

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SENATE
03 AUG 25 PM 12:33

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name UBS Americas Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 1285 Avenue of Americas New York, NY 10019			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name James Steffes	Telephone 203-719-6513	E-mail (optional) james.steffes@ubs.com	5. Senate ID # 78436-
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # 363290		

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____

11. No Lobbying

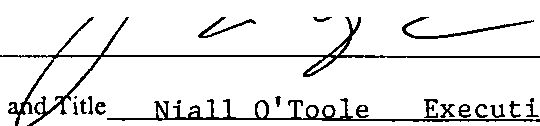
INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms
INCOME relating to lobbying activities for this reporting period was:
Less than \$10,000
\$10,000 or more ⇨ \$ _____
Income (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations
EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000
\$10,000 or more ⇨ \$ 320,000.00
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of
 Method A. Reporting amounts using LDA definition
 Method B. Reporting amounts under section 6011 Internal Revenue Code
 Method C. Reporting amounts under section 162 Internal Revenue Code

Signature



Printed Name and Title Niall O'Toole Executive Director

LD-2 (REV. 6/98)

Registrant Name UBS Americas Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

HR522 Federal Deposit Insurance Reform Act of 2003 (deposit insurance c:
HR758 Business Checking Freedom Act of 2003


17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Federal Reserve Board
Federal Deposit Insurance Corporation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
John Savercool	
Jennifer Maldonado	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 14 Aug 2008
Filing #2924d049-4330-4976-926c-f25ce4ebd5d7 - Page 3 of 20

Signature _____ Date _____
Printed Name and Title Niall O'Toole Executive Director

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Page .

Registrant Name UBS Americas Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific lobbying issues

HR2622 Fair and Accurate Credit Transactions Act of 2003 (privacy)
HR975 Bankruptcy Abuse Prevention and Consumer Protection Act of 2003 (

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>John Savercool</u>	
<u>Jennifer Maldonado</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature 

Date 14 Aug 07

Printed Name and Title Niall O'Toole Executive Director

Form LD-2 (Rev.6/98)

Page _

Registrant Name UBS Americas Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code CDT (one per page)

16. Specific lobbying issues

S509 Energy Market Oversight Act (natural gas markets & derivatives over

17. House(s) of Congress and Federal agencies contacted Check if None

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>John Savercool</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature 

Date 14 Aug 20

Printed Name and Title Niall O'Toole Executive Director

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Registrant Name UBS Americas Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code ECN (one per page)

16. Specific lobbying issues

HR1769 Job Protection Act of 2003 (dividend taxation)


17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
...John Savercool...	
.....Jennifer Maldonado.....	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature 

Date 14 Aug. 20

Printed Name and Title Niall O'Toole Executive Director

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Registrant Name UBS Americas Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

HR1375 Financial Services Regulatory Relief Act of 2003 (industrial loan
HR2420 Mutual Funds Integrity and Fee Transparency Act of 2003 (mutual :
corporate governance)

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Federal Trade Commission
Federal Reserve Board
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
...John...Savercool...	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature 

Date 14 Aug.

Printed Name and Title Niall O'Toole Executive Director

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Registrant Name UBS Americas Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod information as requested.** Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

HR1776 Pension Preservation and Savings Expansion Act of 2003 (pension

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>John Savercool</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature 

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Registrant Name UBS Americas Inc. Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Jennifer Maldonado

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bu (city and state or co
UBS Securities LLC	677 Washington Blvd	Stamford, CT 06

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

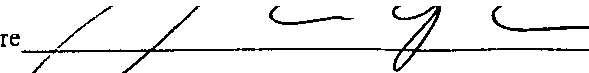
FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant or affiliated organization



Signature  Date 14 Aug 2

Printed Name and Title Niall O'Toole

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