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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Financial Planning Association ("FPA")</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>1615 L St, NW, Ste. 650</b>			
3. Principal Place of Business (if different from line 2) City: <b>Washington DC</b> State/zip (or Country) <b>20036</b>			
4. Contact Name <b>Neil Simon</b>		Telephone <b>202-626-8558</b>	E-mail (optional)
7. Client Name <input checked="" type="checkbox"/> Self			5. Senate ID # <b>53733</b>
			6. House ID # <b>349621</b>

**TYPE OF REPORT** 8. Year 2004 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇔ Termination Date \_\_\_\_\_

11. No Lobbying

<b>INCOME OR EXPENSES</b> - Complete Either Line 12 OR Line 13	
<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇔ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this report period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇔ \$ <u>140,000</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate reporting method. See instructions for description of reporting method.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(c) Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p>

Signature \_\_\_\_\_

Date \_\_\_\_\_

Printed Name and Title Neil A. Simon, Dir. of Gov't Relations

LD-2 (REV. 4/03)

PAGE 1 of

Registrant Name FPA Client Name SEI

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code tax (one per page)

16. Specific lobbying issues

H.R. 4714


17. House(s) of Congress and Federal agencies contacted  Check if None

House  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Bill DeRenter	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/12/04

Printed Name and Title Neil A Simon, Dir. of Gov't Rel



Registrant Name FPA Client Name self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

mutual fund reform - gen'l

17. House(s) of Congress and Federal agencies contacted  Check if None

House  
Senate  
SEC

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Neil Simon	
Duane Thompson	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/12/04

Printed Name and Title Neil A Simon, Director Gov't Rel

