SECRETARY OF THE SEA

Clerk of the House of Representatives Secretary of the Senate Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Office of Public Records 232 Hart Building Washington, DC 20510

02 AUG -8 PM 3:

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name	. <u> </u>		
Natl. Assoc. of Independent Insurers			
2. Address Check if different than prevously re	eported		
444 N. Capitol Street, NW Suite 801			
3. Principal Place of Business (if different from line 2)	•••		
City: Washington		ip (or Country) DC 20007	
4. Contact Name To	elephone	E-mail (optional)	5. Senate ID#
Carl M. Parks	(202) 639-0490	carl.parks@naii.org	268
7. Client Name Self			6. House ID#
			302
0. Check if this is a Termination Report	→ Termination	Date	11. No Lobbyii
O. Check if this filing amends a previously file 10. Check if this is a Termination Report INCOME OR EXPENSES - C	→ Termination	DateLine 13	<u>.</u>
INCOME OR EXPENSES - C 12. Lobbying Firms INCOME relating to lobbying activities for	⇒ Termination	Line 12 OR Line 13 13. Organiza EXPENSES relating to lobbying acti	ations
10. Check if this is a Termination Report INCOME OR EXPENSES - C 12. Lobbying Firms INCOME relating to lobbying activities for period was:	⇒ Termination	Line 12 OR Line 13 13. Organiza EXPENSES relating to lobbying acti period were:	ations
INCOME OR EXPENSES - C 12. Lobbying Firms INCOME relating to lobbying activities for	⇒ Termination	Line 12 OR Line 13 13. Organiza EXPENSES relating to lobbying acti period were: Less than \$10,000	ations vities for this re
INCOME OR EXPENSES - C 12. Lobbying Firms INCOME relating to lobbying activities for period was: Less than \$10,000 \$10,000 or more \$	⇔ Termination complete Either this reporting	Line 12 OR Line 13 13. Organiza EXPENSES relating to lobbying acti period were: Less than \$10,000 \$10,000 or more Expenses	ations vities for this re \$1,663,952.C enses (nearest \$20
10. Check if this is a Termination Report INCOME OR EXPENSES - C 12. Lobbying Firms INCOME relating to lobbying activities for period was: Less than \$10,000 \$10,000 or more \$\text{income (new thick)}\$	⇒ Termination complete Either this reporting	Line 12 OR Line 13 13. Organiza EXPENSES relating to lobbying acti period were: Less than \$10,000 \$10,000 or more	stions vities for this re \$1,663,952.0 enses (nearest \$20 ck box to indice
INCOME OR EXPENSES - C 12. Lobbying Firms INCOME relating to lobbying activities for period was: Less than \$10,000 \$10,000 or more \$\text{income}\$ income (ne) Provide a good faith estimate, rounded to the of all lobbying related income from the clief.	Termination complete Either this reporting carest \$20,000) nearest \$20,000, ent (including all	Line 12 OR Line 13 13. Organiza EXPENSES relating to lobbying acti period were: Less than \$10,000 \$10,000 or more \$\omega\$ \$\simes\$ \$\sum_{\text{Expenses}}\$ 14. REPORTING METHOD. Check	stions vities for this re \$1,663,952.0 enses (nearest \$20 ck box to indicator description
INCOME OR EXPENSES - C 12. Lobbying Firms INCOME relating to lobbying activities for period was: Less than \$10,000 \$10,000 or more \$\text{income (ne}\$	Termination complete Either this reporting carest \$20,000) nearest \$20,000, ent (including all	Line 12 OR Line 13 13. Organiza EXPENSES relating to lobbying acti period were: Less than \$10,000 \$10,000 or more Expenses A REPORTING METHOD. Check accounting method. See instructions to	\$1,663,952.0 enses (nearest \$20 ck box to indicator description sing LDA definander section 60
INCOME OR EXPENSES - C 12. Lobbying Firms INCOME relating to lobbying activities for period was: Less than \$10,000 \$10,000 or more \$\text{income}\$ income (ne) Provide a good faith estimate, rounded to the of all lobbying related income from the clie payments to the registrant by any other entires.	Termination complete Either this reporting carest \$20,000) nearest \$20,000, ent (including all	Line 12 OR Line 13 13. Organiza EXPENSES relating to lobbying acti period were: Less than \$10,000 \$10,000 or more \$\simeq\$ \$\simeq\$ \$\sum_{\text{Exp}}\$ 14. REPORTING METHOD. Checaccounting method. See instructions is Method A. Reporting amounts u Method B. Reporting amounts u	\$1,663,952.0 enses (nearest \$200ck box to indicator description sing LDA definander section 60 de ander section 10

