Clerk of the House of Representatives Secretary of the Senate Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE SENATE

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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

i. Registraps Name	* · · · · · · · · · · · · · · · · · · ·	
Caterpillar Inc.		
2. Address		
100 NE Adams Street		
J. Principal Place of Business (if different from line 2)		
City: Peoria, State/2	ip (or Coungy) II. 61629-1430	
4. Contact Name Telephone	E-muil (optional)	5. Senate ID#
Douglas F. Crew (309) 675-5248	rew_douglas_p@CAT.com	8475-12
7. Client Name  Self		6. House ID# 31319000
<ol> <li>Check if this filing amends a previously filed version of this:</li> <li>Check if this is a Termination Report □ ⇒ Termination</li> </ol>	Date	End (July 1-December 31)
INCOME OR EXPENSES - Complete Either	Line 12 OR Line 13	FFFFLLLLIAAAAAAALLA
12. Lobbying Firms	t3. Organiz	ations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying acti period were:	ivities for this reporting
Less than \$10,000 🚨	Less than \$10,000 🚨	
510,000 or more	\$10,000 or more 🔲 🗢 \$220,0	00.00
Income (nearest \$20,000)  Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all	14. REPORTING METHOD. Che accounting method. See instructions	for description of options
payments to the registrant by any other entity for lobbying	Method A. Reporting amounts a	
activities on behalf of the client).	Method B. Reporting amounts a Internal Revenue Co	
	Method C. Reporting amounts of Internal Revenue Co	
Signature Monday Sect		· · · · · · · · · · · · · · · · · · ·
Printed Name and Title Doog Las P. Crew, Manager	, Governmental Affairs	
1.D-2 (REV. 6/98)		PAGE 1 of 14

Registrant Name Caterpillar Inc. Client Na	meSelf	
LOBBYING ACTIVITY. Select as many codes as necessar engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as neede	ing period. Using a separate name for each code projects	-
15. General issue area code (one per page)	•	
16. Specific lobbying issues Support Sanctions Process Reform Support Trade Promotion Authority Support Free Trade Agreements with Jordan, Support Efforts to Improve Relations with Support Normal Trade Relations with China Support various bills to improve relations Support Normalized Trade Relations with Vi Support Funding for EX-IM Bank, OPIC, TDA	Iran (annual) with Cuba etnam	inding
17. House(s) of Congress and Federal agencies contacted	Check if None	
U. S. House U. S. Senate White House State Dept. Treasury Dept. Commerce Dept. USTR 18. Name of each individual who acted as a lobbyist in this	s issue area	
Name	Covered Official Position (if applicable)	New }
William C. Lane	Washington Director, Governmental Affairs	a l
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19. Interest of each foreign entity in the specific issues listed on	line 16 above	
<u>.</u>		
Signature  Printed Name and Title Pouglas P. Crew, Manage	Date	<del></del>
Printed Name and Title Pouglas P. Crew, Manage	a describerta antique	
Form LD-I (Rev.4/98)	Page Z of L	4

