

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF T
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Hoffmann-La Roche Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 1300 I Street, NW Suite 520 West, Washington, DC 20005-3314			
3. Principal Place of Business (if different from line 2) City: Nutley State/Zip (or Country) NJ 07110			
4. Contact Name Candace Sams		Telephone 202-408-0090	E-mail (optional)
7. Client Name <input checked="" type="checkbox"/> Self		5. Senate ID # 18411-1	
		6. House ID # 3116700	

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____

11. No Lobbying ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>1,815,000</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of methods.
	<input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition
	<input type="checkbox"/> Method B. Reporting amounts under section 6033 Internal Revenue Code
	<input type="checkbox"/> Method C. Reporting amounts under section 162(c) Internal Revenue Code

Signature

Brian Burns

8/14/01

Printed Name and Title

Brian Burns, Director, Federal Government Affairs



Registrant Name Hoffmann-La Roche Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

Intellectual Property/Patent-related Issues
Hatch-Waxman Reform

17. House(s) of Congress and Federal agencies contacted ☒ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mr. Brian Burns	Director, Federal Government Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None
Roche Holding Ltd.--100% ownership in client
F. Hoffmann-La Roche Ltd.--Interests similar to those of client

Signature Brian Burns Date 8/14/01

Printed Name and Title Brian Burns, Director, Federal Government Affairs



Registrant Name Hoffmann-La Roche Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide the information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HR2352 Prescription Drug Advertisement Act
S. 1032 International Infectious Disease Control Act of 2000
S. 838 Best Pharmaceuticals for Children Act
HR1862 Greater Access to Affordable Pharmaceuticals
S. 193 Access to Cancer Therapies

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mr. Brian Burns	Director, Federal Government Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Roche Holding Ltd.--100% ownership in client

F. Hoffmann-La Roche Ltd.--Interest similar to those of client

Signature Brian Burns Date 8/14/01

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Registrant Name Hoffmann-La Roche Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HR 4577, S.2553, Labor, HHS, Education Appropriations Act 2001
(CARE ACT 2001, HR 2239, S.805IS; Medicare Prescription
Drug Coverage, S.101S)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mr. Brian Burns	Director, Federal Government Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Roche Holding Ltd.--100% ownership in client

F. Hoffmann-La Roche Ltd.--Interest similar to those of client

Signature

Brian Burns

Date

8/14/01

Printed Name and Title Brian Burns, Director, Federal Government Affairs



Registrant Name Hoffmann-La Roche Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues Medical Technology Coverage (HR 4395 Medicare Technology Act)
HR 1389, Immunosuppressive Drug Coverage Extension Act
S. 631, Immunosuppressive Drug Coverage Act
Product Specific Medicaid Drug Coverage
Medicare Drug Coverage Bills: (HR 1495, S. 841, S. 1480, HR
S. 28-7, HR 4680)
Medicare ESRD

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Ms. Sara Franko</u>	<u>Director, Federal Government Affairs</u>
<u>Mr. Brian Burns</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None
Roche Holding Ltd.--100% ownership in client
F. Hoffmann-La Roche Ltd.--Interests similar to those of client

Signature Brian Burns Date 8/14/01

Printed Name and Title Brian Burns, Director, Federal Government Affairs



Registrant Name Hoffmann-La Roche Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code. Information as requested. Attach additional page(s) as needed.

15. General issue area code ^{MMM} cont'd (one per page)

16. Specific lobbying issues

HR 1641 Medicaid Obesity Treatment Act of 2001
S. 1135 Medicare Reform Act
S. 880 Immunosuppressives
HR 1839 Immunosuppressives Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ms. Sara Franko	Director, Federal Government Affairs
Mr. Brian Burns	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None
Roche Holding Ltd.--100% ownership in client
F. Hoffmann-La Roche Ltd.--Interests similar to those of client

Signature Brian Burns Date 8/14/01

Printed Name and Title Brian Burns, Director, Federal Government Affairs

[REDACTED]

Registrant Name Hoffmann-La Roche Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Ms. Sara Franko

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

TRD

LAW

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Overseas personnel client
Previously	filed on Form LD-IU		X \$50,000	

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant, affiliated organization

Signature

Brian Burns

Date

8/14/01

[REDACTED]