

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page.

SECRETARY OF THE SENATE

6:57 PM 11/12

1. Registrant Name

Covington & Burling

2. Address ☐ Check if different than previously reported.

1201 Pennsylvania Avenue, NW

3. Principal Place of Business (if different from line 2)

City: **Washington**

State/Zip (or Country) **DC 200**

4. Contact Name

John C. Dugan

Telephone

(202) 662-5415

Email (optional)

5. Senate ID #

11195-1178

7. Client Name ☐ Self

SG Cowen Securities Corporation

6. House ID #

31827091

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____ 11. No Lobbying Activities ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☒

\$10,000 or more ☐ ⇒ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client.)

13. Organizations

EXPENSES relating to lobbying activities for reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☐ ⇒ \$ _____
Expenses (nearest \$20,000)

14. **REPORTING METHOD.** Check box to indicate the expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LD definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under Section 162(e) of the Internal Revenue Code

Signature _____ Date February 13, 2003
Printed Name and Title **John C. Dugan**

Registrant Name Covington & BurlingClient Name SG Cowen Securities Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page code, provide information as requested. Attach additional page(s) as needed.**

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Follow-up to hearing on broker-dealer regulation

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

18. Name of each individual who acted as a lobbyist in this issue area

Name

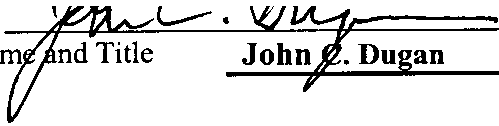
Covered Official Position (if applicable)

John C. Dugan

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Handwritten signature

Signature  Date February 13, 2003
Printed Name and Title John E. Dugan