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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

. Registrant Name	
Caterpillar Inc.	
2. Address Check if different than previously reported 100 NE Adams Street	
3. Principal Place of Business (if different from line 2) City: Peoria State/Zi	p (or Country) IL 61629–1430
4. Contact Name Telephone Douglas P. Crew (309) 675-5248	L-rium (optionar)
7. Client Name Self	6. House ID # 31319000
. Check if this filing amends a previously filed version of this r	enort 🔲
10. Check if this is a Termination Report □ ⇒ Termination	Date 11. No Lobby
	Date 11. No Lobby
10. Check if this is a Termination Report □ ⇒ Termination I	Line 12 OR Line 13 13. Organizations
0. Check if this is a Termination Report □ ⇒ Termination INCOME OR EXPENSES - Complete Either 12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was:	Line 12 OR Line 13 13. Organizations EXPENSES relating to lobbying activities for this
10. Check if this is a Termination Report □ ⇒ Termination INCOME OR EXPENSES - Complete Either 12. Lobbying Firms INCOME relating to lobbying activities for this reporting	Line 12 OR Line 13 13. Organizations EXPENSES relating to lobbying activities for this apperiod were:
Income or Expenses - Complete Either 12. Lobbying Firms Income relating to lobbying activities for this reporting period was: Less than \$10,000 □ \$10,000 or more □ ⇔ \$	Line 12 OR Line 13 13. Organizations EXPENSES relating to lobbying activities for this apperiod were: Less than \$10,000 \$10,000 or more \$\frac{12}{2} \ightrightarrow \frac{680}{2},000\$ Expenses (nearest \$2
Income (nearest \$20,000) Income if this is a Termination Report □ ⇒ Termination Income a good faith estimate, rounded to the nearest \$20,000,	Line 12 OR Line 13 13. Organizations EXPENSES relating to lobbying activities for this reperiod were: Less than \$10,000 \$10,000 or more \$680,000 Expenses (nearest \$2 14. REPORTING METHOD. Check box to indicaccounting method. See instructions for description
Income (nearest \$20,000) Income if this is a Termination Report □ ⇒ Termination Income in the Income (nearest \$20,000) The Income income □ ⇒ \$	Line 12 OR Line 13 13. Organizations EXPENSES relating to lobbying activities for this period were: Less than \$10,000
INCOME OR EXPENSES - Complete Either 12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 □ \$10,000 or more □ ⇒ \$	11. No Lobby Line 12 OR Line 13 13. Organizations EXPENSES relating to lobbying activities for this period were: Less than \$10,000

v Printed Name and Title_	Douglas P.	Crew, Manager,	Governmental	Affairs
LD-2 (REV. 6/98)			·	

Registrant Name Caterpillar Inc.	ient NameSelf
LOBBYING ACTIVITY. Select as many codes as rengaged in lobbying on behalf of the client during the information as requested. Attach additional page(s) as ne	reporting period. Using a separate page for each c
15. General issue area codeRET (one per pag	e)
16. Specific lobbying issues	
Support HR 1776 - Pension Preservation & Savings Expans retirement savings opportunities permanent.	sion Act of 2003 - to amend the IRS code to make today's
17. House(s) of Congress and Federal agencies contacted	I ☐ Check if None
U.S. House and Senate White House 18. Name of each individual who acted as a lobbyist in t	his issue area
Name	Covered Official Position (if applicable)
Kathryn S. Himes	Washington Manager, Governmental Affairs
Edward J. Kaleta III	Washington Manager, Governmental Affairs
19. Interest of each foreign entity in the specific issues liste	ed on line 16 above
Signature	Date

