

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

ROCKWELL COLLINS INC

2. Address:

400 COLLINS RD NE, CEDAR RAPIDS, IA 52498-0001

3. Principal place of business (if different from line 2):

4. Contact Name: MICHAEL K. MCDONALD

Telephone: 7035168230

E-mail (optional): mkmcadona@rockwecollins.com

Senate ID #: 68296-12

House ID #:

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 246,211.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ACC (one per page)

16. Specific lobbying issues:

Securities and Exchange Commission Reporting and Compliance - Exec. Comp. Reporting Stock Option Accounting Accounting Reforms Regulation Changes Federal Accounting Standards Board - Pension Funding Corporate Accounting/Governance

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AER (one per page)

16. Specific lobbying issues:

Department of Transportation Authorization and Appropriations NASA Authorization and Appropriations FAA Authorization and Appropriations National Defense Authorization State Department Authorization and Appropriations Federal Government Acquisition Policy

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)

Defense, Dept of (DDO)

Federal Aviation Administration (FAA)

HOUSE OF REPRESENTATIVES

Natl Aeronautics & Space Administration (NASA)

Office of Management & Budget (OMB)

SENATE

State, Dept of (DOS)

Transportation, Dept of (DOT)

U. S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GIFFT, JOHN M

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

Name: PETERSON, TIMOTHY A

Covered Official Position (if applicable): N/A

Name: SADLER, LINDA C

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AVI (one per page)

16. Specific lobbying issues:

Department of Transportation Authorization and Appropriations NASA Authorization and Appropriations FAA Authorization and Appropriations State Department and Appropriations Federal Government Acquisition Policy

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)

Defense, Dept of (DDO)

Federal Aviation Administration (FAA)

HOUSE OF REPRESENTATIVES

Natl Aeronautics & Space Administration (NASA)

Office of Management & Budget (OMB)

SENATE

State, Dept of (DOS)

Transportation, Dept of (DOT)

U. S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GIFFT, JOHN M

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

Name: PETERSON, TIMOTHY A

Covered Official Position (if applicable): N/A

Name: SADLER, LINDA C

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

NASA Authorization and Appropriations FAA Authorization and Appropriations National Defense Authorization and Appropriations
Budget Requests submitted by the Department of Defense Federal Government Acquisition Policy Budget Resolution

17. House(s) of Congress and Federal agencies contacted:

Defense, Dept of (DOD)
Federal Aviation Administration (FAA)
HOUSE OF REPRESENTATIVES
Nat'l Aeronautics & Space Administration (NASA)
Office of Management & Budget (OMB)
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GIFFT, JOHN M
Covered Official Position (if applicable): N/A
Name: MCDONALD, MICHAEL K
Covered Official Position (if applicable): N/A
Name: PETERSON, TIMOTHY A
Covered Official Position (if applicable): N/A
Name: SADLER, LINDA C
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: COM (one per page)

16. Specific lobbying issues:

Radio Frequency Spectrum Issues

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)

Defense, Dept of (DOD)

Federal Communications Commission (FCC)

HOUSE OF REPRESENTATIVES

SENATE

Transportation, Dept of (DOT)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GIFFT, JOHN M

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

Name: SADLER, LINDA C

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

Departments of Commerce Authorization and Appropriations Patent Office Operations

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

Name: SADLER, LINDA C

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

National Defense Authorization and Appropriations Budget Requests submitted by the Department of Defense Federal Government Acquisition Policy Office of management and Budget (Office of Federal Procurement Policy

17. House(s) of Congress and Federal agencies contacted:

Defense, Dept of (DOD)
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

Name: PETERSON, TIMOTHY A

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENV (one per page)

16. Specific lobbying issues:

Superfund Reform Waste Remediation - Recycling Clean Water Act

17. House(s) of Congress and Federal agencies contacted:

Environmental Protection Agency (EPA)

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

Stock Options Exercising SEC Reporting

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Securities & Exchange Commission (SEC)

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

Medical Malpractice Reform Mental Health Parity Medical Errors/Quality Reporting Health Care Information Infrastructure Health Care/Health Savings Accounts Flexible Savings Accounts

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES
Health & Human Services, Dept of (HHS)
Labor, Dept of (DOL)
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: IMM (one per page)

16. Specific lobbying issues:

Personnel Mobility H1-B Visas Visa Process/Procedures Reform

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)

HOUSE OF REPRESENTATIVES

Homeland Security, Dept of (DHS)

SENATE

State, Dept of (DOS)

U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

Fair Labor Standards Act Reform Overtime Pay Comp-time Family Medical Leave Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Labor, Dept of (DOL)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

Medicare Reform and Prescription Drug Coverage

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Health & Human Services, Dept of (HHS)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

Pension Reform - DB & DC plans Pension Plan Funding Automatic Enrollment (401K reforms)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

Government Support for Non-Defense Research and Development

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)

Defense, Dept of (DOD)

HOUSE OF REPRESENTATIVES

Natl Science Foundation (NSF)

Office of Science & Technology Policy (OSTP)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GIFFT, JOHN M

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

Name: SADLER, LINDA C

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ROCKWELL COLLINS INC Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Deferred Compensation Executive Compensation R&D Tax Credit Charitable Deductions Energy Tax Incentives Section 406 & 911

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Jan 31, 2007

Printed Name and Title: MICHAEL K. MCDONALD, VICE PRESIDENT GOVERNMENT OPE -