LD-2 (REV. 6/98)

Registrant Name Natl. Assoc. of Independent Insurers Clier	nt Name
LOBBYING ACTIVITY. Select as many codes as necessing engaged in lobbying on behalf of the client during the reinformation as requested. Attach additional page(s) as n	porting period. Using a separate page for each cod
15. General issue area code BAN (one per page	e) <u> </u>
All provisions of HR 3210 Terrorism Risk Protection Act; S 2600 Terrorist 2730 National Consumer Privacy; HR 1408 Financial Services Antifraud N Commercial Electronic Mail Act of 2001; HR 583-Privacy Commissions A Abuse Protection & Consume Protection Act of 2001; HR 260 Wireless Pr Act; HR 220 Identity Theft Protection Act of 2001; HR 112 Electronic Privacy Protection Act of 2001 S 536 Freedom from Behavioral Protection Act; S 420 Bankruptcy Reform Act of 2001; S 450 Financial In Act of 2001; S 290 Student Privacy Protection Act; S 197 Spyware Contro 2001; HR 3766 Insurance Modernization and Consumer Protection Act; S Auditing Accountability, Responsibility & Transparency Act of 2002; US	act; HR 347 Consumer Online Privacy and Disclosure Act; HR 333 E ivacy Protection Act of 2001; HR 237 Consumer Internet Privacy Envacy Prevention Act; HR 91 Social Security Online Privacy Protection offling Act 0f 2000; S1014 Social Security Number Privacy and Idenstitution Privacy Protection Act of 2001; S 324 Social Security Number and Privacy Protection Act; S 30 Financial Information Privacy Pro 2763 Public Company and Investor Protection Act; HR 3736 Corpor A Patriot Act (PL-107-56-sections 311,312 &326
17. House(s) of Congress and Federal agencies contacte	ed Check if None
U.S. House of Representatives U.S. Senate U.S. Department of the Treasury 18. Name of each individual who acted as a lobbyist in	this issue area
Name	Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, SVP-Government Relations	
Carles H. Fritzel-Asst. VP-Govt. Relations	*******
Charles A. Taylor-Asst. VP-Govt. Relations	
Julie Leigh Gackenbach-Asst. VP-Govt. Rel.	415,001
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19. Interest of each foreign entity in the specific issues listed	on line 16 above
	on line 16 above

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Registrant Name Natl. Assoc. of Independent Insurers Cli	ent Name
LOBBYING ACTIVITY. Select as many codes as no engaged in lobbying on behalf of the client during the information as requested. Attach additional page(s) as	reporting period. Using a separate page for each coc
15. General issue area code CSP (one per pa	ge)
16. Specific lobbying issues HHS Rep-Standards for Privacy of Individually Indetifiab	le Health Info-Business associate and consent provisions
17. House(s) of Congress and Federal agencies contact U.S. House of Representatives U.S. Senate U.S. Department of Health and Human Services White House Office of Domestic Policy	cted Check if None
18. Name of each individual who acted as a lobbyist	in this issue area Covered Official Position (if applicable)
Jack Ramirez, President Carl M. Parks, SVP-Government Relations Carles H. Fritzel-Asst. VP-Govt. Relations Charles A. Taylor-Asst. VP-Govt. Relations Julie Leigh Gackenbach-Asst. VP-Govt. Rel.	
19. Interest of each foreign entity in the specific issues list	ted on line 16 above
Signature W. Jaces Printed Name and Title Carl M. Parks, Senior Vice Presi	Date

Registrant Name Natl. Assoc. of Independent Insurers	Client Name
LOBBYING ACTIVITY. Select as many codes as engaged in lobbying on behalf of the client during the information as requested. Attach additional page(s)	necessary to reflect the general issue areas in which the ne reporting period. Using a separate page for each coo as needed.
15. General issue area code DIS (one per	page)
Act-Price-Anderson Act: S 424Earthquake Loss Red	nsurance Program, and Insurance for Federal Mitigation
17. House(s) of Congress and Federal agencies con U.S. House of Representatives U.S. Senate U.S. Department of the Treasury Federal Emergency Management Agency	tacted Check if None
18. Name of each individual who acted as a lobbyi	st in this issue area Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, SVP-Government Relations	
Carles H. Fritzel-Asst. VP-Govt. Relations Charles A. Taylor-Asst. VP-Govt. Relations	
Julie Leigh Gackenbach-Asst. VP-Govt. Rel.	
19. Interest of each foreign entity in the specific issues	listed on line 16 above
Signature are M face	Date 8/7/02
Printed Name and Title Carl M. Parks, Senior Vice Pr	esident-Government Relations