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each conformation as requested. Attach additional page(s) as needed. 15. General issue area codeHCR (one per page) 16. Specific lobbying issues Support HR 1 & S 1 - Prescription Drug & Medicare Improvement Act of 2003 - to provide for a voluntary program offering a new prescription drug benefit to the Medicare program. 17. House(s) of Congress and Federal agencies contacted Check if None U.S. House and Senate White House 18. Name of each individual who acted as a lobbyist in this issue area Name	Registrant Name Caterpillar Inc. Client	NameSelf
Support HR 1 & S 1 - Prescription Drug & Medicare Improvement Act of 2003 - to provide for a voluntary program offering a new prescription drug benefit to the Medicare program. 17. House(s) of Congress and Federal agencies contacted U.S. House and Senate White House 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Kathryn S. Himes Edward J. Kaleta III Washington Manager, Governmental Affairs Washington Manager, Governmental Affairs	LOBBYING ACTIVITY. Select as many codes as necesengaged in lobbying on behalf of the client during the repo	ssary to reflect the general issue areas in which t rting period. Using a separate page for each c
Support HR 1 & S 1 - Prescription Drug & Medicare Improvement Act of 2003 - to provide for a voluntary program offering a new prescription drug benefit to the Medicare program. 17. House(s) of Congress and Federal agencies contacted U.S. House and Senate White House 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Kathryn S. Himes Washington Manager, Governmental Affairs Edward J. Kaleta III Washington Manager, Governmental Affairs	15. General issue area code HCR (one per page)	
offering a new prescription drug benefit to the Medicare program. 17. House(s) of Congress and Federal agencies contacted U.S. House and Senate White House 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Kathryn S. Himes Edward J. Kaleta III Washington Manager, Governmental Affairs Edward J. Kaleta III	16. Specific lobbying issues	
U.S. House and Senate White House 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Kathryn S. Himes Washington Manager, Governmental Affairs Edward J. Kaleta III Washington Manager, Governmental Affairs		
Name Covered Official Position (if applicable) Kathryn S. Himes Edward J. Kaleta III Washington Manager, Governmental Affairs Washington Manager, Governmental Affairs	17. House(s) of Congress and Federal agencies contacted	☐ Check if None
Kathryn S. Himes Edward J. Kaleta III Washington Manager, Governmental Affairs Washington Manager, Governmental Affairs	White House	ssue area
Edward J. Kaleta III Washington Manager, Governmental Affairs		
	Kathryn S. Himes	
Signature Date	Signature	Date

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Registrant Name Caterpillar Inc. Clien	nt Name
LOBBYING ACTIVITY. Select as many codes as necessaged in lobbying on behalf of the client during the reinformation as requested. Attach additional page(s) as need 15. General issue area code (one per page)	porting period. Using a separate page for each cled.
16. Specific lobbying issues	
Support Defense Appropriations - HR 4613 Making appropriate ending September 30, 2005, for the Service Life Extension Proceedings Equipment.	ions for the Department of Defense for the fiscal year ogram (SLEP), Material Handling Equipment, and
17. House(s) of Congress and Federal agencies contacted	☐ Check if None
18. Name of each individual who acted as a lobbyist in this	s issue area
Name	Covered Official Position (if applicable)
19. Interest of each foreign entity in the specific issues listed	
Signature	Date

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Page ____

Registrant NameCaterpillar Inc.	Client Name	Self
LOBBYING ACTIVITY. Select as many codes a engaged in lobbying on behalf of the client during to information as requested. Attach additional page(s) as 15. General issue area code (one per)	he reporting perion needed.	
16. Specific lobbying issues		
Support H.R. 4759 — The United States-Australia Free Support H.R. 4842 — The United States Morocco Free Support H.R. 4103 — AGOA Acceleration Acto f 2004 — Support H.R. 3933 — Repeal Section 754 of the Tariff Assupport most parts of H.R. 4818 — Foreign Operations, 2005.	rade Agreement. legislation to extend ct of 1930 (so-called	Byrd Amendment).
17. House(s) of Congress and Federal agencies contact	cted 🔲 (Check if None
U.S. House and Senate White House State Dept. Treasury Dept. Commerce Dept. USTR and USITC 18. Name of each individual who acted as a lobbyist	in this issue area	
Name		Covered Official Position (if applicable)
William C. Lane	Washingt	on Director, Governmental Affairs
		·
19. Interest of each foreign entity in the specific issues	listed on line 16 ab	ove
Signature		Date

