

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page.

OFFICE OF THE SENATE

02/14 PM 4:44

1. Registrant Name

Covington & Burling

2. Address ☐ Check if different than previously reported.

1201 Pennsylvania Avenue, N.W.

3. Principal Place of Business (if different from line 2)

City: **Washington**

State/Zip (or Country) **DC/200**

4. Contact Name

Telephone

Email (optional)

5. Senate ID #

Roderick A. DeArment 202-662-5900

rdearment@cov.com

11195-366

7. Client Name ☐ Self

John Hancock Financial Services, Inc.

6. House ID #

31827019

TYPE OF REPORT 8. Year **2002** Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____ 11. No Lobbying Activities ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☒ ⇒ \$ **120,000**
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client.)

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☐ ⇒ \$ _____
Expenses (nearest \$20,000)

14. **REPORTING METHOD.** Check box expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LI definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(m) of the Internal Revenue Code

Signature _____
Printed Name and Title **Roderick A. DeArment**

Date February 13, 2003

DC: 599447-2

Registrant Name **Covington & Burling**

Client Name **John Hancock Financial Services Inc.**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code **BANK** (one per page)

16. Specific lobbying issues

Bankruptcy Reform Bill

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name

Covered Official Position (if applicable)

Roderick A. DeArment

Joan L. Kutcher

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____
Printed Name and Title

Date February 13, 2003

Roderick A. DeArment

Registrant Name **Covington & Burling**Client Name **John Hancock Financial Serv
Inc.**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code INS (one per page)

16. Specific lobbying issues

**Privacy legislation and use of Social Security numbers.
Legislation to create a Federal terrorism reinsurance program.**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**House of Representatives
United States Senate
Department of Treasury**

18. Name of each individual who acted as a lobbyist in this issue area

Name

Covered Official Position (if applicable)

Roderick A. DeArment

Joan L. Kutcher

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date February 13, 2003
Printed Name and Title **Roderick A. DeArment**

Registrant Name **Covington & Burling**

Client Name **John Hancock Financial Services Inc.**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code **LBR** (one per page)

16. Specific lobbying issues

Long Term Care Insurance Program for Federal Workers.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**House of Representatives
United States Senate
Office of Personnel Management
Department of Treasury**

18. Name of each individual who acted as a lobbyist in this issue area

Name

Covered Official Position (if applicable)

Roderick A. DeArment

Joan L. Kutcher

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date February 13, 2003
Printed Name and Title **Roderick A. DeArment**

Registrant Name

Covington & Burling

Client Name

**John Hancock Financial Serv
Inc.**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code **TAX** (one per page)

16. Specific lobbying issues

Above-the-line deduction for long-term care insurance.

Legislation to repeal the estate tax.

Capital gains treatment for annuities.

Tax treatment of stock options.

Tax treatment of COLI.

Tax treatment of split dollar life.

Tax rules permitting life/non-life consolidation.

Tax treatment of deferred compensation.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

United States Senate

Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name

Covered Official Position (if applicable)

Roderick A. DeArment

Joan L. Kutcher

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if 1

Signature _____
Printed Name and Title

Date February 13, 2003

Roderick A. DeArment

Registrant Name **Covington & Burling**Client Name **John Hancock Financial Services Inc.**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code AGR (one per page)

16. Specific lobbying issues

**Farm legislation to bar corporate ownership of livestock.
Legislation affecting meat-packing operations.**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**House of Representatives
United States Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name

Covered Official Position (if applicable)

Roderick A. DeArment

Joan L. Kutcher

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date February 13, 2003
Printed Name and Title **Roderick A. DeArment**

Registrant Name **Covington & Burling**Client Name **John Hancock Financial Services Inc.**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Legislation restricting loans to corporate officers and split dollar life insurance policies.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name

Covered Official Position (if applicable)

Roderick A. DeArment

Joan L. Kutcher

John C. Dugan

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date February 13, 2003
Printed Name and Title **Roderick A. DeArment**

Registrant Name **Covington & Burling**Client Name **John Hancock Financial Services Inc.**

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code RET (one per page)

16. Specific lobbying issues

Legislation on ERISA rules for investment advice to plan participants.

Legislation on trading during blackout period, company stock in plans and operation of defined contribution plans.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

United States Senate

Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area

Name

Covered Official Position (if applicable)

Roderick A. DeArment

Joan L. Kutcher

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date February 13, 2003
Printed Name and Title **Roderick A. DeArment**

Registrant Name **Covington & Burling**Client Name **John Hancock Financial Services Inc.****Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client**ISSUE UPDATE**24. General lobbying issues previously reported that **no longer** pertain

NAT

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Other personnel

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, client or affiliated organization

Signature  Date February 13, 2003
Printed Name and Title **Roderick A. DeArment**