

MAR. 18. 2004 8:45AM

CATERPILLAR INC.

00000543070

NO. 2547 P

Clerk of the House of Representatives
Legislative Resource Center
8-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

04 MAR 25 AM 9:08

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Caterpillar Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 100 NE Adams Street			
3. Principal Place of Business (if different from line 2) City: Peoria State/Zip (or Country) IL 61629-1430			
4. Contact Name Douglas P. Crew		Telephone (309) 675-5248	E-mail (optional) crew_douglas_p@cat.com
5. Senate ID # 8475-12		6. House ID # 31319000	
7. Client Name <input checked="" type="checkbox"/> Self			

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) ☒ OR Year End (July 1-Dec)9. Check if this filing amends a previously filed version of this report ☐10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms
INCOME relating to lobbying activities for this reporting period was:
Less than \$10,000 ☐
\$10,000 or more ☐ ⇒ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations
EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐
\$10,000 or more ☐ ⇒ \$ 240,000
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of

- ☐ Method A. Reporting amounts using LDA definition
☐ Method B. Reporting amounts under section 60 Internal Revenue Code
☐ Method C. Reporting amounts under section 14 Internal Revenue Code

Signature _____

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

LD-2 (REV. 6/98)

MAR. 18. 2004 8:46AM

CATERPILLAR INC.

NO. 2547 P

Registrant Name Caterpillar Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

Reauthorization of TEA-21 (no bills yet introduced)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U. S. House
U. S. Senate
U. S. Dept. of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Rita L. Castle	Issues Analysis Manager

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature

Date

Printed Name and Title DOUGLAS F. CLEW, Manager, GOVERNMENT ACCOUNTS

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15. General issue area code ENG (one per page)

16. Specific lobbying issues

The Energy Act of 2003 (HR6, S.14) - Support
 —Arctic National Wildlife Refuge
 —Clean Coal Technology R&D
 —Advanced Vehicles/Clean School Buses

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House
 U. S. Senate
 U. S. Dept. of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Rita L. Castle	Issues Analysis Manager

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____

Date _____

Printed Name and Title Douglas F. Crew, Manager, Governmental Affairs

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15. General issue area code SCI (one per page)

16. Specific lobbying issues

Dept. of Energy, OHVT, R&D Appropriations FY2004 - Support
 —HDDE Program
 —KDDE Program
 —Advanced Petroleum Based Fuels
 21st Century Truck Initiative - Support

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House
 U. S. Senate
 Dept. of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Rita L. Caslte	Issues Analysis Manager

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____

Date _____

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code TOR (one per page)

16. Specific lobbying issues

Interstate Class Action Fairness Act - Support
Asbestos Reform - Support

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House
U. S. Senate
Dept. of Justice
Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Rita L. Castle	Issues Analysis Manager

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____

Date _____

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15. General issue area code NAT (one per page)

16. Specific lobbying issues

Dept. of Interior/BLM/Mountain Top Mining Reform/Millsite Issues - Support
Expanded Access to Oil and Natural Gas on Federal Lands - Support

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U. S. House
U. S. Senate
U. S. Dept. of Interior

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Rita L. Castle	Issues Analysis Manager

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

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15. General issue area code ENG (one per page)

16. Specific lobbying issues

Electricity Restructuring - Support

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U. S. House
U. S. Senate
Dept. of Energy
EPA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Rita L. Castle	Issues Analysis Manager
Richard S. Brent	Director, Solar Turbines Incorporated Government Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____ Date _____

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code TRD (one per page)

16. Specific lobbying issues

Support Free Trade Agreements with Singapore, Chile, Australia, New Zealand
 Support various bill to improve relations with Cuba
 Support Normalized Trade Relations with Vietnam
 Support Funding for EX-IM Bank, OPIC, TDA and World Bank
 Support WTO Doha Round and FTAA Negotiation
 Oppose Trade Restriction on Fairly Traded Steel (Section 201)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House
 U. S. Senate
 White House
 State Dept.
 Treasury Dept.
 Commerce Dept.
 USTR and USITC

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Lane	Washington Director, Governmental

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature

Date

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code TRD (one per page)

16. Specific lobbying issues

Continued ...