Filing #2e209e17-4294-433f-bef3-3942181922ba - Page 7 of 28

Registrant Name Natl. Assoc. of Independent Insurers Clie	nt Name
LOBBYING ACTIVITY. Select as many codes as necessaged in lobbying on behalf of the client during the reinformation as requested. Attach additional page(s) as a	porting period. Using a separate page for each coc
15. General issue area code ENV (one per pag	re)
Remediation Act; HR 324 Recycle America's Land Act; H	ion Act; S 350 Brownfields Revitalization and Environment R 2264 Legislation to Expand Deductibility of Environmenta elopment Act; S 1079 Brownfields Site Redevelopment Act Revitalization Act
17. House(s) of Congress and Federal agencies contact U.S. House of Representatives U.S. Senate	ed Check if None
18. Name of each individual who acted as a lobbyist in	Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, SVP-Government Relations Carles H. Fritzel-Asst. VP-Govt. Relations	
Charles A. Taylor-Asst. VP-Govt. Relations Julie Leigh Gackenbach-Asst. VP-Govt. Rel.	
-\$01 Gallai	
19. Interest of each foreign entity in the specific issues liste	d on line 16 above
Signature and Title Carl M. Parks, Senior Vice President	ent-Government Relations
Printed Name and Title Carl M. Parks, Senior Vice Presid	

Filing #2e209e17-4294-433f-bef3-3942181922ba - Page 9 of 28

Registrant Name Natl. Assoc. of Independent Insurers	Client Name
	as necessary to reflect the general issue areas in which the the reporting period. Using a separate page for each costs as needed.
15. General issue area code GOV (one po	er page)
16. Specific lobbying issues	
S 22, S 27-Campaign Finance Reform-Ammendme HR 2360-Campaign Finance Reform-Ammendment HR 2356-Bipartisan Campaign Finance Act-PAC Pi	its to Ban or Limit PACs
17. House(s) of Congress and Federal agencies co	ontacted
U.S. House of Representatives U.S. Senate U.S. Department of Treasury	
18. Name of each individual who acted as a lobby	
Name Jack Ramirez. President	Covered Official Position (if applicable)
Carl M. Parks, SVP-Government Relations	
Carles H. Fritzel-Asst. VP-Govt, Relations	
Charles A. Taylor-Asst. VP-Govt. Relations	
Julie Leigh Gackenbach-Asst. VP-Govt. Rel.	

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19. Interest of each foreign entity in the specific issue	es listed on line 16 above
Signature are m Ja	Date 8/7/02
Printed Name and Title Carl M. Parks, Senior Vice F	President-Government Relations

Filing #2e209e17-4294-433f-bef3-3942181922ba - Page 11 of 28

Page

Registrant Name Natl. Assoc. of Independent Insurers	Client Name
	as necessary to reflect the general issue areas in which the the reporting period. Using a separate page for each cost as needed.
15. General issue area code HCR (one pe	er page)
16. Specific lobbying issues	
 17. House(s) of Congress and Federal agencies co U.S. House of Representatives U.S. Senate U.S. Department of Treasury 18. Name of each individual who acted as a lobby 	
Name	Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, SVP-Government Relations	•
Carles H. Fritzel-Asst. VP-Govt. Relations	••••
Charles A. Taylor-Asst. VP-Govt. Relations	
Julie Leigh Gackenbach-Asst. VP-Govt. Rel.	
***************************************	4
19. Interest of each foreign entity in the specific issues	listed on line 16 above
Signature are m	nus Date 8/7/02
Printed Name and Title Carl M. Parks, Senior Vice P	resident-Government Relations

