

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**BATES CAPITOL GROUP LLC**

2. Address:

400 WEST MARKET STREET 32ND FLOOR, LOUISVILLE, KY 40202-3363

3. Principal place of business (if different from line 2):

Country: City: State/Zip(or Country):

4. Contact Name: G. HUNTER BATES

Telephone: 502-779-8600

E-mail (optional): hunter@batescapitol.com

Senate ID #: 86414-113

House ID #: 36564005

7. Client Name:  Self

**UNITED PARCEL SERVICE**

### TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: 11. No Lobbying Activity:

### INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

#### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): 60,000.00

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

#### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): \_\_\_\_\_

#### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only  
 **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
 **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: BATES CAPITOL GROUP LLC Client Name: UNITED PARCEL SERVICE

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

Pension Security: H.R. 2830, H.R. 4, S. 1783, legislation to provide pension security for multi-employer pension plans. Interpretation of Internal Revenue Code and Fair Labor Standards Act.

17. House(s) of Congress and Federal agencies contacted:

U.S. Department of Labor  
US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BATES, G. HUNTER

Covered Official Position (if applicable):

Name: ELLIOTT, LESLEY

Covered Official Position (if applicable): DEPUTY CHIEF OF STAFF, OFFICE OF THE SECRETARY OF

Name: WILLIG, C. RUSSELL

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: POS (one per page)

16. Specific lobbying issues:

Support reform of U.S. Postal Service (S. 662 and H.R. 22)

17. House(s) of Congress and Federal agencies contacted:

US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BATES, G. HUNTER

Covered Official Position (if applicable):

Name: ELLIOTT, LESLEY

Covered Official Position (if applicable): DEPUTY CHIEF OF STAFF, OFFICE OF THE SECRETARY OF

Name: WILLIG, C. RUSSELL

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRA (one per page)

16. Specific lobbying issues:

Transportation issues relating to the freight industry.

17. House(s) of Congress and Federal agencies contacted:

US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BATES, G. HUNTER

Covered Official Position (if applicable):

Name: ELLIOTT, LESLEY

Covered Official Position (if applicable): DEPUTY CHIEF OF STAFF, OFFICE OF THE SECRETARY OF

Name: WILLIG, C. RUSSELL

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: BATES CAPITOL GROUP LLC Client Name: UNITED PARCEL SERVICE

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

Promoting international trade.

17. House(s) of Congress and Federal agencies contacted:

US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BATES, G. HUNTER

Covered Official Position (if applicable):

Name: ELLIOTT, LESLEY

Covered Official Position (if applicable): DEPUTY CHIEF OF STAFF, OFFICE OF THE SECRETARY OF

Name: WILLIG, C. RUSSELL

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 12, 2006

Printed Name and Title: G. Hunter Bates, President -

**Information Update Page:**

**Complete ONLY where registration information has changed.**

**21. Client new principal place of business (if different from line 20):**

Country: USA

**LOBBYIST UPDATE**

**23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client**

Name: Piper, Holly

Name: Willig, C. Russell

**ISSUE UPDATE**

**24. General lobbying issues previously reported that NO LONGER pertain**

**AFFILIATED ORGANIZATIONS**

**25. Add the following organization(s)**

**26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client**

**FOREIGN ENTITIES**

**27. Add the following foreign entities**

**28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization**

Signature: ON FILE      Date: Aug 12, 2006

Printed Name and Title: G. HUNTER BATES, PRESIDENT -