Support Repeal of the Byrd Amendment

Support enforcement of China's WTO Commitment

Support Customs Reform Legislation and Funding

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U. S. House and Senate

White House

State, Treasury, and Commerce Departments

USTR

USITC

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Lane	Washington Director, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

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15. General issue area code ENV (one per page)

16. Specific lobbying issues

Various Diesel Engine Issues

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Transportation Dept.
Office of Management and Budget
Environmental Protection Agency
U. S. House
U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Lane	Washington Director, Governmental

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____

Date _____

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

Support HR 4954 - Medicare Modernization & Prescription Drug Act of 2002
 Oppose S. 1052 Patients' Bill of Rights
 Oppose HR 526 Patients' Bill of Rights
 Oppose HIPPA Privacy Regulations
 Support S. 812 - Greater Access to Affordable Pharmaceuticals Act
 Support HR 1862 - Greater Access to Affordable Pharmaceuticals Act
 Support HR 1, Support S. 1 - Prescription Drug & Medicare Improvement Act of 2002

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House and Senate
 Health and Human Services Administration
 White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Kaleta	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____

Date _____

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code DEF (one per page)

16. Specific lobbying issues

Support Defense Appropriations - HR 5010

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Kaleta	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____

Date _____

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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 15. General issue area code LBR (one per page)

16. Specific lobbying issues

Oppose S. 2184 - Ergonomics Rule Bill

 17. House(s) of Congress and Federal agencies contacted ☐ Check if None

 U. S. House and Senate
Dept. of Labor

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Kaleta	Washington Manager, Governmental Affairs

 19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

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15. General issue area code RET (one per page)

16. Specific lobbying issues

Oppose S. 1992 America's Pension Act of 2002
HR 1776 - Pension Preservation & Savings Expansion Act of 2003

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U. S. House and Senate
White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Kaleta	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____

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15. General issue area code FW (one per page)

16. Specific lobbying issues

Oppose S. 1940 Double Standard for Stock Options Act
Oppose HR 4075 Double Standard for Stock Options Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House and Senate
White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Kaleta	Washington Manager, Governmental Af

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code ENG (one per page)

16. Specific lobbying issues

Electricity Restructuring - Support
Energy Bill (HR 4)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House and Senate
Dept. of Energy
EPA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William N. Ball	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

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Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code TRD (one per page)

16. Specific lobbying issues

Trade Act of 2002 (HR 3009)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William N. Ball	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature _____ Date _____

Printed Name and Title Douglas R. Crew, Manager, Governmental Affairs

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15. General issue area code SCI (one per page)

16. Specific lobbying issues

Dept. of Energy AREA Program Interior Appropriations - Support

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U. S. House and Senate
Dept. of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William N. Ball	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature _____

Date _____

Printed Name and Title Douglas F. Crew, Manager, Governmental Affairs

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MAR. 18. 2004 8:48AM

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

Support Deferral for Active Finance (No bill number)
 Support HR1769 Job Protection Act of 2003
 Capital Cost Recovery/Depreciation Reform (No bill number)
 International Tax Simplification
 Economic Stimulus (HR 3529 & HR 3090)
 American Competitiveness Act of 2002 (HR 5090: Thomas Bill)
 Fairness, Simplification and Competitiveness for American Business Act of 2003 (HR 4111)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

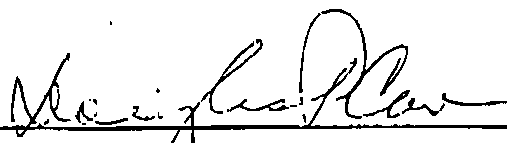
U. S. House and Senate
 Treasury
 USTR
 White House
 State Dept.
 Commerce Dept.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William N. Ball	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature



Date

July 21, 2004

Printed Name and Title U Douglas P. Crew, Manager, Governmental Affairs

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