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Registrant Name Caterpillar Inc. Client I	Name Self
LOBBYING ACTIVITY. Select as many codes as necessengaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as needed 15. General issue area code (one per page)	rting period. Using a separate page for each c
16. Specific lobbying issues	
Oppose Section 580 in H.R. 4818 A provision that would place Support H.R. 4457 Cuba Sanctions Reform Act of 2004 legis and travel restrictions on Cuba. Oppose H.R. 3058 Currency Harmonization Initiative through Nequire additional tariffs on imports for China based on the rate of	station that would require congressional renewal of trad Neutralizing Action Act of 2003 legislation that would
17. House(s) of Congress and Federal agencies contacted	☐ Check if None
U.S. House and Senate White House State Dept. Treasury Dept. Commerce Dept. USTR and USITC 18. Name of each individual who acted as a lobbyist in this is	ssue area
Name	Covered Official Position (if applicable)
William C. Lane	Washington Director, Governmental Affairs
19. Interest of each foreign entity in the specific issues listed on	
Signature	Date

Printed Name and Title	Douglas P. Crew, Manager, Governmental affairs	
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Registrant Name_	Caterpillar Inc.	Client Name	Self
engaged in lobb	CTIVITY. Select as many coying on behalf of the client directional properties. Attach additional properties of the contract of	aring the reporting per	eflect the general issue areas in which the riod. Using a separate page for each coo
15. General iss	ue area code ENG (d	one per page)	
16. Specific lol	obying issues		
Electri	city Restructuring - S	upport	
17. House(s) o	f Congress and Federal agenc	ies contacted	Check if None
Dept. EPA	House and Senate of Energy ach individual who acted as a	lobbyist in this issue	area
	Name		Covered Official Position (if applicable)
Willia	m N. Ball	Wash	ington Manager, Governmental A

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19. Interest of	each foreign entity in the specifi	c issues listed on line 16	above Check if None
Signature			Date

Pa

Printed Name and Title_

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Registrant Name Caterpillar Inc. Client N	NameSelf
LOBBYING ACTIVITY. Select as many codes as necess engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as need	rting period. Using a separate page for each coo
15. General issue area codeTAX (one per page)	
16. Specific lobbying issues	
Support Deferral for Active Finance (N. Support HE1769 Job Protection Act of 2 Capital Cost Recovery/Depreciation Ref American Jobs Creation Act of 2003 H.R Jobs & Growth Reconciliation Tax Act of American Competitiveness Act of 2002 H Jumpstart Our Business Strength (Jobs)	003 form (No bill number) - Support 2. 2896 Thomas Bill - Opposed 3. 2003 H.R. 2/S.2 - Support 3.R. 5090: Thomas Bill - Opposed
17. House(s) of Congress and Federal agencies contacted	
U. S. House and Senate Treasury USTR White Hosue State Dept. Commerce Dept. Office of Management and Budgets (OMB) 18. Name of each individual who acted as a lobbyist in the	
Name	Covered Official Position (if applicable)
William N. Ball	Washington Manager, Governmental
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19. Interest of each foreign entity in the specific issues listed of	on line 16 above
Signature	Date

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Registrant	t Name_	Caterpillar Inc.	Client Na	ıme	Self
engaged informat	in lobb ion as r	ying on behalf of the clien equested. Attach addition SCT	t during the report al page(s) as need	ting perio	lect the general issue areas in which the od. Using a separate page for each cod
15. Gen	eral issi	ue area code	(one per page)		
16. Spe	cific lol	obying issues	_		
De	partme	ent of Energy: ARES	Program Inte	erior A	ppropriations - Support
17. Ho	use(s) o	of Congress and Federal ag	encies contacted		Check if None
De	partmo	ouse and Senate ent of Energy	ng a lakkujet in thi	a iggue a	
lo. Iva	me or e	ach individual who acted a	is a loooyist iii uii	s issue ai	Covered Official Position (if applicable)
Wi	lliam	N. Ball		Wash	ington Manager, Governmental
Ed	lward	J. Kaleta, III	***************************************	Wasl	nington Manager, Governmental
***************************************	**********************			***************************************	
	-4110101410040				
***************************************	**********				•••••••••••••••••••••••••••••••••••••••
19. In	terest of	each foreign entity in the spe	cific issues listed or	iline 16 a	bove Check if None
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Signati	ure				Date

