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Washington, DC 20515

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Washington, DC 20510

SECRETARY OF THE SENATE

05 FEB -8 PM 3: 37

**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

1. Registrant Name <b>Washington Council Ernst &amp; Young</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>1150 17th Street, NW</b> Suite <b>601</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20036</b> USA			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>Bruce Gates</b>	Telephone <b>202-293-7474</b>	E-mail (optional) <b>bruce.gates@wc.ey.com</b>	5. Senate ID # <b>57475-1231</b>
7. Client Name <input type="checkbox"/> Self <b>Securities Industry Association</b>			6. House ID # <b>35185075</b>

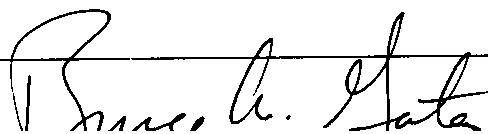
**TYPE OF REPORT** 8. Year 2004 Midyear (January 1-June 30)  OR Year End (July 1-D

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobb

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms	13. Organizations
<p><b>INCOME</b> relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> &gt;&gt; \$ <u>\$160,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>EXPENSES</b> relating to lobbying activities for this re period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> &gt;&gt; \$ _____ Expenses (nearest \$</p> <p><b>14. REPORTING METHOD.</b> Check box to indica accounting method. See instructions for description (</p> <p><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA defin</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 60 the Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 16 Internal Revenue Code</p>



Signature \_\_\_\_\_

Printed Name and Title **Bruce Gates - Partner** \_\_\_\_\_ P

Registrant Name: Washington Council Ernst & Young

Client Name: Securities Industry Association

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues  
 tax issues relating to the financial services industry; corporate accountability  
 investment advice  
 market structure/ market data  
 spam  
 deposit insurance reform  
 social security  
 privacy  
 mutual fund proxy vote disclosure

17. House(s) of Congress and Federal agencies contacted

Check if None

Department of Treasury  
 Executive Office of the President  
 House of Representatives  
 Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Bazel, Lauren	
Conklin, Brian	Special Assistant to the President
Del Monte, Brent	
Doney, John	
Garrett-Nelson, LaBrenda	
Gasper, Gary	
Gates, Bruce	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title **Bruce Gates - Partner** \_\_\_\_\_ F

Registrant Name: Washington Council Ernst & YoungClient Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Giordano, Nick
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Grab, Francis
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Koshgarian, Dave
18b	Covered Official Position	Chief of Staff, Office of Congressman Ben Cardin
18c	New Lobbyist	No
18a	Lobbyist Name	McGuinness, Marty
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Meltzer, Richard
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Moseley, Phil
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Phelps, Anne
18b	Covered Official Position	Special Asst. to the President for Domestic Policy
18c	New Lobbyist	Yes
18a	Lobbyist Name	Porter, John
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Rozen, Bob
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Steele Flynn, Donna
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Urban, Tim
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Wolff, Candi
18b	Covered Official Position	Asst. & Deputy Asst. to Vice President, Leg.Aff.
18c	New Lobbyist	No



Registrant Name: Washington Council Ernst & YoungClient Name: Securities Industry Association

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)
16. Specific Lobbying issues  
**General social security, pension issues**  
**Retirement security**

17. House(s) of Congress and Federal agencies contacted  
**Department of Treasury**  
**Executive Office of the President**  
**House of Representatives**  
**Senate**

 Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Bazel, Lauren</b>	
<b>Conklin, Brian</b>	<b>Special Assistant to the President</b>
<b>Del Monte, Brent</b>	
<b>Doney, John</b>	
<b>Garrett-Nelson, LaBrenda</b>	
<b>Gasper, Gary</b>	
<b>Gates, Bruce</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

 Check if None

Signature \_\_\_\_\_

Printed Name and Title **Bruce Gates - Partner** \_\_\_\_\_ P



Registrant Name: Washington Council Ernst & YoungClient Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Giordano, Nick
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Grab, Francis
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Koshgarian, Dave
18b	Covered Official Position	Chief of Staff, Office of Congressman Ben Cardin
18c	New Lobbyist	No
18a	Lobbyist Name	McGuinness, Marty
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Meltzer, Richard
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Moseley, Phil
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Phelps, Anne
18b	Covered Official Position	Special Asst. to the President for Domestic Policy
18c	New Lobbyist	Yes
18a	Lobbyist Name	Porter, John
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Rozen, Bob
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Steele Flynn, Donna
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Urban, Tim
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Wolff, Candi
18b	Covered Official Position	Asst. & Deputy Asst. to Vice President, Leg.Aff.
18c	New Lobbyist	No



Registrant Name: Washington Council Ernst & Young

Client Name: Securities Industry Association

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

**General tax issues**

**tax issues relating to the financial services industry**

**tax treatment of dividends**

**defined benefit plans**

**alternative minimum tax**

**stock option expensing**

**estate tax**

**HR 10**

17. House(s) of Congress and Federal agencies contacted

Check if None

**Department of Treasury**

**Executive Office of the President**

**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Bazel, Lauren</b>	
<b>Conklin, Brian</b>	<b>Special Assistant to the President</b>
<b>Del Monte, Brent</b>	
<b>Doney, John</b>	
<b>Garrett-Nelson, LaBrenda</b>	
<b>Gasper, Gary</b>	
<b>Gates, Bruce</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title **Bruce Gates - Partner** \_\_\_\_\_ P

Registrant Name: Washington Council Ernst & YoungClient Name: Securities Industry Association

Item	Description	Data
18a	Lobbyist Name	Goodwin, Jeffrey L.
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Grab, Francis
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Koshgarian, Dave
18b	Covered Official Position	Chief of Staff, Office of Congressman Ben Cardin
18c	New Lobbyist	No
18a	Lobbyist Name	McGuinness, Marty
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Meltzer, Richard
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Moseley, Phil
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Phelps, Anne
18b	Covered Official Position	Special Asst. to the President for Domestic Policy
18c	New Lobbyist	Yes
18a	Lobbyist Name	Porter, John
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Rozen, Bob
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Steele Flynn, Donna
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Urban, Tim
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Wolff, Candi
18b	Covered Official Position	Asst. & Deputy Asst. to Vice President, Leg.Aff.
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