

Jeff Trandahl  
Clerk

H-154 The

Office of the Clerk  
U.S. House of Representatives  
Washington D.C. 20515-6601

SECRETARY OF THE SENATE

02 FEB -5 AM 1: 5

MS. AMY ZISOOK  
CHICAGO BOARD OPTIONS EXCHANGE, INC  
400 S. LASALLE STREET  
CHICAGO, IL 60605

October 1

ID: 34593000

The Lobbying Disclosure Act of 1995 (2 USC 1601) directs the Clerk of the House of Representatives to "review, and, where necessary, verify and inquire to ensure the accuracy, completeness and timeliness of registrations and reports".

A preliminary review of your 2000 Year-End Report filed with the Office of the Clerk indicates the following omissions and/or errors:

- Line 18 was omitted. Please disclose the name of each employee who acted as a lobbyist during the reporting period; or, if no lobbyist performed lobbying activities during the reporting period, state "None".

Please file an amended Report Form correcting these omission(s) and/or error(s) within thirty days, with the Legislative Resource Center, B106 Cannon House Office Building, Washington, D.C. 20515. Line numbers referenced in this notice are from forms LD-1 and LD-2 dated June, 1998.

If you have any questions regarding this matter, please do not hesitate to contact the Legislative Resource Center at (202) 226-5200.

*\*Please Note: The law requires a separate filing with the Secretary of the Senate.*



Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE SENATE

02 FEB -5 AM 1:51

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Chicago Board Options Exchange			
2. Address <input type="checkbox"/> Check if different than previously reported 400 S. LaSalle Street			
3. Principal Place of Business (if different from line 2) City: Chicago State/Zip (or Country) IL 60605			
4. Contact Name Amy Zisook		Telephone (312) 786-7182	5. Senate ID 48510-1
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 345930

**TYPE OF REPORT** 8. Year 2000 Midyear (January 1-June 30)  OR Year End (July 1-D

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_

11. No Lobbyi

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<b>12. Lobbying Firms</b> INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>13. Organizations</b> EXPENSES relating to lobbying activities for this r period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ \$ <u>300,000</u> Expenses (nearest \$20 <b>14. REPORTING METHOD.</b> Check box to indica accounting method. See instructions for description <input type="checkbox"/> Method A. Reporting amounts using LDA defin <input type="checkbox"/> Method B. Reporting amounts under section 60 Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 16 Internal Revenue Code

Signature \_\_\_\_\_

Printed Name and Title Amy Zisook, Vice President of Civic & Governmental Affairs  
Filing #2da484a9-8ab4-49d3-842b-d4c1fbffad96 - Page 3 of 16



Chicago Board Options  
Registrant Name Exchange Client Name

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code \_\_\_\_\_ (one per page) SEE ATTACHMENTS

16. Specific lobbying issues

HR 4541 / S. 2697 CFTC/CEA  
HR 2441 / S. 2107 Section 31 Fee Reduction

17. House(s) of Congress and Federal agencies contacted  Check if None

- Senate
- House of Representatives
- Treasury
- Federal Reserve
- SEC

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Amy Zisook	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Amy Zisook, Vice President of Civic & Governmental Affairs



Exchange \_\_\_\_\_ Client Name \_\_\_\_\_

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

NONE

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of B (city and state or c
U.S. Securities Market Coalition	440 S. LaSalle Street Suite 2400	Chicago, IL

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant affiliated organization

Signature \_\_\_\_\_ Date \_\_\_\_\_



*Attachment 1*

15. General issue area code AGR (one per page)

HR 4541 / S.2697  
CFTC/CEA



Attachment 2

16. General issue area code BAN (one per page)

BAN

HR 2441 / S.2107 SEC 31 Fee Reduction

HR 4541 / S.2697 - CEA



**Attachment 3**

17. General issue area code FIN (one per page)

No Bills



*Attachment 4*

18. General issue area code TAX (one per page)

No Bills

