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SECRETARY OF THE SENATE
04 AUG 18 PM 4: 02

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name The Trustees of Columbia University			
2. Address <input type="checkbox"/> Check if different than previously reported 535 West 116th Street, 302 Low Library			
3. Principal Place of Business (if different from line 2) New York NY 10027 City: State/zip (or Country)			
4. Contact Name Ellen S. Smith	Telephone (212) 854-3394	E-mail (optional) ess9@columbia.edu	5. Senate ID # 3858512
7. Client Name <input checked="" type="checkbox"/> Self Self			6. House ID # 30887000

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____ 11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ <div style="text-align: center;">Income (nearest \$20,000)</div>	EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>100,000.00</u> <div style="text-align: center;">Expenses (nearest \$20,000)</div>
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA deferral <input type="checkbox"/> Method B. Reporting amounts under section 6011 Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code

Signature _____ Date _____

Printed Name and Title Ellen S. Smith, Asst. VP and Director of Government Relations

LD-2 (REV 4/03)

PAGE

Registrant Name The Trustees of Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

HR4766 Agriculture Appropriations Act 2005
HR4754 Commerce/ Justice/State/ Judiciary Appropriations 2005
HR4613/S2559 Defense Appropriations Act 2005
HR4614 Energy & Water Appropriations Act 2005
HR4818 Foreign Operations Appropriations Act 2005
HR4567/S2537 Homeland Security Appropriations Act 2005
HR4568 Interior Appropriations Act 2005
All provisions relating to science, healthcare and education funding

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assist. VP and Director of Government Relations
Nicholas Moustakas	Government Relations Associate
Matthew Bianco	Asst. Director of Government Relations

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Printed Name and Title Ellen S. Smith, Asst. VP and Director of Government Relations

Form LD-2 (Rec. 4/03)

Page 2

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15. General issue area code BUD (one per page)

16. Specific lobbying issues

cont'd
 Labor/HHS/ Education Appropriations Act 2005
 Transportation/ Treasury Appropriations Act 2005
 VA/HUD Appropriations Act, 2005
 S.CON.RES.95 Budget Appropriations, FY2005 resolution
 All provisions relating to science, healthcare and education funding

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Form LD-2 (Rec. 4/03)

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15. General issue area code CPT (one per page)

16. Specific lobbying issues

S. 2560 Inducing Infringement of Copyright Act of 2004
 HR 2391/S. 2192 Cooperative Research and Technology Enhancement (CREATE) Act of 2004
 H.R. 2896 American Jobs Creation Act of 2003
 S. 1637 Jumpstart Our Business Strength (JOBS) Act
 H.R. 3837/ S. 2103 To amend the Internal Revenue Code of 1986 to limit the deduction for charitable contributions of patents and similar property.
 All provisions relating to intellectual property issues with relevance to higher education

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Form LD-2 (Rec. 4/03)

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15. General issue area code CPT (one per page)

16. Specific lobbying issues

cont'd
H.R. 3261 Database and Collections of Information Misappropriation Act
Labor/HHS/ Education Appropriations Act 2005
HR1561 United States Patent and Trademark Fee Modernization Act of 2004
All provisions relating to intellectual property issues with relevance to higher education

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
US Senate

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Form LD-2 (Rec. 4/03)

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15. General issue area code EDU (one per page)

16. Specific lobbying issues

H.R. 3076 Graduate Opportunities in Higher Education Act
 H.R. 3077 International Studies in Higher Education Act of 2003
 H.R. 4473 Educational Opportunity for the 21st Century Department of Education Appropriations Act, 2005
 H.R. 4283 College Access and Opportunity Act of 2004
 H.R. 4283 College Access and Opportunity Act of 2004
 H.R. 4370 Direct Loan Reward Act of 2004
 All provisions relating to higher education funding and policy

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

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Form LD-2 (Rec. 4/03)

Page 6

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15. General issue area code EDU (one per page)

16. Specific lobbying issues

cont'd
 H.R. 3966 ROTC and Military Recruiter Equal Access to Campus Act of 2004
 H.R. 4282 College Access and Opportunity Act of 2004
 H.R. 2211 Ready to Teach Act
 S. 2715 The International Student and Scholar Access Act of 2004
 All provisions relating to higher education funding and policy

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

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Form LD-2 (Rec. 4/03)

Page i

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15. General issue area code ENG (one per page)

16. Specific lobbying issues

HR4614 Energy & Water Appropriations Act 2005
All provisions relating to scientific research and education
All provisions relating to higher education funding and policy

