

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Charles Schwab & Co., Inc.			
2. Address <input checked="" type="checkbox"/> Check if different than previously reported 555 12 th Street, Suite 740			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or County) DC 20004			
4. Contact Name Marianne Kerin	Telephone 202-638-3750	E-mail (optional)	5. Senate ID # 34637-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 33951000

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ <small>Expenses (nearest \$20,000)</small></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>
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Signature: *Frank Kelly*

Printed Name and Title: Frank Kelly, Senior Vice President & Head, Government Affairs

LO-2 (REV. 6/98) PAGE 1 of 7

Registrant Name Charles Schwab & Co., Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

- HR 1102 Comprehensive Retirement Security & Pension Reform Act of 1999
- HR 4749 Retirement Security Advice Act of 2000
- HR 4749 The ERISA Modernization Act of 2000

17. House(s) of Congress and Federal agencies contacted Check if None

HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Kim Famiglietti		<input type="checkbox"/>
Frank Kelly		<input type="checkbox"/>
Michael Townsend		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Frank Kelly* Date 2/14/01
Printed Name and Title Frank Kelly, Senior Vice President & Head, Government Affairs

Registrant Name Charles Schwab & Co., Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

HR 3462 The Wealth Through the Workplace Act
HR 4972 Universal Employee Stock Option Act of 2000

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Kim Farniglietti		<input type="checkbox"/>
Frank Kelly		<input type="checkbox"/>
Michael Townsend		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *F. Kelly* Date 2/14/01
Printed Name and Title Frank Kelly, Senior Vice President & Head, Government Affairs

Registrant Name Charles Schwab & Co., Inc. Client Name Self

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15. General issue area code FIN (one per page)

16. Specific lobbying issues

HR 2421 Fairness in Securities Transactions Act
HR 1256 Savings and Investment Relief Act

S 1207 Competitive Market Supervision Act

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representative
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Now
Kim Famiglietti		<input type="checkbox"/>
Frank Kelly		<input type="checkbox"/>
Michael Townsend		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *F. Kelly* Date 2/14/01
Printed Name and Title Frank Kelly, Senior Vice President & Head, Government Affairs

Registrant Name Charles Schwab & Co., Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

HR 1471 The Money Laundering Prevention Act of 1999

S 1920 The Money Laundering Abatement Act 1999

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Kim Famiglietti		<input type="checkbox"/>
Frank Kelly		<input type="checkbox"/>
Michael Townsend		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *F. Kelly* Date 2/14/01
Printed Name and Title Frank Kelly, Senior Vice President & Head, Government Affairs

Registrant Name Charles Schwab & Co., Inc. Client Name Self

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15. General issue area code CSP (one per page)

16. Specific lobbying issues

- HR 4049 Privacy Commission Act
- HR 1340 Securities Investors Privacy Enhancement Act of 1999
- HR 3320 Consumer's Right to Financial Privacy Act
- HR 30 Financial Privacy Protection Act of 1999
- HR 1685 Internet Growth and Development Act of 1999
- HR 3321 Electronic Privacy Bill of Rights Act of 1999
- HR 30 Financial Information Privacy Act of 1999
- HR 1339 Depository Institution Customers Financial Privacy Enhancement Act of 1999

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Kim Famiglietti		<input type="checkbox"/>
Frank Kelly		<input type="checkbox"/>
Michael Townsend		<input type="checkbox"/>
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		<input type="checkbox"/>
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *F. Kelly* Date 2/14/01
Printed Name and Title Frank Kelly, Senior Vice President & Head, Government Affairs

LOBBYING REGISTRATION ADDENDUM

Registrant Name: Charles Schwab & Co., Inc.

Client Name: self

Addendum is for: Initial registration Updated registration

Line #	Information to be included
<u>16</u> <u>CSP</u>	S 2871 Social Security Number Privacy Act of 2000 S 2928 Consumer Internet Privacy Enhancement Act S 2448 Internet Integrity and Critical Infrastructure Protection Act of 2000 S 573 Medical Information Privacy and Security Act S 2606 Consumer Privacy Act S 573 Medical Information Privacy and Security Act S 1924 Financial Information Privacy and Security Act S 854 Electronic Rights to the 21st Century Act S 1901 Privacy Protection Study Commission Act of 1999 S 2063 Secure Online Communication Enforcement Act of 2000