

TESTA, HURWITZ & THIBEAULT, LLP

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SECRETARY OF THE SENATE  
01 AUG 10 AM 10:25

August 7, 2001

**Via Certified Mail P 7106 4575 1294 4602 8429**

Secretary of the Senate  
Office of Public Records  
232 Hart Senate Office Building  
Washington, DC 20510

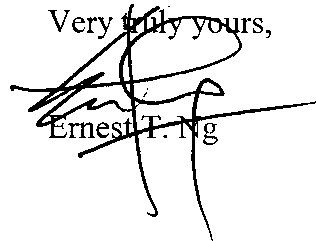
**RE: Form LD-2 Lobbying Report**

To Whom It May Concern:

Enclosed is an executed copy of the Midyear Form LD-2 Lobbying Report for 2001. form is submitted on behalf of Testa, Hurwitz & Thibault, LLP as the employer of Mr. Dea Gordanier, Jr. and Mr. Joseph A. Hugg. Messrs. Gordanier and Hugg conduct lobbying activ on behalf of one of Testa, Hurwitz & Thibault, LLP's clients. Our Senate identification nur is 37835-12.

Please contact either Joseph A. Hugg at (617) 248-7521 or me if you have any questi

Very truly yours,

  
Ernest T. Ng

Enclosures  
NGET5834/2.2147651-1



Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SENATE  
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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Testa, Hurwitz & Thibeault, LLP			
2. Address <input type="checkbox"/> Check if different than previously reported High Street Tower, 125 High Street, Boston, MA 02110			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Joseph A. Hugg	(617)248-7521		37835-12
7. Client Name <input type="checkbox"/> Self	National Venture Capital Association		6. House ID # 33674000

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying Activities

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center"><b>12. Lobbying Firms</b></p> <p><b>INCOME</b> relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>20,000</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p><b>EXPENSES</b> relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of method.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(f) Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature \_\_\_\_\_



Registrant Name Testa, Hurwitz & Thibeault, LLP Client Name National Venture Capital As

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide the information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Preparation and delivery to NVCA of memoranda proposing amendments to provisions of the Internal Revenue Code and Treasury Regulations dealing with the taxation of capital gains (in particular, Sections 1045 and 1202), and discussions with Michael Bopp, tax counsel to Senator Collins, regarding letter to Treasury Department seeking additional regulations or other authoritative guidance to implement and interpret existing provisions of Section 1045 of the Code.

17. House(s) of Congress and Federal agencies contacted  Check if None

United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Dean C. Gordanier	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name Testa, Hurwitz & Thibeault, LLP Client Name National Venture Capital As

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide the following information as requested. Attach additional page(s) as needed.

15. General issue area code LAB (one per page)

16. Specific lobbying issues

Preparation of a memorandum pertaining to the federal employees' retirement system.

17. House(s) of Congress and Federal agencies contacted  Check if None

United States House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joseph A. Hugg	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Joseph A. Hugg Date 8/6/2001  
Printed Name and Title Mr. Joseph A. Hugg, Partner