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Registrant Name Caterpillar Inc. Client N	NameSelf
LOBBYING ACTIVITY. Select as many codes as necess engaged in lobbying on behalf of the client during the repoint information as requested. Attach additional page(s) as need to be a selected as the control of the client during the repoint of the client during the report information as requested.	rting period. Using a separate page for each cod
15. General issue area code ENG (one per page)	
16. Specific lobbying issues Electricity Restructuring - Support	
17. House(s) of Congress and Federal agencies contacted	☐ Check if None
U. S. House U. S. Senate Department of Energy EPA 18. Name of each individual who acted as a lobbyist in the	uis issue area
Name	Covered Official Position (if applicable) Director, Solar Turbines Incorpor: Government Affairs
Richard S. Brent	COVERIMENT ATTAILS
·	
19. Interest of each foreign entity in the specific issues listed of	on line 16 above
Signature	Date

Printed Name and Title	_	-	

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Registrant Name	Client Name
LOBBYING ACTIVITY. Select as many codes as engaged in lobbying on behalf of the client during the information as requested. Attach additional page(s) as a	<u> </u>
15. General issue area code (one per page 15.	age)
16. Specific lobbying issues	
Support - Clean School Bus USA - (part of Veterans, Hou program to replace and retrofit school bus engines Support - Various clean diesel issues including DOE initia	sing, NASA, EPA) President's \$65 million budget for EPA tives, EPA retrofit programs and advanced clean diesel
17. House(s) of Congress and Federal agencies contact	ed
U.S. House and Senate Environmental Protection Agency U.S. Dept. of Energy 18. Name of each individual who acted as a lobbyist in	this issue area
Name	Covered Official Position (if applicable)
Carl M. Volz	Washington Manager, Governmental Affairs
19. Interest of each foreign entity in the specific issues lis	sted on line 16 above
Signature	Date

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Registrant Name Caterpillar Inc. Client	NameSelf
LOBBYING ACTIVITY. Select as many codes as necessing engaged in lobbying on behalf of the client during the repeinformation as requested. Attach additional page(s) as needed	orting period. Using a separate page for each c
15. General issue area code (one per page)	
16. Specific lobbying issues	
Support - TEA-21 Transportation Bill - Increased funding for hig	hway and bridge infrastructure
17. House(s) of Congress and Federal agencies contacted	☐ Check if None
U.S. House and Senate Environmental Protection Agency U.S. Dept. of Energy	
18. Name of each individual who acted as a lobbyist in this	issue area
Name	Covered Official Position (if applicable)
Carl M. Volz	Washington Manager, Governmental Affairs
19. Interest of each foreign entity in the specific issues listed o	n line 16 above
Signature	Date

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Registrant Name Caterpillar Inc. Client Name	NameSelf
LOBBYING ACTIVITY. Select as many codes as neces engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as needed	ting period. Using a separate page for each c
15. General issue area code (one per page)	
16. Specific lobbying issues	
Support - HR-6 Energy Bill - Includes funding for new Alaska pip	eline and increased use of clean coal technology
17. House(s) of Congress and Federal agencies contacted	☐ Check if None
U.S. House and Senate Environmental Protection Agency U.S. Dept. of Energy	
18. Name of each individual who acted as a lobbyist in this is	ssue area
Name	Covered Official Position (if applicable)
Carl M. Volz	Washington Manager, Governmental Affairs
19. Interest of each foreign entity in the specific issues listed on	line 16 above ☐ Check if None
Signature Filing #35776d97-0701-46fb-8211-5e28babf19	Date Ciny 12, 101

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