Registrant Name Natl. Assoc. of Independent Insurers Client Name	
LOBBYING ACTIVITY. Select as many codes as necessary to reengaged in lobbying on behalf of the client during the reporting per information as requested. Attach additional page(s) as needed.	
15. General issue area code HOU (one per page)	
16. Specific lobbying issues	
Appropriations for Department of Veterans, Housing and Urban Develo	opment-S 1216 and HR 2620
17. House(s) of Congress and Federal agencies contacted	☐ Check if None
U.S. House of Representatives U.S. Senate	
18. Name of each individual who acted as a lobbyist in this issue a	area Covered Official Position (if applicable)
1	
Jack Ramirez, President Carl M. Parks, SVP-Government Relations	
Name Jack Ramirez, President	
Jack Ramirez, President Carl M. Parks, SVP-Government Relations	
Jack Ramirez, President Carl M. Parks, SVP-Government Relations Carles H. Fritzel-Asst. VP-Govt. Relations Charles A. Taylor-Asst. VP-Govt. Relations	
Jack Ramirez, President Carl M. Parks, SVP-Government Relations Carles H. Fritzel-Asst. VP-Govt. Relations Charles A. Taylor-Asst. VP-Govt. Relations Julie Leigh Gackenbach-Asst. VP-Govt. Rel.	
Jack Ramirez, President Carl M. Parks, SVP-Government Relations Carles H. Fritzel-Asst. VP-Govt. Relations Charles A. Taylor-Asst. VP-Govt. Relations Julie Leigh Gackenbach-Asst. VP-Govt. Rel.	Covered Official Position (if applicable)

Registrant Name Natl. Assoc. of Independent Insurers	Client Name
	as necessary to reflect the general issue areas in which the the reporting period. Using a separate page for each coest) as needed.
15. General issue area code INS (one pe	er page)
16. Specific lobbying issues All Provisions of HR 1704 Auto Choice Reform Act; Insurance Act; S 1743 National Terrorism Reinsuran	HR 3210 Terrorism Reinsurance Act; S 1748 Terrorism Risk
17. House(s) of Congress and Federal agencies co	entacted Check if None
U.S. House of Representatives U.S. Senate U.S. Department of Treasury	
18. Name of each individual who acted as a lobby	vist in this issue area Covered Official Position (if applicable)
· ·	Covered Official Position (II applicable)
Jack Ramirez, President	
Carl M. Parks, SVP-Government Relations	
Carles H. Fritzel-Asst. VP-Govt. Relations	
Charles A. Taylor-Asst. VP-Govt. Relations	***
Julie Leigh Gackenbach-Asst. VP-Govt. Rel.	
1011 200 90 21	
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19. Interest of each foreign entity in the specific issues	s listed on line 16 above
Signature all Ma	Date 8/7/02
Printed Name and Title Carl M. Parks, Senior Vice F	resident-Government Relations

Registrant Name Natl. Assoc. of Independent Insurers Client N	ame
LOBBYING ACTIVITY. Select as many codes as necessengaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as need	ting period. Using a separate page for each co
15. General issue area code LAW (one per page)	
16. Specific lobbying issues All Provisions of HR 2341, S1712 Class Action Fairness Act; I	HR 1408 Financial Services AnitFraud Network: S 860
1805 Small Business Liability Reform Act; S 630 CANSPAM A Malpractice Liability Reform Act	
17. House(s) of Congress and Federal agencies contacted	Check if None
U.S. House of Representatives U.S. Senate 18. Name of each individual who acted as a lobbyist in thi	c issue area
Name	Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, SVP-Government Relations	
Carles H. Fritzel-Asst. VP-Govt. Relations	•••
Charles A. Taylor-Asst. VP-Govt. Relations	
Julie Leigh Gackenbach-Asst. VP-Govt. Rel.	•••
19. Interest of each foreign entity in the specific issues listed on	line 16 above
Signature all M Farko	Date 8/1/62
Printed Name and Title Carl M. Parks, Senior Vice President-C	Sovernment Relations

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Registrant Name Natl. Assoc. of Independent Insurers Client Name	e
LOBBYING ACTIVITY. Select as many codes as necessary engaged in lobbying on behalf of the client during the reporting information as requested. Attach additional page(s) as needed.	g period. Using a separate page for each co
15. General issue area code LBR (one per page)	
All Provisions of S 598, S 2184 Legislation to provide for reissuant for reissuance of ergonomics bill Administration Budget Proposals for Departments of Labor, HHS Proposed OSHA Ergonomics Standard Proposed Injury and Illness Record Keeping Regulations Proposed Black Lung Regulations OSH Voluntary Ergonomic Plan-All provisions	
U.S. House of Representatives U.S. Senate U.S. Department of Labor	Check if None
18. Name of each individual who acted as a lobbyist in this is: Name	Sue area Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, SVP-Government Relations	
Carles H. Fritzel-Asst. VP-Govt. Relations	
Charles A. Taylor-Asst. VP-Govt. Relations	
Julie Leigh Gackenbach-Asst. VP-Govt. Rel.	
19. Interest of each foreign entity in the specific issues listed on line	e 16 above
Signature are M Jane	Date 8/7/62
Printed Name and Title Carl M. Parks, Senior Vice President-Gove	ernment Relations

