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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Humana Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 500 West Main Street			
3. Principal Place of Business (if different from line 2) City: Louisville		State/Zip (or Country) KY 40202	
4. Contact Name Heidi Margulis	Telephone (502) 580-1854	E-mail (optional) hmargulis@humana.com	5. Senate ID # 18
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 322

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying Activities ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>180,000.00</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 162(e)(2)(B) Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e)(2)(C) Internal Revenue Code</p>
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Signature Heidi Margulis

Printed Name and Title Heidi Margulis, SVP-Government Relations

LD-2 (REV. 6/98)

Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

Issues related to TRICARE & military health care funding
National Defense Authorization Act--HR4546/S2514

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U.S. Senate
Department of Defense--Health Affairs & TRICARE Management Activity

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

Heidi Harper

Date 2/10/03

Signature _____

Printed Name and Title Heidi Margulis, SVP Government Relations _____

Form LD-2 (Rev.6/98)

P

Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which t
engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each c
information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Patients Bill of Rights--HR2563, S1052
HIPAA Privacy Rules--S2201, HR1215, HR2036
ERISA Claims & SPD Rules
Mental Health Parity--HR3061, S543
Genetic Non-Discrimination--HR602/S318
Association Health Plans--HR1774
Insurance for the Uninsured--HR3009 (Trade Promotion Authority) S2679, HR1331
Patient Safety--HR4889

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U.S. Senate
Department of Health & Human Services
Department of Labor
Office of Management & Budget
White House Health Policy Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

Heidi Margulis

Date 2/10/03

Printed Name and Title Heidi Margulis, SVP-Government Relations

Form LD-2 (Rev. 6/98)

Pa

Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

Patients Bill of Rights--HR2563, S1052 HIPAA Privacy Rules--S2201 ERISA Claims & SPD Rules Mental Health Parity--HR3061, HR5716 Genetic Non-Discrimination--HR602/S318 Association Health Plans--HR1774, HR4170 Insurance for the Uninsured--HR3009 (Trade Promotion Authority) S2679 Class Action Lawsuit Reform--HR2341/S1712	Medical Malpractice Reform--HR460C
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17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
 U.S. Senate
 Department of Health & Human Services
 Department of Labor
 Office of Management & Budget
 White House Health Policy Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Heidi Margulis
 Filing #34216549-62c1-4e43-9ae9-3cfa9e0d8669 - Page 7 of 14

Date 2/10/03

Signature _____

Printed Name and Title Heidi Margulis, SVP-Government Relations

Form LD-2 (Rev. 6/98)

1

Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Items relating to Medicare reimbursement & prescription drug coverage--HR4954, S5019, S2625, S2729
Items relating to Medicare regulatory relief--HR3448 & Secretary's Advisory Cte on Regulatory Reform

17. House(s) of Congress and Federal agencies contacted ☐ Check if None


House of Representatives
U.S. Senate
Department of Health & Human Services
Department of Labor
Office of Management & Budget
White House Health Policy Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature 

Printed Name and Title Heidi Margulis, SVP-Government Relations

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Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Rollover of Flexible Spending Accounts funds--HR167, HR4804, HR3015
 Medical Savings Accounts--HR1524
 Regulatory issues related to Health Reimbursement Arrangements

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
 U.S. Senate
 Department of Treasury
 Office of Management & Budget

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

Heidi Margulis

Date 2/10/03

Printed Name and Title Heidi Margulis, SVF-Government Relations

Form LD-2 (Rev. 6/98)

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Registrant Name Humana Inc. Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

N/A

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

N/A

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

N/A

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bu (city and state or co
N/A		

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities
N/A			

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant or affiliated organization

N/A

Signature Heidi Margulis Date 2/10/03

Printed Name and Title Heidi Margulis, SVP-Government Relations

Form LD-2 (Rev. 6/98)

Page