Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE SENATE

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LOBBYING REPORT

I. Registrant Name			
New York Stock Excl			
	ferent than previously reported		
801 Pennsylvania Ave	nue, NW, Suite 630, Washingto	n, DC 20004	
3. Principal Place of Business (if di	fferent from line 2)		
New York	New \	/ork, 10005	
City:		(or Country)	5. Senate ID #
4. Contact Name	Telephone	E-mail (optional)	1
Kevin R. Edgar	(202) 347-4300	kredgar@nyse.com	29221-12
7. Client Name Self			6. House ID #
J. Calculation			312820
9. Check if this filing amend	s a previously filed version of this	(January 1-June 30) OR report D	
9. Check if this filing amend	s a previously filed version of this ation Report Termination	report 🗖	
10. Check if this is a Termin	s a previously filed version of this ation Report Termination	n Dateer Line 12 OR Line 13	
9. Check if this filing amend 10. Check if this is a Termin INCOME OR EXI 12. Lot	s a previously filed version of this ation Report Termination PENSES Complete Either	n Dateer Line 12 OR Line 13	11. No Lobbying
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9. Check if this filing amend 10. Check if this is a Termin INCOME OR EXI 12. Lot INCOME relating to lobby period was: Less than \$10,000 \$10,000 or more Provide a good faith estimat of all lobbying related income.	S a previously filed version of this ation Report Termination PENSES Complete Either Debying Firms Income (nearest \$20,000) The rounded to the nearest \$20,000, one from the client (including all by any other entity for lobbying	report The Date	ganizations g activities for this reporting 120,000.00 Expenses (nearest \$20,000) Check box to indicate expinons for description of optopunts using LDA definition opens under section 6033(1)

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Signature Twy	L. 42941	Date Hugust 12, 200
	Kevin R. Edgar, Specia	al Counsel & Director-Government Relations
Printed Name and Title —		
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Registrant Name -	New York Stock Exchange, Inc.	Client Name		1991
engaged in lobby	CTIVITY. Select as many codying on behalf of the client durin quested. Attach additional page(starea code (one page)	g the reporting perio	flect the general issue areas in d. Using a separate page for	which the reach code
16. Specific lobb	ying issues			
S. 14, The Ene	rgy Policy Act of 2003, Electricity Title	e, sections pertaining to	market transparency	
17. House(s) of (Congress and Federal agencies co	ntacted 🔲	Check if None	
U.S. Senate 18. Name of eac	h individual who acted as a lobby Name	ist in this issue area	Covered Official Position (if applic	eable)
Allen "Beau" Gro	eenwood	15744-4401		
Richard Ribben	· · ·			
19. Interest of ea	ch foreign entity in the specific issu	l les listed on line 16 ab	oove	
Signature	Filing #2fb1a560-fe22-44bc-9	236-d5338bd00c18 - Pa	Date August	12,2

Printed Name and Title Kevin Russel & Director-Government Relations

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New York Stock Exchange, Inc.	Client Name
egistrant Name	·
OBBYING ACTIVITY. Select as many concern in lobbying on behalf of the client duri	des as necessary to reflect the general issue areas in which ing the reporting period. Using a separate page for each c
igaged in loopying on behalf of the cheft dari formation as requested. Attach additional page((s) as needed.
5. General issue area code FIN (one	<i>'</i>
5. Specific lobbying issues	
•	On the UTO Concellidated Tage Association, market data, best
Securities Market Structure (ie Intermarket Trading execution, self regulation) Nasdaq exchange registration Cincinnati Stock Exchange's "voluntary book" propiny Research Propins Rule 500	System (ITS), Consolidated Tape Association, market data, best posal
Corporate Governance Implementation of the Sarbanes-Oxley Act of 2002	2
	contacted
7. House(s) of Congress and Federal agencies of	contacted
U.S. House of Representatives U.S. Senate U.S. Securities and Exchange Commission	
8. Name of each individual who acted as a lobb	oyist in this issue area
8. Name of each individual who acted as a lobb	oyist in this issue area Covered Official Position (if applicable)
Name Ananias "Andy" Blocker	ı
Name Ananias "Andy" Blocker Kevin R. Edgar	Covered Official Position (if applicable)
Name Ananias "Andy" Blocker Kevin R. Edgar	Covered Official Position (if applicable)
Name Ananias "Andy" Blocker Kevin R. Edgar Allen "Beau" Greewood	Covered Official Position (if applicable)
Name Ananias "Andy" Blocker Kevin R. Edgar Allen "Beau" Greewood Richard Ribbentrop	Covered Official Position (if applicable)
Ananias "Andy" Blocker Kevin R. Edgar Allen "Beau" Greewood Richard Ribbentrop Cecile Srodes	Covered Official Position (if applicable)
Ananias "Andy" Blocker Kevin R. Edgar Allen "Beau" Greewood Richard Ribbentrop Cecile Srodes Harry Day	Covered Official Position (if applicable)
Name Ananias "Andy" Blocker Kevin R. Edgar Allen "Beau" Greewood Richard Ribbentrop Cecile Srodes Harry Day	Covered Official Position (if applicable)
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Ananias "Andy" Blocker Kevin R. Edgar Allen "Beau" Greewood Richard Ribbentrop Cecile Srodes	Covered Official Position (if applicable)

