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LOBBYING REPORT

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Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page

1. Registrant Name

Capitol Associates, Inc.

2. Address ☐ Check if different than previously reported

426 C Street, NE, Washington, DC 20002

3. Principal Place of Business (if different from line 2)

City:

State/Zip (or Country)

4. Contact Name

Telephone

E-mail (optional)

5. Senate ID

Debra M. Hardy Havens

(202) 544-1880

dh@capitolassociates.com

8101-556

7. Client Name

☐ SelfUniversity of Pennsylvania School of
Dental Medicine6. House ID #
3081 3031TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) ☒ OR Year End (July 1-December)9. Check if this filing amends a previously filed version of this report ☒10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐\$10,000 or more ☒ ⇒ \$ 60,000
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐\$10,000 or more ☐ ⇒ \$ _____
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate accounting method. See Instructions for description.

☐ Method A. Reporting amounts using LDA definition☐ Method B. Reporting amounts under section 60 of the Internal Revenue Code☐ Method C. Reporting amounts under section 16 of the Internal Revenue Code

Signature



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

S. 2797 – Departments of Veterans Affairs and Housing and Urban Development Appropriations for

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House

Senate

VA

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Edward Long | |
| Roxanne Burnham | |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each issue area** as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

S. 2439 - Human Cloning Prohibition Act of 2002

S. 1893 - Human Cloning Ban and Stem Cell Research Protection Act of 2002

H.R. 4476/S. 998 - Dental Health Improvement Act 2002

H.r 3659/S. 1626 - Children's Dental Health Improvement Act 2002

H.R. 4163 - Mercury in Dental Filling Disclosure and Prohibition Act

Tracked and reported on Congressional hearings, White House, Department of Defense, and Department of Human Services' activities regarding stem cell research and dental health.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate
DHHS

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Ed Long | |
| Roxanne Burnham | |
| | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

S. 1052 - Bipartisan Patient Protection Act 2001

H.R. 5005/S. 2452 - National Homeland Security and Combating Terrorism Act 2002

Tracked and monitored clinical research subject protection initiatives of the Department of Health and Human Services, Department of Defense, and the White House.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House DHHS
Senate DoD

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--------------------|---|
| Roxanne Burnham | |
| Ed Long | |
| Debra Hardy Havens | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

Form LD-2 (Rev. 06/98)

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

H.R. 4659 - Home Health Nurse and Patient Act

S. 2391 - Medicaid DSH Equity Act 2002

H.R. 4832 - Prescription Drug Comparative Effectiveness Act 2002

H.R. 4954 - Medicare Modernization and Prescription Drug Act 2002

S. 2736 - Medicare Prescription Drug Discount and Security Act 2002

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate
DHHS

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--------------------|---|
| Edward Long | |
| Roxanne Burnham | |
| Debra Hardy Havens | |
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

Registrant Name Capitol Associates, Inc. Client Name University of Pennsylvania School of Den

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

Ronnie Tepp, Andrew Brecher

ISSUE UPDATE24. General lobbying issues previously reported that **no longer** pertain**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

| Name | Address | Principal Place of Business (city and state or country) |
|------|---------|--|
| | | |

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client**FOREIGN ENTITIES**

27. Add the following foreign entities

| Name | Address | Principal place of business (city and state or country) | Amount of contribution for lobbying activities | Owner percent client |
|------|---------|--|---|----------------------------|
| | | | | |

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant or affiliated organization

Signature _____ Date _____

Printed Name and Title Debra M. Hardy Havens, CEO