ue areas in which the registrant tte page for each code, provide	<b>-</b>
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l Position (if applicable)	New
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k if None	
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Page 3 of 1	4
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	Allar Inc.	Client Name Self	
with a survival of the surviva	Y. Select as many codes schalf of the client during . Anach additional page(	as necessary to reflect the general issue areas in which the registrant the reporting period. Using a separate page for each code, provide s) as needed.	•••
General issue area co	ode DEF (one p	er page)	
Specific lobbying is:	suce		
Support Defense	Appropriations (No	Bill Number at Present)	
House(s) of Congre	ss and Federal agencies c	contacted Check if None	
U. S. House U. S. Senate		·	
. Name of each indiv	ridual who acted as a lobb	byist in this issue area	
	Name	Covered Official Position (if applicable)	New
James P. Hallora	ın	Washington Manager, Governmental Affairs	
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15. General issue	acea code EDU	(one per page)	
16. Specific lobb	ying issues		
	l Education Reautho l Education Reautho		
17. House(s) of	Congress and Federal ag	geneies contacted	
		and Cities is facility	
U. S. Hous U. S. Sens			
18. Name of ear	ch individual who acted a	as a lobbyist in this issue area	
	Name	Covered Official Position (if applicable)	New
James P. H	alloran	Washington Manager, Governmental Affairs	
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19. Interest of ea	sch fareion entity in the sne	ecific issues listed on line 16 above Check if None	[ ]
	and the same of the same says	- The court is the to above Check it radie	
Signature		Date	<del></del>
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rgistram Name <u>Caterpillar Inc.</u> Clies	r Name Self	
OBBYING ACTIVITY. Select as many codes as necessaged in lobbying on behalf of the client during the reptformation as requested. Attach additional page(s) as necessaged.	essary to reflect the general issue areas in which the registrant porting period. Using a separate page for each code, provide eeded.	····
5. General issue area code <u>HCR</u> (one per page	<b>=</b> )	
6. Specific lobbying issues		
Oppose S.1052 Patients' Bill of Rights Oppose BR.526 Patients' Bill of Rights Oppose HIPPA Privacy Regulations		
17. House(s) of Congress and Federal agencies contact	ed	
U. S. House U. S. Senate Realth and Human Services Administration	n .	
18. Name of each individual who acted as a lobbyist in	this issue area	
Harris	Covered Official Position (if applicable)	New
James P. Halloran	Washington Manager; Governmental Affairs	a
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19. Interest of each foreign entity in the specific issues liste		· ·
Signature		
Signature Printed Name and Tisla Douglas P. Crew, Man	Date	
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egistrant Name Caterpillar Inc. Cliont N	Self	
OBSYING ACTIVITY. Select as many codes as necess magaged in lobbying on behalf of the client during the report formation as requested. Attach additional page(s) as need	Time period. Heige a tenarate page for each and	-
5. General issue area code LBR (one per page)		
i.6. Specific lobbying issues		
Oppose Contract Debarment Regulations Support Congressional Review Act PL-107-5 Support H.R. 1602 - Rewarding Performance	(Ergonomics) in Compensation Act	
17. House(s) of Congress and Federal agencies contacted	Check if None	
U. S. House U. S. Senate Office of Federal Procurement Policy Office of Management & Budget Executive Office of the President		
18. Name of each individual who acted as a lobbyist in th	sis issue area	
Narta ·	Covered Official Position (Fapplicable)	New
James P. Halloran	Washington Manager, Governmental Affairs	u
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19. Interest of each foreign entity in the specific issues listed of	on line 16 above	•
Signature		<del></del>
Printed Name and Title Douglas P. Crew, Manage	r, Governmental Affairs	
Form LD-2 (Rev.0/12)	PageOf	14

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15. General issue	area code ENG (one per pag	(e)	•
16. Specific lobb	ying issues		
Electricit	y Restructuring - Support	•	
17. House(s) of (	Congress and Federal agencies contact	and Check if Name	
U. S. Sena Dept. of E	te		
EPA	nergy		
18. Name of esc	h individual who acted as a lobbyist in	n this issue area	_
	Name	Covered Official Position (if applicable)	New
William N.	Ball	Washington Manager, Governmental Affairs	
Rita L. Ca	stle	Issues Analysis Manager	
Richard S.	Brent	Director, Government Affairs	
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19. Interest of each	h foreign entity in the specific issues liste	d on line 16 above	
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Printed Name and	Title Douglas P. Crew, Mana	ager, Governmental Affairs	
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		Page 8 of	<u>. ‡ 51</u>