Filing #2e209e17-4294-433f-bef3-3942181922ba - Page 21 of 28

Registrant Name Natl. Assoc. of Independent Insurers	lient Name
LOBBYING ACTIVITY. Select as many codes as nengaged in lobbying on behalf of the client during the information as requested. Attach additional page(s) as	reporting period. Using a separate page for each co
15. General issue area code ROD (one per p	age)
FY 2003 DOT Appropriations Bill-Transportation Fundin Proposed hours of service requirements FY 2003 Transportation Budget-Highway safety Fundin HR 1837 Call responsibility and stay healthy Act-All Pro S 724 Mobile telephone Driving Safety Act-All provision HR 4633 Drivers License Modernization Act-All provision	g provisions ovisions as
17. House(s) of Congress and Federal agencies conta U.S. House of Representatives U.S. Senate U.S. Department of Transportation	cted Check if None
18. Name of each individual who acted as a lobbyist	in this issue area Covered Official Position (if applicable)
Jack Ramirez, President	
Carl M. Parks, SVP-Government Relations	
Carles H. Fritzel-Asst. VP-Govt. Relations	
Charles A. Taylor-Asst. VP-Govt. Relations	
Julie Leigh Gackenbach-Asst. VP-Govt. Rel.	
	<u></u>

19. Interest of each foreign entity in the specific issues lis	ted on line 16 above
Signature are M. Fac	Date 8/7/02
Printed Name and Title Carl M. Parks, Senior Vice Pres	ident-Government Relations

Filing #2e209e17-4294-433f-bef3-3942181922ba - Page 23 of 28

Registrant Name_ Natl. Assoc. of Independent Insurers_ CI	ient Name				
LOBBYING ACTIVITY. Select as many codes as n engaged in lobbying on behalf of the client during the information as requested. Attach additional page(s) as	reporting period. Using a separate page for each cod				
15. General issue area code TAX (one per pa	age)				
16. Specific lobbying issues					
attorney reporting regulations; HR 3905/S 2874 Life Insurance Tax St Legislation to Permanently Extend the subpart F Exemption for Activ insurance companies; HR 1514 Structured Settlement Protection Act; Recovery Act; S 2498 Tax Shelter Transparency Act; HR 3004 Anti-I	_				
17. House(s) of Congress and Federal agencies contact	cted Check if None				
U.S. House of Representatives U.S. Senate U.S. Department of Treasury					
18. Name of each individual who acted as a lobbyist	in this issue area				
,	1				
Name	Covered Official Position (if applicable)				
Jack Ramirez, President					
Carl M. Parks, SVP-Government Relations					
Carles H. Fritzel-Asst. VP-Govt. Relations					
Charles A. Taylor-Asst. VP-Govt. Relations					
Julie Leigh Gackenbach-Asst. VP-Govt. Rel.					

19. Interest of each foreign entity in the specific issues list	ed on line 16 above				
Signature are Myare	Date 8/7/02				
Printed Name and Title Carl M. Parks, Senior Vice President-Government Relations					

Filing #2e209e17-4294-433f-bef3-3942181922ba - Page 25 of 28

	ge - Complete ONLY whe		as changed.
20. Client new address			
21. Client new principal place of busi	iness (if different from line 20)		
City		te/Zip (or Country)	
22. New general description of client			
LOBBYIST UPDATE 23. Name of each previously i	reported individual who is n	no longer expected to act as a lo	obbyist for the client
ISSUE UPDATE 24. General lobbying issues p	reviously reported that no le	onger pertain	
AFFILIATED ORGANIZ 25. Add the following affiliat		Address	Principal Place of I
Name			(city and state or c
26. Name of each previously	reported organization that i	s no longer affiliated with the t	registrant or client
FOREIGN ENTITIES			
FOREIGN ENTITIES	entities Address	Principal place of busine (city and state or country	
FOREIGN ENTITIES 27. Add the following foreign			61.11
FOREIGN ENTITIES 27. Add the following foreign Name	Address		y) for lobbying activities

Carl M. Parks. Senior Vice President-Government Relations Filing #2e209e17-4294-433f-bef3-3942181922ba - Page 27 of 28

Printed Name and Title	 	 	
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