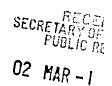
Clerk of the House of Representatives Secretary of the Senate Legislative Resource Center B-106 Cannon Building Washington, DC 20515

R.

Office of Public Records 232 Hart Building Washington, DC 20510



LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name		,	
Caterpillar Inc.			
2. Address Check if different that			
100 NE Adams Stree	t	······································	
3. Principal Place of Business (if differen	it from line 2)		
City: Peoria	• State/Zi	p (or Country) IL 61629–1430	
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID
Douglas P. Crew	(309) 675–5248	crew_douglas_p@cat.com	8475-
7. Client Name Self			6. House ID
			31319
<u> </u>			1 31317
10. Check if this is a Termination	Report □ ⇒ Termination	Date	11. No Lobby
INCOME OR EXPEN	NSES - Complete Either	Line 12 OR Line 13	
12. Lobbyi	ng Firms	13. Organiz	ations
INCOME relating to lobbying a period was:	ectivities for this reporting	EXPENSES relating to lobbying act period were:	ivities for this
Less than \$10,000		Less than \$10,000 🗖	
		\$10,000 or more $\square \Rightarrow $220,0$	000
\$10,000 or more	Income (nearest \$20,000)	Ext 14. REPORTING METHOD. Che	penses (nearest \$
Provide a good faith estimate, ro	unded to the nearest \$20,000.	accounting method. See instructions	
of all lobbying related income payments to the registrant by	from the client (including all	Method A. Reporting amounts	using LDA de
activities on behalf of the client		☐ Method B. Reporting amounts	under section
		Internal Revenue Co	ode
		Method C. Reporting amounts Internal Revenue C	
Signature Municipal	SPCI.	•	
\	N . 1 . N . C M		

Filing #2cda2c35-4f4c-4333-a16a-c656f6c7b707 - Page 1 of 32

LD-2 (REV. 6/98)

iswan Name_	Caterpillar Inc	<u>c.</u>	Client Name	Self	
laged iu iddd.	CTIVITY. Select a ying on behalf of the equested. Attach ac	e client during	g the reporting	t uctiod. Using	general issue areas in which the
. General iss	ie area code <u>TRI</u>) (one ;	per page)		
Support Support Support	Efforts to Impro	ements with ove Relatio	Jordan, S ons with Ir	ingapore, an	stoms Reform Legislatio Chile, Australia
Support Support Support	various bills to Normalized Trade Funding for EX-1 WTO Doha Round a rade Restriction	e Relations IM Bank, OP and FTAA Ne	with Viet PIC, TDA an gotiation	nam d World Ba	
U.S. Hou U.S. Sen White Ho State De	ate use pt.	eral agencies	contacted	Che	ck if None
Treasury Commerce USTR USITC 18. Name of		o acted as a lo	boyist in this	issue area	
•	Name			C	Covered Official Position (if applicable)
William (C. Lane			Washington	Director, Governmental
****************************		*			

PRAF	2 000 1				
19. Interest o	f each foreign entity i	n the specific i	ssues listed on	line 16 above	☐ Check if None
Signature	·				Date

	terpillar Inc.	Client Name	Self
gaged in loppying o	TTY. Select as many cod on behalf of the client dur sted. Attach additional pa	ing the reporting per	effect the general issue areas in which the reg fod. Using a separate page for each code, p
. General issue are	a cade TRD (on	e per page)	
. Specific lobbyin	eg issues		
SupportingOpposing PB			es Provision
7. House(s) of Con USTR U. S. House U. S. Senate White House Commerce Dept	ngress and Federal agenci	es contacted	☐ Check if None
18. Name of each	individual who acted as a	lobayist in this issue	e area
	Name		Covered Official Position (if applicable)
Watel B	endergrass	Les	gislative Communicator
Matthew R. Pe	J		3-0140110 Community
matthew R. Pe			
	h foreign entity in the specifi		

egistrant Name_	Caterpillar Inc.	Client Name	Self
ngaged in lobby	CTIVITY. Select as many co- ying on behalf of the client during equested. Attach additional particles	ring the reporting pe	eflect the general issue areas in which the regricod. Using a separate page for each code, p
5. General issu	ne area code SCI (or	ne per page)	
.6. Specific lob Departmen	•	am Interior Ap	propriations (FY 2002) - Support
U.S. Hous U.S. Sena		es contacted	Check if None
18. Name of e	ach individual who acted as a	lobbyist in this issue	c area Covered Official Position (if applicable)
William 1	N. Ball	Was	hington Manager, Governmental Aff
184418001014801480011800180000			***************************************
*******************************		***************************************	
***************************************	***************************************		
19. Interest of	each foreign entity in the specific	: issues listed on line 1	6 above

