

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE SENATE

04 AUG 16 PM 2:35

**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Cauthen Forbes &amp; Williams</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>1275 Pennsylvania Ave., N.W.</b> <b>10th Floor</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20004</b> <b>USA</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>Harvey E. Cauthen, Jr.</b>	Telephone <b>(334) 264-1155</b>	E-mail (optional)	5. Senate ID # <b>284551-36</b>
7. Client Name <input type="checkbox"/> Self <b>Cauthen &amp; Associates</b>			6. House ID # <b>36886-001</b>

**TYPE OF REPORT** 8. Year 2004 Midyear (January 1-June 30)  **OR** Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobbying Activities

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$220,000.00</u> Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of method.
	<input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition
	<input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 603 of the Internal Revenue Code
	<input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162 of the Internal Revenue Code

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title **Harvey E. Cauthen, Jr. - Partner** \_\_\_\_\_ P:

Registrant Name: Cauthen Forbes & Williams

Client Name: Cauthen & Associates

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific Lobbying issues

17. House(s) of Congress and Federal agencies contacted  Check if None  
**Department of Education**  
**Department of the Interior**  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Cauthen, Jr., Harvey E.</b>	
<b>Forbes, Jeff</b>	<b>Minority Staff Director of Senate Finance Comm.</b>
<b>Miller, Edward</b>	
<b>Williams, Zachary</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title **Harvey E. Cauthen, Jr. - Partner** \_\_\_\_\_ Pg

Registrant Name: Cauthen Forbes & Williams

Client Name: Cauthen & Associates

Item	Description	Data
16	Lobbying Issues	<p>Labor, Education, HHS Appropriations FY '05, provisions relating to fundir  post-secondary education  Transportation Appropriations FY '05  Airport enhancement  Campus roadway renovation  Infrastructure Development  H.R. 4567, Department of Homeland Security Appropriations FY '05  H.R. 4754, Commerce, State and Justice Appropriations FY '05  Provision relating to Homeland Security funding, and Port Security initiativ  Economic development funding initiatives  H.R. 4568, Department of Interior Appropriations FY '05  Department of Veterans Affairs, Housing &amp; Urban Development Appropriat  FY '05  S. 2537, Department of Homeland Security Appropriations FY '05</p>



Registrant Name: Cauthen Forbes & Williams

Client Name: Cauthen & Associates

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code EDU (one per page)

16. Specific Lobbying issues  
**Labor, HHS, Education appropriations FY '05, provisions relating to funding for post-secondary education**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Cauthen, Jr., Harvey E.</b>	
<b>Forbes, Jeff</b>	<b>Minority Staff Director of Senate Finance Comm.</b>
<b>Miller, Edward</b>	
<b>Williams, Zachary</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 5/13/2011

Printed Name and Title Harvey E. Cauthen, Jr. - Partner Page

Registrant Name: Cauthen Forbes & Williams

Client Name: Cauthen & Associates

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific Lobbying issues

**Tax provisions relating to insurance securitization, reinsurance**

**H.R. 2, Jobs and Growth Reconciliation Tax Act of 2003**

**S. 2, Jobs and Growth Reconciliation Tax Act of 2003**

17. House(s) of Congress and Federal agencies contacted

Check if None

**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Cauthen, Jr., Harvey E.</b>	
<b>Forbes, Jeff</b>	<b>Minority Staff Director of Senate Finance Comm.</b>
<b>Miller, Edward</b>	
<b>Stiers, William</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title **Harvey E. Cauthen, Jr. - Partner** \_\_\_\_\_ P:

Registrant Name: Cauthen Forbes & Williams

Client Name: Cauthen & Associates

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code TAX (one per page)
- 16. Specific Lobbying issues  
**Tax provisions relating to insurance securitization, reinsurance**  
**H.R. 2, Jobs and Growth Reconciliation Tax Act of 2003**  
**S. 2, Jobs and Growth Reconciliation Tax Act of 2003**

- 17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Cauthen, Jr., Harvey E.</b>	
<b>Forbes, Jeff</b>	<b>Minority Staff Director of Senate Finance Comm.</b>
<b>Miller, Edward</b>	
<b>Williams, Zachary</b>	

- 19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title **Harvey E. Cauthen, Jr. - Partner** \_\_\_\_\_ P:

Registrant Name: Cauthen Forbes & Williams

Client Name: Cauthen & Associates

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific Lobbying issues  
**Transportation Appropriations FY '05**  
**Infrastructure Development**  
**Campus Roadway Renovation**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Cauthen, Jr., Harvey E.</b>	
<b>Forbes, Jeff</b>	<b>Minority Staff Director of Senate Finance Comm.</b>
<b>Miller, Edward</b>	
<b>Williams, Zachary</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 5/13/2011

Printed Name and Title Harvey E. Cauthen, Jr. - Partner Page

Registrant Name: Cauthen Forbes & WilliamsClient Name: Cauthen & Associates**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client  
**Miller, Edward****ISSUE UPDATE**24. General lobbying issues previously reported that **no longer** pertain**MMM****AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal Place of Business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant or affiliated organization


Signature Harvey E. Cauthen, Jr. Date 11/11/2011

Printed Name and Title Harvey E. Cauthen, Jr. - Partner P: