068, Electricity Gouging Relief Act of 2001, Entire Bill
16	Lobbying Issues	S.172, Electric Reliability Act, Entire Bill
16	Lobbying Issues	S.173, Consumer Utilities Turnback (CUT) Trust Fund Act of 2001, Entire Bi
16	Lobbying Issues	S.206, Public Utility Holding Company Act of 2001, Entire Bill
16	Lobbying Issues	S.221, State Electricity Reserve Fund Act of 2001, Entire Bill
16	Lobbying Issues	S.26, A bill to amend the Department of Energy Authorization Act to authoriz
		Secretary of Energy to impose interim limitations on the cost of electric energ
		protect consumers from unjust and unreasonable prices in the electric energy
16	Tabbaing Iggues	market., Entire Bill
16 ·16	Lobbying Issues Lobbying Issues	S.286, Small Business Assistance Act of 2001, Entire Bill
.10	Lobbying Issues	S.287, A bill to direct the Federal Energy Regulatory Commission to impose cost-of-service based rates on sales by public utilities of electric energy at
		wholesale in the western energy market., Smith Amendment No. 12
16	Lobbying Issues	S.388, National Energy Security Act of 2001, Entire Bill
16	Lobbying Issues Lobbying Issues	S.408, Small Business Electricity Emergency Relief Act of 2001, Entire Bill
16	Lobbying Issues	S.420, Bankruptcy Reform Act of 2001, Wyden Amendment No. 78
16	Lobbying Issues	S.472, Nuclear Energy Electricity Supply Assurance Act of 2001, Entire Bill
16	Lobbying Issues	S.552, Transition to Competition in Electic Industry Act, Entire Bill
16	Lobbying Issues	S.597, Comprehensive and Balanced Energy Policy Act of 2001, Entire Bill
16	Lobbying Issues	S.764, A bill to direct the Federal Energy Regulatory Commission to impose ju
	_	and reasonable load-differentiated demand rates or cost-of-service based rates
		sales by public utilities of electric energy at wholesale in the western energy
	l .	market, and for othe, Entire Bill

Registrant Name: <u>Duke Energy Corporation</u>

Client Name:

Duke Energy Corporation

Item	Description	Data
16 16 16	Lobbying Issues Lobbying Issues Lobbying Issues	S.80, California Electricity Consumers Relief Act of 2001, Entire Bill S.933, Combined Heat and Power Advancement Act of 2001, Entire Bill S.RES.87, A resolution expressing the sense of the Senate that there should be established a joint committee of the Senate and House of Representatives to investigate the rapidly increasing energy prices across the country and to determine what is causing the incre, Entire Resolution

Reg	sistrant Name:	Duke Energy Corporation		
Clie	Client Name: Duke Energy Corporation			
eng	aged in lobbyin	•	to reflect the general issue areas in which the registrant ag period. Using a separate page for each code, provide.	
15. General issue area code TAX (one per page) 16. Specific Lobbying issues H.R.1459, Electric Power Industry Tax Modernization Act, Entire Bill H.R.1601, Rural Electric Tax Equity Act, Entire Bill H.R.1702, Nuclear Decommissioning Funds Clarification Act, Entire Bill H.R.1802, To amend the Internal Revenue Code of 1986 to modify the depreciation of property used in the electricity., Entire Bill S.389, National Energy Security Act of 2001, Entire Bill S.794, Rural Electric Tax Equity Act, Entire Bill S.972, Electric Power Industry Tax Modernization Act, Entire Bill				
17.	House(s) of C House of Rep Senate	ongress and Federal agencies contacted presentatives	☐ Check if None	
18.	Name of each	individual who acted as a lobbyist in this	ssue area Covered Official Position (if applicable)	
	Haywood, M	ichael		
	Henry, Wayr	ne e		
	Marshall, Be	verly		
	•	4	*	
		h foreign entity in the specific issues listed	94.04001	
Sign	nature		Date 8/10/2001	

Reg	gistrant Name:	Duke Energy Corporation	
Clie	Client Name: <u>Duke Energy Corporation</u>		
LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.			
15.	. General issue area code TRA (one per page)		
16. Specific Lobbying issues H.R.144, Pipeline Safety Act of 2001, Entire Bill H.R.459, Pipeline Safety Enhancement Act of 2001, Entire Bill S.235, Pipeline Safety Improvement Act of 2001, Entire Bill			
17.	Department of	ongress and Federal agencies contacted of Transportation rgy Regulatory Commission	☐ Check if None
18	House of Rep Senate		issue area
10.	Name	marvadar who deted as a loosylst in this	Covered Official Position (if applicable)
			er store official 2 solution (in approved)
	Marshall, Be		
	Prenda, Bria	<u>n</u>	
			·
			
19.	Interest of eac	h foreign entity in the specific issues listed	I on line 16 above 🔀 Check if None
Sign	nature		Date 8/10/2001

Regist	trant Name:	Duke Energy Corporation	
Client	: Name:	Duke Energy Corporation	
engag	ed in lobbyin	•	ry to reflect the general issue areas in which the registrant ing period. Using a separate page for each code, provide d.
16. S	5.919, A bill 1	ying issues or Energy Electricity Supply Assurance	e Act of 2001, Entire Bill. Budy the feasibility of developing commercial nuclear end
. I	House(s) of C H ouse of Rep Senate	ongress and Federal agencies contacted resentatives	☐ Check if None
	Name of each Name	individual who acted as a lobbyist in this	issue area Covered Official Position (if applicable)
	Haywood, M	ichael	
	Henry, Wayn		
	Marshall, Be	verly	
· '	<u> </u>	- :	
19. I	nterest of eac	h foreign entity in the specific issues liste	d on line 16 above 🔀 Check if None
Signat	ture		Date