	Caterpillar	Inc.	Client Name	Self
tagan in rodo	And ou ocurit o	ect as many codes of the client during th additional page	z the reporting pe	reflect the general issue areas in which the reg ariod. Using a separate page for each code, p
General iss	ue area code	TAX (one s	per page)	
. Specific lo	bbying issues			
Capital Internat	Cost Recover ional Tax Si	Active Financ y/Depreciation mplification R 3529 & HR 30	Reform (No	
7. House(s)	of Congress and	Federal agencies	contacted	☐ Check if None
U. S. Ho U. S. Se Treasury USTR White Ho State De	nate sue pt.	who acted as a loi	boyist in this issu	le area
	Na	επ ε		Covered Official Position (if applicable)
			Wa	shington Manager, Governmental Aff
William	N. Ball			shington manager, Governmental All
William	N. Ball			
William	N. Ball			Shington Hanager, Governmental All
William	N. Ball			Silligion Manager, Governmental All
		tity in the specific is		

-	Caterpillar	inc.	Clien	t Name_	Self			
raged in loob	CTIVITY. Selvying on behalf of equested. Attac	of the client	t during the re	porting pe	reflect the ge wiod. Using :	ceral issue a a separate p	reas in which t age for each c	be reg ode, p
General iss	ue area code	ENG	(one per pag	c)				
. Specific lo	bbying issues							
	ity Restructu ill (HR 4)	ring - S	upport					
	of Con gress and	l Federal ag	rencies contac	ted	Check	c if None		
U.S. Hous U.S. Sens Dept. of EPA	ate							
.s. Name of	each individual	who acted	as a lobbyist i	in this iss	ue area			ē
is. Name of		who acted	as a lobbyist i	in this iss		vered Official Po	sition (if applicable)
William	N:		as a lobbyist i		Ca		sition (if applicable	
	N:		as a lobbyist i		Ca			
	N:		as a loboyist i		Ca			
	N:		as a loboyist i		Ca			
William	N:			W	ashington	Manager,	Governmenta	

istrant Name Caterpillar Inc. Client	Name Self
BBYING ACTIVITY. Select as many codes as nece paged in lobbying on behalf of the client during the report action as requested. Attach additional page(s) as necessarily as	corting period. Using a senarate page for each onde
General issue area code HCR (one per page	
. Specific lobbying issues	·
Oppose S.1052 Patients' Bill of Rights Oppose HR.526 Patients' Bill of Rights Oppose HIPPA Privacy Regulations	
7. House(s) of Congress and Federal agencies contact	red Check if None
U.S. House U.S. Senate Health and Human Services Administration	n.
 Name of each individual who acted as a lobbyist in 	n this issue area
Name	Covered Official Position (if applicable)
James P. Halloran	Washington Manager, Governmental Aff
Edward J. Kaleta	Washington Manager, Governmental Afi
,	
19. Interest of each foreign entity in the specific issues lis	

gistrant Name_	Caterpillar	Inc.	Client Name	Self			
rgagen itt regol	CTIVITY. Selecting on behalf of equested. Attach	i the client duri	ng the reporting	to reflect the g period. Using	eneral issue a separate	areas in which the page for each or	ie rej ode, j
3. General issa	e area code	DEF (one	e per page)				
6. Specific lob	bying issues						
Support I	efense Appro	priations —	H.R. 3338, A	I. Rpt. 107	7–350		
17. House(s) c	f Congress and I	Federal agemoie	s contacted	☐ Chec	k if None		
U.S. Hous U.S. Sena							
13. Name of e	ach individual w		oboyist in this i		\ <u></u>		-
Tomos D	Halloran	E				Covernment of	
Edward J. 1			***************************************		— 	Governmental Governmental	
					***************************************	***************************************	
***************************************	<u>-</u>					***************************************	0 0 0 0 0 0 0
**************************************	·				70 TIP 02 44 44 44 44 44 44 44 44 44 44 44 44 44		
19. Interest of	- Ceach foreign enti	ry in the specific	issues listed on l	ine 16 above	Chec	k if None	
							٠
Signature					Date_		