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
US Senate

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Form LD-2 (Rec. 4/03)

Page 8

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

H.R.3108 Pension Funding Equity Act of 2004
 H.R. 4280 Help Efficient, Accessible, Low-cost, Timely Healthcare
 S.1637 Jumpstart Our Business Strength (JOBS) Act
 Labor/HHS/ Education Appropriations Act 2005

 All provisions relating to scientific and public health research and training funding and policy

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Form LD-2 (Rec. 4/03)

Page 5

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15. General issue area code HOM (one per page)

16. Specific lobbying issues

HR4567/ S2537 Department of Homeland Security Appropriations Act, 2005
 S15 Project BioShield Act of 2003

 All provisions relating to scientific research and education

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate
 Dept. of Homeland Security
 Dept. of State

18. Name of each individual who acted as a lobbyist in this issue area

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Form LD-2 (Rec. 4/03)

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15. General issue area code IMM (one per page)

16. Specific lobbying issues

S. 2715 The International Student and Scholar Access Act of 2004
 HR4373 Furthering Education and Research through Mantis Improvements Act

 All provisions relating to international students and scholars

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate
 Dept. of Homeland Security
 Dept. of State

18. Name of each individual who acted as a lobbyist in this issue area

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Form LD-2 (Rec. 4/03)

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15. General issue area code MED (one per page)

16. Specific lobbying issues

Labor/HHS/ Education Appropriations Act 2005
 H.R. 4682 Stem Cell Research Enhancement Act of 2004

 All provisions relating to scientific and public health research and training funding and policy

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Form LD-2 (Rec. 4/03)

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15. General issue area code MMM (one per page)

16. Specific lobbying issues

Labor/HHS/Education Appropriations FY 2005
 All provisions relating to financing of hospitals, medical education and physicians

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

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Form LD-2 (Rec. 4/03)

Page 13

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15. General issue area code SCI (one per page)

16. Specific lobbying issues

HR 4546 National Oceanic and Atmospheric Administration Act
 HR4607 National Oceanic and Atmospheric Administration Organic Act of 2004
 H.R. 2693 Marine Mammal Protection Act Amendments of 2004
 H.R. 4454 Ecoterrorism Prevention Act of 2004
 HR4754 Commerce/ Justice/State/ Judiciary Appropriations 2005
 HR4613/S2559 Defense Appropriations Act 2005
 HR4614 Energy & Water Appropriations Act 2005
 All provisions relating to scientific research funding and policy

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate
 Dept. of State
 National Science Foundation
 National Oceanic and Atmospheric Administration

18. Name of each individual who acted as a lobbyist in this issue area

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15. General issue area code SCI (one per page)

16. Specific lobbying issues

cont'd
 HR4567/S2537 Homeland Security Appropriations Act 2005
 HR4568 Interior Appropriations Act 2005
 Labor/HHS/ Education Appropriations Act 2005
 VA/HUD Appropriations Act, 2005
 H.R. 2400 National Defense Authorization Act for Fiscal Year 2005
 All provisions relating to scientific research funding and policy

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate
 Dept. of State
 National Science Foundation
 National Oceanic and Atmospheric Administration

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

H.R. 2896 American Jobs Creation Act of 2003
 S. 1637 Jumpstart Our Business Strength (JOBS) Act
 H.R. 3837/ S. 2103 To amend the Internal Revenue Code of 1986 to limit the deduction for charitable contributions of patents and similar property.

 All provisions relating to tax issues of relevance to not-for-profit institutions and students

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

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15. General issue area code TRA (one per page)

16. Specific lobbying issues

H.R. 3550 Transportation Equity Act: A Legacy for Users
 S.1072 Safe, Accountable, Flexible, and Efficient Transportation Equity Act of 2004
 Transportation/ Treasury Appropriations Act 2005

 All provisions relating to urban transportation and transit issues

17. House(s) of Congress and Federal agencies contacted Check if None

US House of Representatives
 US Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Ellen S. Smith	Assist. VP and Director of Government Relations
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Signature _____ Date _____

Printed Name and Title Ellen S. Smith, Asst. VP and Director of Government Relations

Registrant Name The Trustees of Columbia University Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the cli

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of (city and state or

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client


FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contributi for lobbying activitie

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant or affiliated organization

8/13/09

Signature  Date 11/21/11

Printed Name and Title Ellen S. Smith, Asst. VP and Director of Government Relations