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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>H.D. VEST FINANCIAL SERVICES</b>	
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>6333 No. State Highway 161</b> <b>Fourth Floor</b> City <b>IRVING</b> State/Zip (or Country) <b>TX 75038</b>	
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____	
4. Contact Name <b>Terra Gray</b>	5. Senate ID # <b>40008-12</b>
7. Client Name <input type="checkbox"/> Self <b>SELF</b>	6. House ID # <b>32890000</b>

**TYPE OF REPORT** 8. Year 2000 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report   
 10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> &gt;&gt; \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> &gt;&gt; \$ <u>\$20,000.00</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(c) of the Internal Revenue Code</p>

Signature \_\_\_\_\_ Date 02/14/2001  
 Printed Name and Title Terra Gray - Director, Government Affairs Page 1 of 4

Registrant Name: H.D. VEST FINANCIAL SERVICES

Client Name: SELF

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPI (one per page)
16. Specific Lobbying issues  
H.R.1714, Electronic Signatures in Global and National Commerce Act,  
S.761, Millennium Digital Commerce Act,

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Gray, Terra</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/14/2001

Printed Name and Title Terra Gray - Director, Government Affairs Page 2 of 4

Registrant Name: H.D. VEST FINANCIAL SERVICES

Client Name: SELF

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues  
H.R.3005 S.1815, Securities Reform Legislation,

Federal Trade Commission consent decree on anti-trust implications of agent commissions on the matter of the American institute of Certified Public Accountants. (File No. 851-0020).

17. House(s) of Congress and Federal agencies contacted  Check if None  
Federal Trade Commission  
House of Representatives  
Securities & Exchange Commission  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Gray, Terra</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/14/2001

Printed Name and Title Terra Gray - Director, Government Affairs Page 3 of 4

Registrant Name: H.D. VEST FINANCIAL SERVICES

Client Name: SELF

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)


16. Specific Lobbying issues  
**H.R.1102, Comprehensive Retirement Security and Pension Reform Act,**  
**H.R.2331, To amend the Internal Revenue Code of 1986 to increase and modify the inclusion relating to qualified small business stock and to provide an exclusion relating to incentive stock,**  
**H.R.2488, Financial Freedom Act of 1999, independent contractor and capital gains provisions.**  
**S.1429, Taxpayer Refund Act of 1999, independent contractor and capital gains provisions.**  
**Electronic filing of federal tax returns.**  
**Executive Branch Actions:**  
**olicies and demonstration projects on electronic filing of tax returns.**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Internal Revenue Service**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<b>Gray, Terra</b>		<b>No</b>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 02/14/2001

Printed Name and Title Terra Gray - Director, Government Affairs Page 4 of 4