Printed Name and Title Kevin R. Edgar, Special Counsel & Director-Government Relations

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New York Stock Exchange, Inc.	_ Client Name	© ©
LOBBYING ACTIVITY. Select as many codes engaged in lobbying on behalf of the client during a information as requested. Attach additional page(s) as	the reporting period. Using a separate page for ea	nich Üne n ch cod e ⊢
15. General issue area code FIN (one per	page)	9
16. Specific lobbying issues		
Post 9/11/01 issue: NYSE's business continuity plannin	ng, physical security, electronic/information security	
17. House(s) of Congress and Federal agencies conta	acted	
U.S. House of Representatives U.S. Senate U.S. Department of the Treasury U.S. General Accounting Office 18. Name of each individual who acted as a lobbyist	: in this issue area	
Name	Covered Official Position (if applicable))
Kevin R. Edgar	<u></u>	
Richard Ribbentrop		
	listed on line 16 above D Check if None	
Signature Filing #2fb1a560-fe22-44bc-9236	Date August 12	', <i>2</i> 60.

Printed Name and Title Kevin R. Edgar, Special Counsel & Director-Government Relations

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Registrant Name New York Stock Exchange	e, Inc. Client Name
engaged in lobbying on behalf of the clier information as requested. Attach additional	any codes as necessary to reflect the general issue areas in which the nt during the reporting period. Using a separate page for each code page(s) as needed.
16. Specific lobbying issues	
H.R. 957, The Broker Accountability Through	h Enhanced Transparency Act
17. House(s) of Congress and Federal agen	cies contacted
18. Name of each individual who acted as a	a lobbyist in this issue area Covered Official Position (if applicable)
Cecile Srodes	
Richard Ribbentrop	
19. Interest of each foreign entity in the spec	ific issues listed on line 16 above
Signature Kiling #2fb1a560-fe22	Date August 12, 20.

Printed Name and Title Kevin R. Edgar, Special Counsel & Director-Government Relations

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New York Stock Exchange, Inc.		I I
Registrant Name	Client Name	ين کت
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information as requested. Attach additional page(s) as n	eeded	د. پ
15. General issue area code (one per pa	·ge)	جنب.
16. Specific lobbying issues		
H.R. 2, The Jobs and Economic Growth Act od 2003 Sections pertaining to the dividend tax cut		
17. House(s) of Congress and Federal agencies contacte	ed Check if None	
U.S. House of Representatives U.S. Senate 18. Name of each individual who acted as a lobbyist in	this issue area	
Name	Covered Official Position (if applicable)	
Ananias "andy" Blocker		
Richard Ribbentrop		
19. Interest of each foreign entity in the specific issues list	ted on line 16 above	
Signature Lung C. Signature	Date August 12, a	200
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Printed Name and Title

Kevin R. Edgar, Special Counsel & Director-Government Relations

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nformation U	Jpdate Page	- Complete ONLY	where registration information	n has changed. ່ວຼ
20. Client new addres	58			facility facility
	and place of busines	s (if different from line 20	 O)	<u>~~~~</u>
Ci			State/Zip (or Country)	
22. New general desc	cription of client's b	ousiness or activites		
LOBBYIST UP 23. Name of each	PDATE n previously repo	orted individual who	is no longer expected to act as	a lobbyist for the client
Douglas R.				
ISSUE UPDAT 24. General lobby		iously reported that n	o longer pertain	
24. Gonorai 1000)	ymg modes pre-	10211, 10 P01000		
AFFILIATED	ORCANIZAT	TIONS		
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25. Add the follo 26. Name of each FOREIGN EN 27. Add the follo	Name h previously rep	organization(s)	at is no longer affiliated with th	(city and state or countered to content or client
26. Name of each Name 8. Name of each page 18. Name of each page 18.	Name TITIES wing foreign en	orted organization the	at is no longer affiliated with th	Amount of contribution for lobbying activities
26. Name of each FOREIGN EN 27. Add the follo Name	Name TITIES wing foreign en	orted organization the	Principal place of business (city and state or country) longer owns, or controls, or is affi	Amount of contribution for lobbying activities

Printed Name and Title Kevin R. Edgar Special Counsel & Director-Government Relations

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