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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

|   |                       |                   |                 |
|---|-----------------------|-------------------|-----------------|
| 1. Registrant Name<br><u>William J. Williams, Jr., Esq.</u>   |                       |                   |                 |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br><u>c/o Sullivan &amp; Cromwell, 125 Broad Street, New York, NY 10004</u> |                       |                   |                 |
| 3. Principal Place of Business (if different from line 2)<br>City: _____ State/Zip (or Country) _____   |                       |                   |                 |
| 4. Contact Name   | Telephone             | E-mail (optional) | 5. Senate ID #  |
| <u>William J. Williams, Jr., Esq.</u>   | <u>(212) 558-4000</u> |                   | <u>53915-12</u> |
| 7. Client Name <input type="checkbox"/> Self  |                       |                   | 6. House ID #   |
| <u>Securities Industry Association</u>  |                       |                   | <u>30572001</u> |

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report   
 10. Check if this is a Termination Report  ⇒ Termination Date 6/30/2001 11. No Lobbying

**INCOME OR EXPENSES** - Complete Either Line 12 OR Line 13

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| <p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input checked="" type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____<br/>Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____<br/>Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definit</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p> |
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Signature   
 Printed Name and Title Thomas D. Mullins III, Government Affairs Speciali

