

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page 2: 4/

1. Registrant Name ☒ Organization ☐ Individual

NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES

2. Address ☐ Check if different than previously reported

Address1 666 11TH STREET, NW, #750

Address2

City WASHINGTON

State

DC

Zip Code 20001

Country

3. Principal place of business (if different than line 2)

City

State

Zip Code

Country

4a. Contact Name

b. Telephone Number

c. E-mail

Mr. LEE W. MERCER

☐ International Number

(202) 628-5055

jpaschal@nasbic.org

5. Senate

27126-

7. Client Name ☒ Self

6. House

NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES

303560

TYPE OF REPORT

8. Year 2006

Midyear (January 1-June 30) ☐

Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☒

\$

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☒

\$ 76,600.00

14. REPORTING

Check box to indicate accounting method. See instructions for description of method.

☐ Method A. Reporting amounts using LDA definitions of lobbying

☒ Method B. Reporting amounts under section 6033(b)(8) Internal Revenue Code

☐ Method C. Reporting amounts under section 162(e) of Internal Revenue Code

Signature ☒ Digitally Signed By: Lee W Mercer

Date 02/07/2

US, DST ACES Business Representative, ACES TrustID Business Certificate, Lee W Mercer

Printed Name and Title Lee W. Mercer, President

Printed Name and Title: Lee W. Mercer, President
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