



SECRETARY OF THE SENATE

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Chicago, Illinois 6060
312-558-1000 Fax 312-751

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SCOTT H

312.750.2750 Fax 312.921
scott.hodes@rosshardie

January 28, 2003

Secretary of the Senate
Office of Public Records
232 Hart Senate Office
Washington, D. C. 20510-7116

Re: Lobbying Disclosure Act of 1995
Investment Company Institute - ID 33762-12

Dear Sir or Madam:

Enclosed herewith is Form LD-2 (Lobbying Report) for the Year End period July 1 through December 31, 2002. This Report is being submitted on behalf of Registrant, Ross & Hardies

If you have any questions concerning the attached Report, please do not hesitate to contact the undersigned.

Please confirm receipt of this Report by date stamping the enclosed copy of this letter and returning it to the undersigned in the self-addressed stamped envelope provided herein.

Very truly yours,

Scott Hodes

SH/j
enclosures

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Ross & Hardies			
2. Address <input type="checkbox"/> Check if different than previously reported 150 North Michigan Avenue, Suite 2500, Chicago, Illinois 60601			
3. Principal Place of Business (if different from line 2) City: Chicago State/Zip (or Country) Illinois 60601			
4. Contact Name Scott Hodes	Telephone 312/750-2750	E-mail (optional) scott.hodes@rosshardies.com	5. Senate ID # 3376
7. Client Name <input type="checkbox"/> Self Investment Company Institute			6. House ID # 31892

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) ☐ OR Year End (July 1-D

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date _____

11. No Lobbyi

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ 12,000.00 Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6113 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature

Scott Hodes

Printed Name and Title Scott Hodes, Partner

LD-2 (REV. 6/98)

Page

