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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Rockwell Collins, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 400 Collins Road NE, Cedar Rapids, IA 52498 -0001			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name M.K.McDonald	Telephone (703)516-8230	E-mail (optional)	5. Senate ID # 68296-36
7. Client Name <input type="checkbox"/> Self Rockwell Automation			6. House ID # 35671001

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☒ ⇒ \$ 112,500.00
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☐ ⇒ \$ _____
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of

☐ Method A. Reporting amounts using LDA definition

☐ Method B. Reporting amounts under section 6031 Internal Revenue Code

☐ Method C. Reporting amounts under section 162 Internal Revenue Code

Signature _____

Printed Name and Title Michael K. McDonald, Vice President Government Operations

LD-2 (REV. 6/98)

P

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code ACC (one per page)

16. Specific lobbying issues

Accounting Reforms

Federal Accounting Standards Board

Corporate Accounting/Governance

Securities and Exchange Commission Reporting and Compliance

Stock Option Accounting

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above.

☒ Check if None

Signature _____

Date 08/12/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

Departments of Commerce Authorization and Appropriations
 Patent Office Operations
 Patent Reform

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Commerce, Patent and Trademark Office

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Linda C. Sadler	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NonSignature _____ Date 08/12/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

National Defense Authorization and Appropriations
 Budget Requests submitted by the Department of Defense
 Federal Government Acquisition Policy
 Office of Management and Budget (Office of Federal Procurement Policy)

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
 U.S. Senate
 Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NoneSignature _____ Date 08/12/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

Department of Energy Budget

Renewable Energy & Conservation Programs

DOE - Transportation Programs – Energy Effecting Partnernships

DOE – Superconductivity Program

17. House(s) of Congress and Federal agencies contacts

☐

Check if None

U.S. House of Representatives

U.S. Senate

Department of Energy

NIST

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above.

☒

Check if Non

Signature _____

Date 08/12/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

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15. General issue area code ENV (one per page)

16. Specific lobbying issues

Superfund Reform
Waste Remediation —Recycling
Trade & Environment
Clean Water Act
Clean Air Act

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NonSignature _____ Date 08/12/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Health Care (numerous bills — Patients' Bill of Rights, Mental Health Parity, Medicare Reform)

Medical Malpractice Reform

Mental Health Parity

Medical Errors

Health Care Information - Infrastructure

Genetic Discrimination

Health Care/Medical Savings Accounts

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Labor

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above.

☒ Check if Non

Signature _____

Date 08/12/2004

Printed Name and Title

Michael K. McDonald, Vice President, Government Operations

Form LD-2 (Rev. 6/98)

Page

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

Personnel Mobility

Visa Process/Procedures Reform

H1-B Visas

B-Visa Reforms

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

U.S. Trade Representative

State Department DHS

Department of State

Department of Commerce

8. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above.

☒ Check if Non

Signature _____

Date 08/12/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

Genetic Discrimination

Overtime Pay

Comp-time

Fair Labor Standards Act Reform

Family Medical Leave Act

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NonSignature _____ Date 08/12/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations

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15. General issue area code MMM (one per page)

16. Specific lobbying issues

Medicare Reform and Prescription Drug Coverage

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NonSignature _____ Date 08/12/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations

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15. General issue area code RET (one per page)

16. Specific lobbying issues

Pension Reform

Social Security Reform

Pension Plan funding

Cash Balance Regulations

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

f

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if Non

Signature _____

Date 02/09/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automati

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Export Controls
 Renewal of Export Administration Act
 Trade Agreements and Treaties
 Trade Financing
 Sanctions
 Tariffs
 International Standards

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
 U.S. Senate
 The White House
 United States Trade Representative
 Department of State
 Department of Commerce
 Export-Import Bank

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if NonSignature _____ Date 08/12/2004Printed Name and Title Michael K. McDonald, Vice President, Government Operations