gistrant Name	Caterpillar Inc.	Client Name	Self
. 3-3	TIVITY. Select as many co ng on behalf of the client du quested. Anach additional p	III.7 II.6 feachana a	reflect the general issue areas in which the re eriod. Using a separate page for each code,
5. General issue	area code TRD (or	ne per page)	
6. Specific labb	ying issues		
Support fo	r company export issue:	5	
17. House(s) of U.S. Senat Dept. of C Dept. of S Dept. of E	ommerce tate	ies contacted	☐ Check if None
18. Name of ea	ch individual who acted as a	lobbyist in this issu	
Richard S.	Brent	1	Covered Official Position (if applicable) Director, Government Affairs
			Treetor, ooverment mraris
-40			
·			
19. Interest of e	ach foreign entity in the specific	c issues listed on line	16 above Check if None
Signature			Date

	Caterpillar Inc.	Clieat Name	Self
ngagen in roody	CTIVITY. Select as many co- ring on behalf of the client du equested. Attach additional pr	ring the reporting pe	reflect the general issue areas in which the reg riod. Using a separate page for each code, p
5. General issu	te area code ENG (or	ne per page)	
6. Specific lob	bying issues		
Electric	ity Restructuring – Sup	port	
U.S. Hous		ies contacted	Check if None
18. Name of e	ach individual who acted as a	Tables of the control	
	Name	toooyist in this issu	•
Rita L. (Name .		c area Covered Official Position (if applicable) Ssues Analysis Manager
	Name Castle	I	Covered Official Position (if applicable)
Rita L. (Name Castle	I	Covered Official Position (if applicable) ssues Analysis Manager
Rita L. (Name Castle	D D	Covered Official Position (if applicable) ssues Analysis Manager
Rita L. (Name Castle S. Brent	I.	Covered Official Position (if applicable) Ssues Analysis Manager irector, Government Affairs
Rita L. (Name Castle S. Brent	I.	Covered Official Position (if applicable) Ssues Analysis Manager irector, Government Affairs

žįzami yame	Caterpillar Inc.	Client Name	Self
3-3	TIVITY. Select as many coing on behalf of the client duquested. Attach additional p	::T:C:	flect the general issue areas in which the reied. Using a separate page for each code,
	. 7	ne per page)	
5. Specific labl	oying issues		
Support C	ntract Debarment Regula ongressional Review Act R 1602 - Rewarding Perf	PI =107_5 /P===	onomics) ensation Act
7. House(s) of	Congress and Federal agenc	ies contacted	☐ Check if None
Uffice of		-	
13. Name of ea	ch individual who acted as a	lobbyist in this issue	area ·
I n	Nar re		Covered Official Position (if applicable)
James P.	Halloran	Wash	ington Manager, Governmental Aff

**************************************	-		

19. Interest of e	each foreign entity in the specifi	c issues listed on line 16	above Check if None
Signature		·	Date

	Caterpillar Inc.	Client Name	Self
Right in 1000	CTIVITY. Select as many code ying on behalf of the client during equested. Attach additional page.	ng the reporting per	effect the general issue areas in which the re iod. Using a separate page for each code,
i. General iss	ue area code EDU (one	: per page)	•
5. Specific lo	bbying issues		
	HRI Education Reauthoriza S.l Education Reauthoriza		
	of Congress and Federal agencies	s contacted	Check if None
U.S. Hou U.S. Ser			
18. Name of	each individual who acted as a lo	. La	
James P.	Name Halloran		Covered Official Position (if applicable)
James P.	Name . Halloran		Covered Official Position (if applicable)
James P.	Name . Halloran		Covered Official Position (if applicable)
James P.	Name . Halloran	Wa	Covered Official Position (if applicable) shington Manager, Governmental A
James P.	Name Halloran	Wa	Covered Official Position (if applicable) shington Manager, Governmental Af