Registrant Name_	Caterpillar	Inc.	Client Name	Self	
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15. General issu	e area code SCI	(one p	er page)		
16. Specific lob	bying issues				
HDDE Pro LDDE Pro Advanced	Rnergy, OHVT, Ogram Ogram i Petroleum Ba Ury Truck Init	used Fuels		02) - Support	
17. House(s) of	Congress and Fe	deral agencies o	ontacted	Check if None	
U. S. Hous U. S. Sens Dept. of £	ste				
18. Name of ea	ch individual who	o acted as a lobb	yist in this issue	urea ·	
	Naurio			Covered Official Position (if applicable)	New
Rita L. Ca	istle		lss	ues Analysis Manager	
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Signature				Ď-1-	•
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Form LD-2 (Rev AA	PB)			Page (	of <u>14</u>

egistrant Name Caterpillar Inc. Client Na	meSelf	
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5. General issue area code TOR (one per page)		
6. Specific lobbying issues	•	· ·
Workplace Goods Job Growth and Competitive Interstate Class Action Pairness Act - Sup		
Anterstate orașa accion rairilesa net - Buj	Sport C	
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7. House(s) of Congress and Federal agencies contacted		
	Check if None	
U. S. House U. S. Senate Dept. of Justice Administration		
8. Name of each individual who acted as a lobbyist in this	s issue area	
Natre:	Covered Official Position (if applicable)	New
Rita L. Castle	Issues Analysis Manager	o l
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19. Interest of each foreign entity in the specific issues listed on	line 16 above	
Signature	Date	;
Printed Name and Title Douglas P. Crew, Manage	er, Governmental Affairs	·
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egistrant Name	Caterpillar Inc.	Client Name Self	
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5. General issue	area code <u>NAT</u> (on	e per page)	
6. Specific lobb	ying issues		
Department Expanded A	of Interior/BLM/Mount access to Oil and Natur	ain Top Mining Reform/Millsite Issues - Support al Gas on Federal Lands - Support	
			:
17. House(s) of (	Congress and Federal agencie	s contacted Check if None	
U.S. Hous U.S. Sena U.S. Dept			
18. Name of eac	h individual who acted as a l	obbyist in this issue area	
	Name	Covered Official Position (if applicable)	New
Rita L. Ca	stle	Issues Analysis Manager	٥
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19. Interest of ea-	ch foreign entity in the specific i	ssues listed on line 16 above Check if None	•
Signature	D D O.	Date	<u></u>
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Form LD-2 (Rev. 6/08	3)	Page 11	_of _14_

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5. General issue area code SCI (one per p	Dage)	
6. Specific lobbying issues		
Department of Energy ARES Program In	terior Appropriations (FY 2002) - Support	
17. House(s) of Congress and Federal agencies con	tacted Check if None	-
U.S. House U.S. Senate		
Department of Energy		
18. Name of each individual who acted as a lobbyis	st in this issue area	
Name	Covered Official Position (if applicable)	New
William N. Ball	Washington Manager, Governmental Affairs	o
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19. Interest of each foreign entity in the specific issues 1	listed on line 16 above Check if None	
Signature	-	<del></del>
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Form LD-2 (Rev. 6/98)	Page 12 of	14

Registrant Name	Caterpillar Inc.	Ction: Name Self						
LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.								
15. General issue area code Tax (one per page)								
16. Specific lobbying issues Support HR 4986 FSC Repeal & Extraterritorial Income Act of 2000 Support Interest Allocation Reform (No bill number) Support Deferral for Active Finance (No bill number) Capital Cost Recovery/Depreciation Reform (No bill number) International Tax Simplification								
17. House(s) of	Congress and Federal agencies o	contacted Check if None						
U. S. Hous U. S. Sens Treasury USTR White Hous State Dept Commerce E	e te e							
	Natre	Covered Official Position (if applicable)	New					
William N.	Ball	Washington Manager, Governmental Affairs						
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19. Interest of ea	ch foreign entity in the specific issu	tes listed on line 16 above	1 1					
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Printed Name and	Title Douglas P. Crew,	Manager, Governmental Affairs	···········					
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Registrant Name_	Caterpillar	Inc.	Client Name	Self				
LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.								
15. General issu	ue area code <u> </u>	RD (one p	er page)		•			
16. Specific lot	bying issues							
Support	China PNTR							
17. House(s) of Congress and Federal agencies contacted								
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18. Name of each individual who acted as a lobbyist in this issue area								
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Printed Name and Title Bouglas P. Crew, Manager, Governmental Affades								
Form 1,0-1 (Rev.)	Form 1.0-1 (Rev. 698) Page 14 of 14							