

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

### FINANCIAL SERVICES ROUNDTABLE

2. Address:

1001 PENNSYLVANIA AVENUE, NW, SUITE 500 SOUTH, WASHINGTON, DC 20004

3. Principal place of business (if different from line 2):

4. Contact Name: JOEL KOPPERUD

Telephone: 202-289-4322

E-mail (optional): joel@fsround.org

Senate ID #: 5290-12

House ID #: 30821000

7. Client Name:  Self

## TYPE OF REPORT

8. Year 2004 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: \_\_\_\_\_ 11. No Lobbying Activity:

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): 2,640,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only  
 **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
 **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ACC (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
SENATE  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DANIELS, IRVING  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA S.  
Covered Official Position (if applicable): N/A  
Name: STEVE BARTLETT  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AGR (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
Farm Credit Administration  
HOUSE OF REPRESENTATIVES  
President of the U.S.  
SENATE  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: LISA S. MCGREEVY  
Covered Official Position (if applicable): N/A  
Name: RICHARD M. WHITING  
Covered Official Position (if applicable): N/A  
Name: SCOTT E. TALBOTT  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BAN (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)  
Congressional Budget Office (CBO)  
Education, Dept of  
Employment Standards Administration  
Executive Office of the President  
Export-Import Bank of the United States (EXIM Bank)  
Farm Credit Administration  
Federal Deposit Insurance Commission (FDIC)  
Federal Housing Finance Board (FHFB)  
Federal Labor Relations Authority (FLRA)  
HOUSE OF REPRESENTATIVES  
Health & Human Services, Dept of (HHS)  
Housing & Urban Development, Dept of (HUD)  
Internal Revenue Service (IRS)  
Labor, Dept of (DOL)  
Natl Credit Union Administration (NCUA)  
Natl Economic Council (NEC)  
Natl Institutes of Health (NIH)  
Natl Labor Relations Board (NLRB)  
Office of Administration  
Office of Management & Budget (OMB)  
Office of Personnel Management (OPM)  
Office of Policy Development  
Office of Thrift Supervision (OTS)  
Office of the Comptroller of the Currency (OCC)  
Patent & Trademark Office (PTO)  
Pension & Welfare Benefits Administration  
Pension Benefit Guaranty Corporation (PBGC)  
Postal Rate Commission  
President of the U.S.  
SENATE  
Small Business Administration (SBA)  
Social Security Administration (SSA)  
Treasury, Dept of  
U.S. Postal Service (USPS)  
U.S. Trade Representative (USTR)  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

Name: STEVENS, KATIE

Covered Official Position (if applicable): N/A

Name: TALBOTT, SCOTT E.

Covered Official Position (if applicable): N/A

Name: WHITING, RICHARD M.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BNK (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
SENATE  
Treasury, Dept of  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
SENATE  
Treasury, Dept of  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
Patent & Trademark Office (PTO)  
SENATE  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**



Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CSP (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
Labor, Dept of (DOL)  
SENATE  
Treasury, Dept of  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ECN (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)  
Council of Economic Advisers  
Economic Development Administration  
Executive Office of the President  
Federal Reserve System  
HOUSE OF REPRESENTATIVES  
SENATE  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Economic Development Administration  
Employment Standards Administration  
Executive Office of the President  
HOUSE OF REPRESENTATIVES  
SENATE  
Securities & Exchange Commission (SEC)  
Treasury, Dept of  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: GOV (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
Postal Rate Commission  
SENATE  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HOU (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Bureau of Economic Analysis  
Executive Office of the President  
HOUSE OF REPRESENTATIVES  
Housing & Urban Development, Dept of (HUD)  
SENATE  
Treasury, Dept of  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: INS (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
Labor, Dept of (DOL)  
SENATE  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: POS (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
Postal Rate Commission  
SENATE  
U.S. Postal Service (USPS)  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RES (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
SENATE  
Treasury, Dept of  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**



Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
SENATE  
Social Security Administration (SSA)  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SMB (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
SENATE  
Small Business Administration (SBA)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
Internal Revenue Service (IRS)  
SENATE  
Treasury, Dept of  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TOR (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
SENATE  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
SENATE  
U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: FINANCIAL SERVICES ROUNDTABLE Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: UNM (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President  
HOUSE OF REPRESENTATIVES  
SENATE  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BARBOUR, ANDY  
Covered Official Position (if applicable): N/A  
Name: BARTLETT, STEVE  
Covered Official Position (if applicable): N/A  
Name: BECCIA, JOHN  
Covered Official Position (if applicable): N/A  
Name: CLARKE, JANICE  
Covered Official Position (if applicable): N/A  
Name: DALTON, JOHN  
Covered Official Position (if applicable): N/A  
Name: IRVING E. DANIELS, JR.  
Covered Official Position (if applicable): N/A  
Name: KOPPERUD, JOEL  
Covered Official Position (if applicable): N/A  
Name: LEONARD, PAUL  
Covered Official Position (if applicable): N/A  
Name: MCGREEVY, LISA  
Covered Official Position (if applicable): N/A  
Name: STEVENS, KATIE  
Covered Official Position (if applicable): N/A  
Name: TALBOTT, SCOTT E.  
Covered Official Position (if applicable): N/A  
Name: WHITING, RICHARD M.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 11, 2005

Printed Name and Title: RICHARD WHITING - EXECUTIVE DIRECTOR