gistrant Name Cat	terpillar Inc.	Client Name	Self
reased in rood and of	ITY. Select as many con n behalf of the client du ted. Anach additional pa	RRZ the reporting nem	flect the general issue areas in which the re- od. Using a separate page for each code,
 General issue area 	s code <u>NAT</u> (or	re bet bage)	
6. Specific lobbying	issues		
Department of Expanded Acces	Interior/BLM/Mount ss to Oil and Natur	ain Top Mining R al Gas on Federa	Reform/Millsite Issues - Support al Lands - Support
17. House(s) of Cong U.S. House U.S. Senate U.S. Departmen	gress and Federal agence	ies contacted	Check if None
18. Name of each in	dividual who acted as a	lobbyist in this issue a	area Covered Official Position (if applicable)
Rita L. Castle	2	7.	
			ssues Analysis Manager
***************************************			······································
A top of case the error so water or a cost observer and		***************************************	
************	****		10
	•		
19. Interest of each fo	oreign entity in the specific	: issues listed on line 16	above Check if None
19. Interest of each for Signature	oreign entity in the specific		above Check if None Date

Registrant Name	Caterpillar Inc.	Client Name_	Self
2-2-4 11 10007	CTIVITY. Select as many coding on behalf of the client duriquested. Anach additional pa	IRS lite renorting n	reflect the general issue areas in which the regeriod. Using a separate page for each code, p
	. mon	e per page)	
16. Specific lob	bying issues		
Workplace Interstat	e Goods Job Growth and C e Class Action Fairness	ompetitivenes: Act - Suppor	s Act — Oppose t
U.S. House U.S. Hous U.S. Sena Dept. of	ite	es contacted	☐ Check if None
Administr	ration		
18. Name of ea	ach individual who acted as a l	oboyist in this iss	ie area
	Name		Covered Official Position (if applicable)
Rita L. C	astle	***************************************	Issues Analysis Manager
***************************************			***************************************

**************************************	***************************************		
***************************************	***************************************		***************************************
19. Interest of	each foreign entity in the specific	issues listed on line	16 above
Signature			Date
Printed Name a	und Title Douglas P. Crew	, Manager, Go	vernmental Affairs

Form 1 (0.0 / 0 ... ± /0.0)

gistrant Name_	Caterpillar Inc.	Client Nac	Self	
(348en iu 1000)	TIVITY. Select as man ing on behalf of the clien quested. Attach addition	it during the reportu	y to reflect the general issue areas in ng period. Using a separate page for d.	which the reg
	e area code ENG		-	
6. Specific lob	bying issues			
Arctic Clean (America's Future En National Wildlife R oal Technology R&D tive Fuels Vehicle	lefuge	Ol (HR4) — Support ant Program/Green School Bu	ses
			·	
U.S. Hous U.S. Sena	Congress and Federal age to of Representative tte extment of Energy		☐ Check if None	
18. Name of e	ach individual who acted	as a lobbyist in this	s issue area	·
	Name		Covered Official Position (if a	ipplicable)
Rita L. (astle		Issues Analysis Manager	***************************************
***************************************				*************************************
**************************************				**************************************
***************************************	·			
19. Interest of	each foreign entity in the sp	recific issues listed on	line 16 above	;
Signature			Date	
Printed Name	and Title Douglas P.	. Crew, Manager	, Governmental Affairs	

__ -,......,

gistrant Name	Caterpillar Inc.	Client Name	Self
razaca ut monà	CTIVITY. Select 2s many c ing on behalf of the client d quested. Attach additional	wring the reporting per	effect the general issue areas in which the re- tiod. Using a separate page for each code,
5. General issu	e area code SCI (one per page)	
HDDE Pr LDDE Pr Advance	Energy, OHVT, R&D Approgram	ls	03) - Support
17. House(s) of	Congress and Federal agen	cies contacted	Check if None
U.S. Hous U.S. Sena Departmen			
18. Name of ex	ach individual who acted as	a lobbyist in this issue	·
	Name		Covered Official Position (if applicable)
Rita L. C	astle	Is	sues Analysis Manager

***************************************	***************************************		
19. Interest of	each foreign entity in the speci	l fic issues listed on line l	6 above
Signature	Mary find an		Date Jeb 11 200
Printed Name a	und Title Douglas P. Cre	ew, Manager, Gove	rnmental Affairs